

# Discussion on Light Sterile Neutrino

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Sanjib Kumar Agarwalla

*[sanjib@iopb.res.in](mailto:sanjib@iopb.res.in)*

Institute of Physics, Bhubaneswar, India



# Leptonic CP-violation: Important Open Question

**Is CP violated in the neutrino sector, as in the quark sector?**

Mixing can cause CPV in  $\nu$  sector, provided  $\delta_{CP} \neq 0^\circ$  and  $180^\circ$

Need to measure the CP-odd asymmetries:

$$\Delta P_{\alpha\beta} \equiv P(\nu_\alpha \rightarrow \nu_\beta; L) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta; L) \quad (\alpha \neq \beta)$$

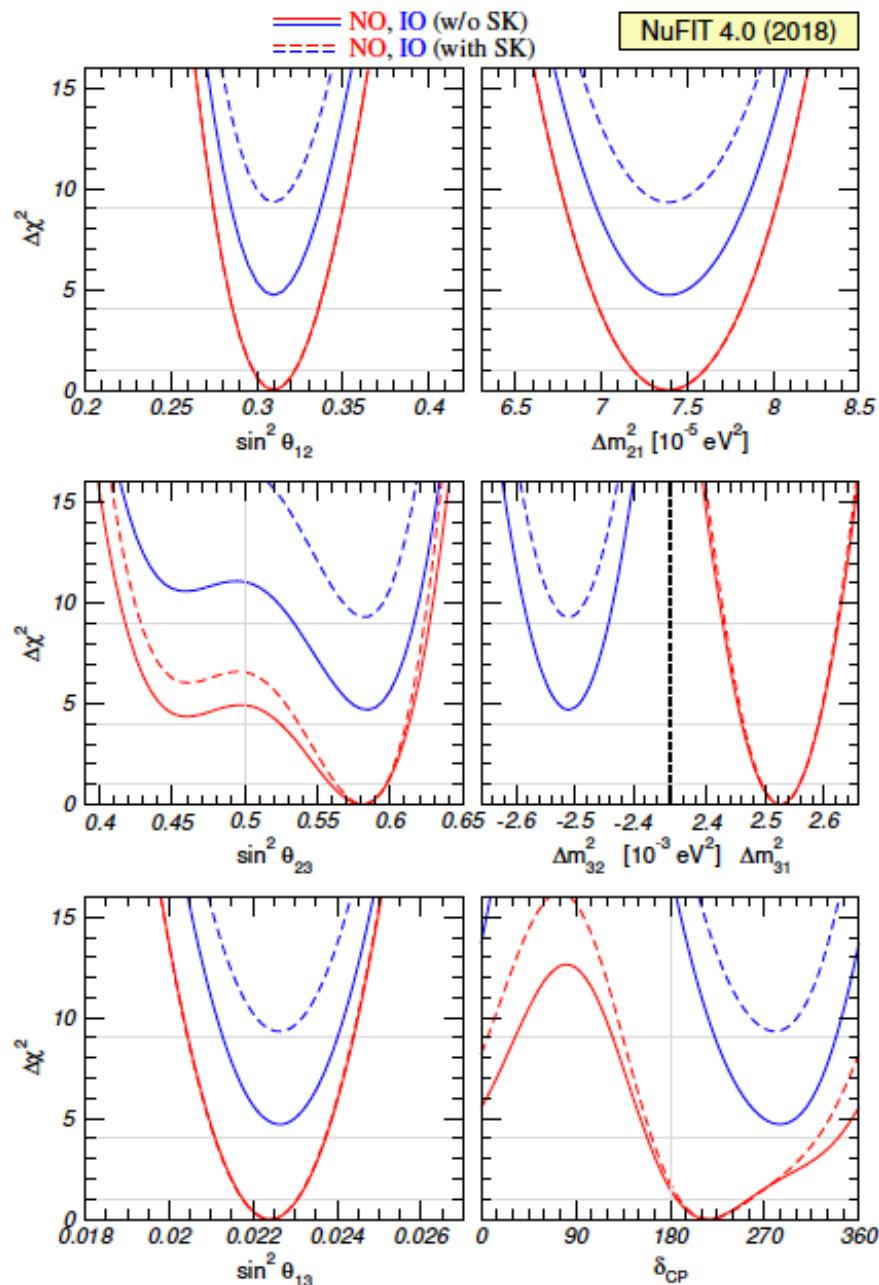
$$\Delta P_{e\mu} = \Delta P_{\mu\tau} = \Delta P_{\tau e} = 4J_{CP} \times \left[ \sin\left(\frac{\Delta m^2_{21}}{2E}L\right) + \sin\left(\frac{\Delta m^2_{32}}{2E}L\right) + \sin\left(\frac{\Delta m^2_{13}}{2E}L\right) \right]$$

**Jarlskog CP-odd Invariant  $\rightarrow J_{CP} = \frac{1}{8} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \sin \delta_{CP}$**

Three-flavor effects are key for CPV, need to observe interference

- Conditions for observing CPV:
- 1) Non-degenerate masses ✓
  - 2) Mixing angles  $\neq 0^\circ$  and  $90^\circ$  ✓
  - 3)  $\delta_{CP} \neq 0^\circ$  and  $180^\circ$  (Hints)

# Present Status of Oscillation Parameters



## Highlights!

with SK-atmospheric

Slight preference for  
higher octant

HO is preferred over LO  
by  $\Delta\chi^2 = 6.0 (\sim 2.4\sigma)$

NO is preferred over IO  
by  $\Delta\chi^2 = 9.3 (\sim 3\sigma)$

Best fit  $\delta_{\text{CP}} = 215$  degree

CP conservation allowed  
at  $\Delta\chi^2 = 1.8$

Crucial information  
from T2K & NOvA

NuFIT Group, arXiv:1811.05487v1 [hep-ph]

# Present Status of Oscillation Parameters

	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 9.3$ )		
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	
with SK+atm	$\sin^2 \theta_{12}$	$0.310^{+0.013}_{-0.012}$	$0.275 \rightarrow 0.350$	$0.310^{+0.013}_{-0.012}$	$0.275 \rightarrow 0.350$
	$\theta_{12}/^\circ$	$33.82^{+0.78}_{-0.76}$	$31.61 \rightarrow 36.27$	$33.82^{+0.78}_{-0.76}$	$31.62 \rightarrow 36.27$
	$\sin^2 \theta_{23}$	$0.582^{+0.015}_{-0.019}$	$0.428 \rightarrow 0.624$	$0.582^{+0.015}_{-0.018}$	$0.433 \rightarrow 0.623$
	$\theta_{23}/^\circ$	$49.7^{+0.9}_{-1.1}$	$40.9 \rightarrow 52.2$	$49.7^{+0.9}_{-1.0}$	$41.2 \rightarrow 52.1$
	$\sin^2 \theta_{13}$	$0.02240^{+0.00065}_{-0.00066}$	$0.02044 \rightarrow 0.02437$	$0.02263^{+0.00065}_{-0.00066}$	$0.02067 \rightarrow 0.02461$
	$\theta_{13}/^\circ$	$8.61^{+0.12}_{-0.13}$	$8.22 \rightarrow 8.98$	$8.65^{+0.12}_{-0.13}$	$8.27 \rightarrow 9.03$
	$\delta_{\text{CP}}/^\circ$	$217^{+40}_{-28}$	$135 \rightarrow 366$	$280^{+25}_{-28}$	$196 \rightarrow 351$
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.39^{+0.21}_{-0.20}$	$6.79 \rightarrow 8.01$	$7.39^{+0.21}_{-0.20}$	$6.79 \rightarrow 8.01$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.525^{+0.033}_{-0.031}$	$+2.431 \rightarrow +2.622$	$-2.512^{+0.034}_{-0.031}$	$-2.606 \rightarrow -2.413$

Defining the  $3\sigma$  relative precision of the parameter by  $2(x^{\text{up}} - x^{\text{low}})/(x^{\text{up}} + x^{\text{low}})$ , where  $x^{\text{up}}$  ( $x^{\text{low}}$ ) is the upper (lower) bound on a parameter  $x$  at the  $3\sigma$  level, we obtain the following  $3\sigma$  relative precisions (marginalizing over ordering):

$$14\% (\theta_{12}) , \quad 8.9\% (\theta_{13}) , \quad 27 [24]\% (\theta_{23}) , \\ 16\% (\Delta m_{21}^2) , \quad 7.8 [7.6]\% (|\Delta m_{3\ell}^2|) , \quad 100 [92]\% (\delta_{\text{CP}}) , \quad \Delta m_{3\ell}^2 = \begin{cases} \Delta m_{31}^2 > 0 & \text{for NO ,} \\ \Delta m_{32}^2 < 0 & \text{for IO .} \end{cases}$$

## *Elements of the PMNS Matrix*

NuFIT 4.0 (2018)

$$|U|_{3\sigma}^{\text{w SK atm}} = \begin{pmatrix} 0.797 \rightarrow 0.842 & 0.518 \rightarrow 0.585 & 0.143 \rightarrow 0.156 \\ 0.235 \rightarrow 0.484 & 0.458 \rightarrow 0.671 & 0.647 \rightarrow 0.781 \\ 0.304 \rightarrow 0.531 & 0.497 \rightarrow 0.699 & 0.607 \rightarrow 0.747 \end{pmatrix}$$

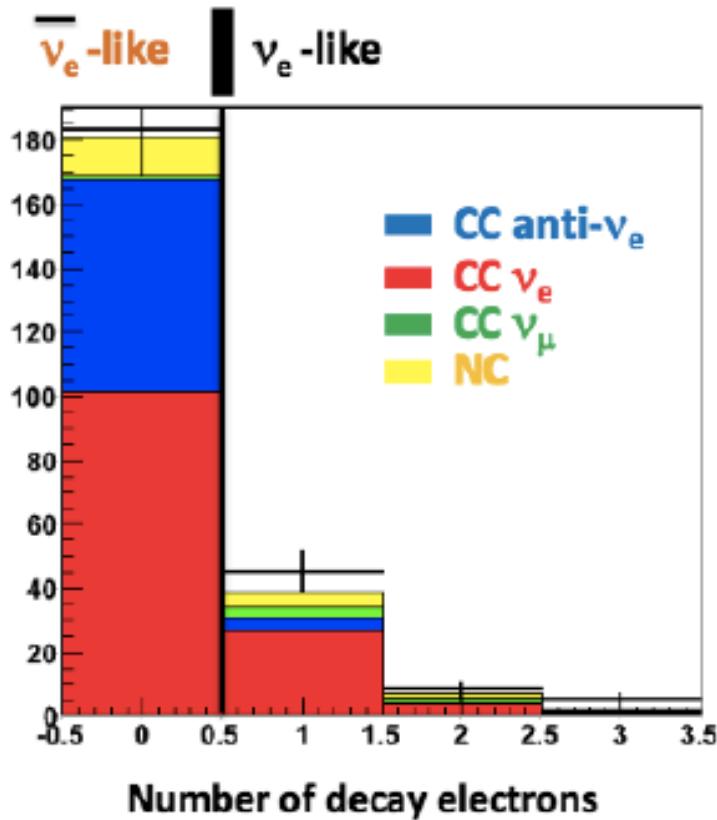
$$J_{\text{CP}}^{\text{best}} = -0.019, \quad J_{\text{CP}}^{\text{quarks}} = (3.18 \pm 0.15) \times 10^{-5}$$

Very interesting developments in recent years

Great opportunity to establish CP-violation in lepton sector

# Electron neutrino and anti-neutrino separation in SK

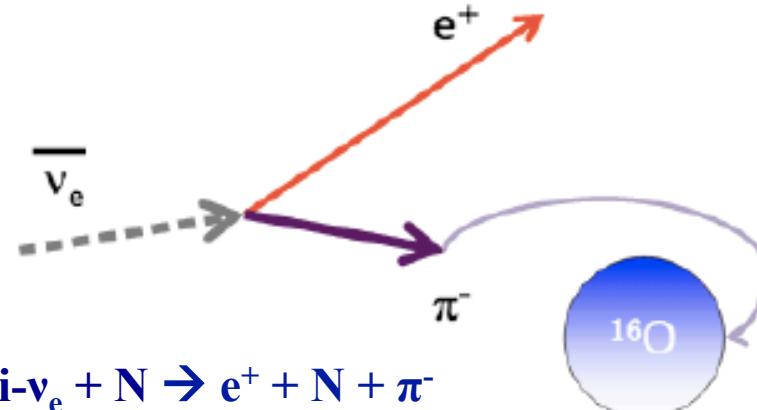
## Sample selection: Multi-GeV Single Ring anti- $\nu_e$ and $\nu_e$ -like



( Multi-ring events are in general more complicated separation is done using a likelihood )

□ Separate neutrinos from anti-neutrinos in the single-ring sample using the number of observed decay electrons

□ The outgoing  $\pi^-$  from an anti-neutrino CC-1  $\pi$  event can be absorbed on a  $^{16}\text{O}$  nuclei before it decays. The lack of an outgoing muon means there is no possibility of a subsequent Michel (decay) electron



# Light Sterile Neutrinos: Huge Interest in the Community

arXiv:1204.5379v1 [hep-ph] 18 Apr 2012

## Light Sterile Neutrinos: A White Paper

K. N. Abazajian<sup>a,1</sup>, M. A. Acero,<sup>2</sup> S. K. Agarwalla,<sup>3</sup> A. A. Aguilar-Arevalo,<sup>2</sup> C. H. Albright,<sup>4,5</sup> S. Antusch,<sup>6</sup> C. A. Arguelles,<sup>7</sup> A. B. Balantekin,<sup>8</sup> G. Baenboim<sup>a,3</sup>, V. Barger,<sup>8</sup> P. Bernardini,<sup>9</sup> E. Bezrukov,<sup>10</sup> O. E. Bjaelde,<sup>11</sup> S. A. Bogacz,<sup>12</sup> N. S. Bowden,<sup>13</sup> A. Boyarsky,<sup>14</sup> A. Bravar,<sup>15</sup> D. Bravo-Berguio,<sup>16</sup> S. J. Brice,<sup>5</sup> A. D. Bross,<sup>5</sup> B. Caccianiga,<sup>17</sup> F. Cavanna,<sup>18,19</sup> E. J. Chun,<sup>20</sup> B. T. Cleveland,<sup>21</sup> A. P. Collin,<sup>22</sup> P. Coloma,<sup>16</sup> J. M. Conrad,<sup>23</sup> M. Cribier,<sup>22</sup> A. S. Cucoanes,<sup>24</sup> J. C. D'Olivo,<sup>2</sup> S. Das,<sup>25</sup> A. de Gouv  ,<sup>26</sup> A. V. Derbin,<sup>27</sup> R. Dharmapalan,<sup>28</sup> J. S. Diaz,<sup>29</sup> X. J. Ding,<sup>16</sup> Z. Djurcic,<sup>30</sup> A. Donini,<sup>31,3</sup> D. Duchesneau,<sup>22</sup> H. Ejiri,<sup>22</sup> S. R. Elliott,<sup>34</sup> D. J. Ernst,<sup>35</sup> A. Esmaili,<sup>36</sup> J. J. Evans,<sup>37,38</sup> E. Fernandez-Martinez,<sup>39</sup> E. Figueroa-Feliciano,<sup>23</sup> B. T. Fleming<sup>a,18</sup>, J. A. Formaggio<sup>a,23</sup> D. Franco,<sup>40</sup> J. Gaffiot,<sup>22</sup> R. Gandhi,<sup>41</sup> Y. Gao,<sup>42</sup> G. T. Garvey,<sup>34</sup> V. N. Gavrin,<sup>43</sup> P. Ghoshal,<sup>41</sup> D. Gibin,<sup>44</sup> C. Giunti,<sup>45</sup> S. N. Gninenko,<sup>43</sup> V. V. Gorbachev,<sup>43</sup> D. S. Gorburunov,<sup>43</sup> R. Guenette,<sup>18</sup> A. Guglielmi,<sup>44</sup> F. Halzen,<sup>46,8</sup> J. Hamann,<sup>11</sup> S. Hannestad,<sup>11</sup> W. Haxton,<sup>11,48</sup> K. M. H  ger,<sup>8</sup> R. Henning,<sup>49,50</sup> P. Hernandez,<sup>2</sup> P. Huber,<sup>b,16</sup> W. Huelsmits,<sup>34,51</sup> A. Ianni,<sup>52</sup> T. V. Ibragimova,<sup>43</sup> Y. Karadzhov,<sup>15</sup> G. Karagiorgi,<sup>33</sup> G. Keefer,<sup>13</sup> Y. D. Kim,<sup>54</sup> J. Kopp<sup>a,5</sup> V. N. Komoukhov,<sup>55</sup> A. Kusenko,<sup>56,57</sup> P. Kyberd,<sup>58</sup> P. Langacker,<sup>59</sup> Th. Lasserre<sup>a,22,40</sup> M. Laveder,<sup>60</sup> A. Letourneau,<sup>22</sup> D. Lhuillier,<sup>22</sup> Y. F. Li,<sup>61</sup> M. Lindner,<sup>62</sup> J. M. Link<sup>b,16</sup> B. L. Littlejohn,<sup>8</sup> P. Lombardi,<sup>17</sup> K. Long,<sup>63</sup> J. Lopez-Pavon,<sup>64</sup> W. C. Louis<sup>a,34</sup> L. Ludhova,<sup>17</sup> J. D. Lykken,<sup>5</sup> P. A. N. Machado,<sup>65,66</sup> M. Maltoni,<sup>31</sup> W. A. Mann,<sup>67</sup> D. Marfatia,<sup>68</sup> C. Mariani,<sup>53,16</sup> V. A. Matveev,<sup>43,69</sup> N. E. Mavromatos,<sup>70,39</sup> A. Melchiorri,<sup>71</sup> D. Meloni,<sup>72</sup> O. Mena,<sup>2</sup> G. Mention,<sup>72</sup> A. Merle,<sup>73</sup> E. Meroni,<sup>17</sup> M. Mezettie,<sup>44</sup> G. B. Mills,<sup>34</sup> D. Minic,<sup>16</sup> L. Miramonti,<sup>17</sup> D. Mohapatra,<sup>16</sup> R. N. Mohapatra,<sup>51</sup> C. Montanari,<sup>74</sup> Y. Mori,<sup>75</sup> Th. A. Mueller,<sup>76</sup> H. P. Mumm,<sup>77</sup> V. Muratova,<sup>22</sup> A. E. Nelson,<sup>78</sup> J. S. Nico,<sup>77</sup> E. Noah,<sup>13</sup> J. Nowak,<sup>79</sup> Q. Yu. Smirnov,<sup>69</sup> M. Obolensky,<sup>40</sup> S. Pakvasa,<sup>80</sup> O. Palamara,<sup>18,52</sup> M. Pallavicini,<sup>81</sup> S. Pascoli,<sup>82</sup> L. Patrizii,<sup>83</sup> Z. Pavlovic,<sup>34</sup> O. L. G. Peres,<sup>36</sup> H. Pessard,<sup>32</sup> F. Pietropaolo,<sup>44</sup> M. L. Pitt,<sup>16</sup> M. Popovic,<sup>5</sup> J. Pradler,<sup>84</sup> G. Ranucci,<sup>17</sup> H. Ray,<sup>85</sup> S. Razzaque,<sup>86</sup> B. Rehak,<sup>5</sup> R. G. H. Robertson,<sup>87,78</sup> W. Rodejohann,<sup>62</sup> S. D. Rountree,<sup>16</sup> C. Rubbia,<sup>39,52</sup> O. Ruchayskiy,<sup>79</sup> P. R. Sala,<sup>77</sup> K. Scholberg,<sup>88</sup> T. Schwetz<sup>a,62</sup> M. H. Shaevitz,<sup>53</sup> M. Shaposhnikov,<sup>89</sup> R. Shrock,<sup>90</sup> S. Simone,<sup>91</sup> M. Skorokhvatov,<sup>92</sup> M. Sorel,<sup>2</sup> A. Sousa,<sup>93</sup> D. N. Spergel,<sup>94</sup> J. Spitz,<sup>23</sup> L. Stanco,<sup>44</sup> I. Stancu,<sup>23</sup> A. Suzuki,<sup>95</sup> T. Takeuchi,<sup>16</sup> I. Tamborra,<sup>96</sup> J. Tang,<sup>97,98</sup> G. Testera,<sup>81</sup> X. C. Tian,<sup>99</sup> A. Tonazzo,<sup>40</sup> C. D. Tunell,<sup>100</sup> R. G. Van de Water,<sup>34</sup> L. Verde,<sup>101</sup> E. P. Vilenkin,<sup>43</sup> C. Vignoli,<sup>52</sup> M. Vivier,<sup>22</sup> R. B. Vogelaar,<sup>16</sup> M. O. Wascko,<sup>63</sup> J. F. Wilkerson,<sup>49,102</sup> W. Winter,<sup>97</sup> Y. Y. Y. Wong<sup>a,23</sup> T. T. Yanagida,<sup>57</sup> O. Yasuda,<sup>103</sup> M. Yeh,<sup>104</sup> F. Yermia,<sup>24</sup> Z. W. Yokley,<sup>16</sup> G. P. Zeller,<sup>5</sup> L. Zhan,<sup>61</sup> and H. Zhang<sup>62</sup>

<sup>1</sup>University of California, Irvine

<sup>2</sup>Instituto de Ciencias Nucleares, Universidad Nacional Aut  noma de M  xico

<sup>3</sup>Instituto de Fisica Corpuscular, CSIC and Universidad de Valencia

<sup>4</sup>Northern Illinois University

<sup>5</sup>Fermi National Accelerator Laboratory

<sup>6</sup>University of Basel

<sup>\*</sup>Section editor

<sup>#</sup>Editor and corresponding author (phuber@vt.edu and jmlink@vt.edu)

Sterile neutrinos: singlets of  $SU(2) \times U(1)$  gauge group, provide economical extension of the SM

Extensive study of sterile neutrinos at various energy scales

**GUT:** see-saw models of neutrino mass, leptogenesis

**TeV:** production at LHC and impact on EWPOs

**keV:** (warm) dark matter candidates

**eV:** SBL and LBL oscillation experiments

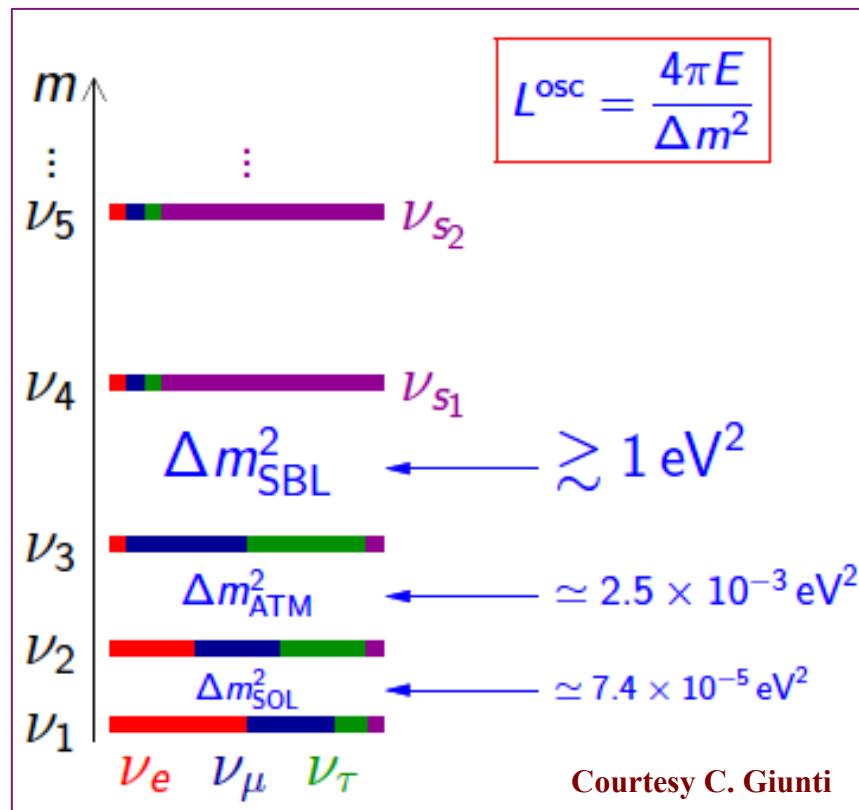
**sub-eV:**  $\theta_{13}$  - reactors and solar neutrinos

According to INSPIRE: 669 Citations

# *SBL data hint towards a light eV-Scale Sterile Neutrino*

Recent results from SBL experiments hint towards high  $\Delta m^2 \approx 0.1 - 10 \text{ eV}^2$  oscillation

Require additional neutrinos with masses at eV scale



- $\nu_s$  : Sterile States (no weak interactions)
- Can feel gravity
- Can affect oscillations through mixing
- Well postulated in see-saw models

Introduce  $\nu_R$  in the SM: Dirac mass  $m_D \bar{\nu}_R \nu_L +$  Majorana mass  $m_M \bar{\nu}_R^c \nu_R$

6 massive Majorana neutrinos :  $(\nu_{eL}, \nu_{\mu L}, \nu_{\tau L}) + (\nu_{eR}, \nu_{\mu R}, \nu_{\tau R})$

Light left-handed anti- $\nu_R$  = Light left-handed sterile neutrino :  $\nu_R^c \rightarrow \nu_{sL}$

Short-baseline means :  $L/E \sim 1$  (m/MeV or km/GeV)

## It covers a wide range of experiments

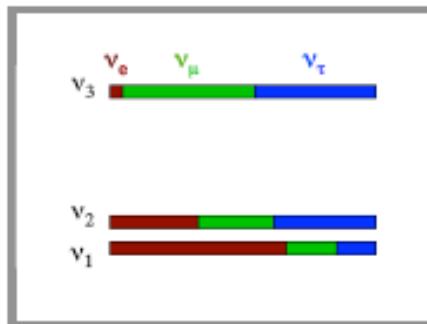
- Radioactive  $\nu_e/\bar{\nu}_e$  Source experiments  
 $(L/E \sim 1 \text{ m}/1 \text{ MeV})$
- Reactor  $\bar{\nu}_e$  experiments  
 $(L/E \sim 5 \text{ m}/5 \text{ MeV})$
- Accelerator produced  $\nu$  experiments  
 $(L/E \sim 1 \text{ km}/1 \text{ GeV})$
- Atmospheric Neutrinos in IceCube  
 $(L/E \sim 1000 \text{ km}/1 \text{ TeV})$

# One Light eV-Scale Sterile Neutrino

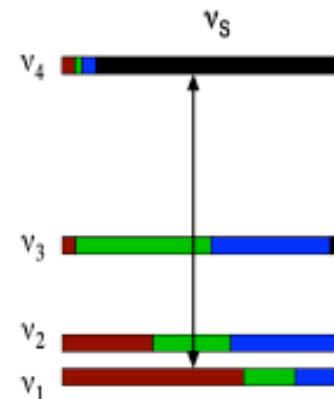
**3v scheme**

$$\Delta m_{\text{atm}}^2$$

$$\Delta m_{\text{sol}}^2$$



**3+1 scheme**



$$\Delta m_{41}^2 \sim 1 \text{ eV}^2$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

SBL

Add one sterile  $\nu$  with three active ones at the eV scale

Small perturbation of 3v mixing

$$|U_{e4}|^2 \ll 1, |U_{\mu 4}|^2 \ll 1, |U_{\tau 4}|^2 \ll 1, |U_{s4}|^2 \approx 1$$

# 3+1 Short Baseline Oscillation

Appearance ( $\alpha \neq \beta$ )

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

Disappearance

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & \boxed{U_{e4}} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & \boxed{U_{\mu 4}} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & \boxed{U_{\tau 4}} \\ U_{s1} & U_{s2} & U_{s3} & \boxed{U_{s4}} \end{pmatrix}_{\text{SBL}}$$

► Amplitude of  $\nu_e$  disappearance:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2) \simeq 4|U_{e4}|^2$$

► Amplitude of  $\nu_\mu$  disappearance:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu 4}|^2 (1 - |U_{\mu 4}|^2) \simeq 4|U_{\mu 4}|^2$$

► Amplitude of  $\nu_\mu \rightarrow \nu_e$  transitions:

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2 |U_{\mu 4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

quadratically suppressed for small  $|U_{e4}|^2$  and  $|U_{\mu 4}|^2$

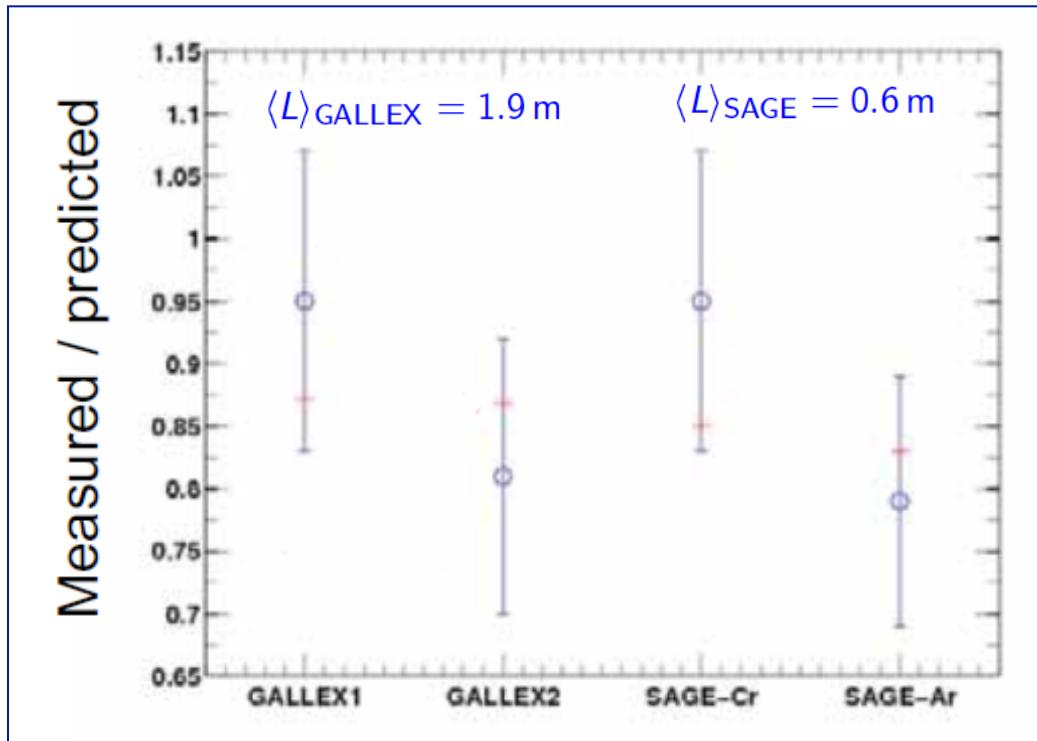


Appearance-Disappearance Tension

- 6 mixing angles
- 3 Dirac CP phases
- 3 Majorana CP phases

See reviews by C. Giunti

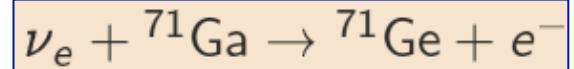
# Gallium Neutrino Anomaly



*Calibration measurements for the GALLEX & SAGE solar neutrino detectors using intense radioactive  $\nu_e$  fluxes from  $^{51}\text{Cr}$  &  $^{37}\text{Ar}$*

$^{51}\text{Cr}$  : 747 KeV (82%)  
 $^{37}\text{Ar}$ : 811 KeV (90%)

Detection process:



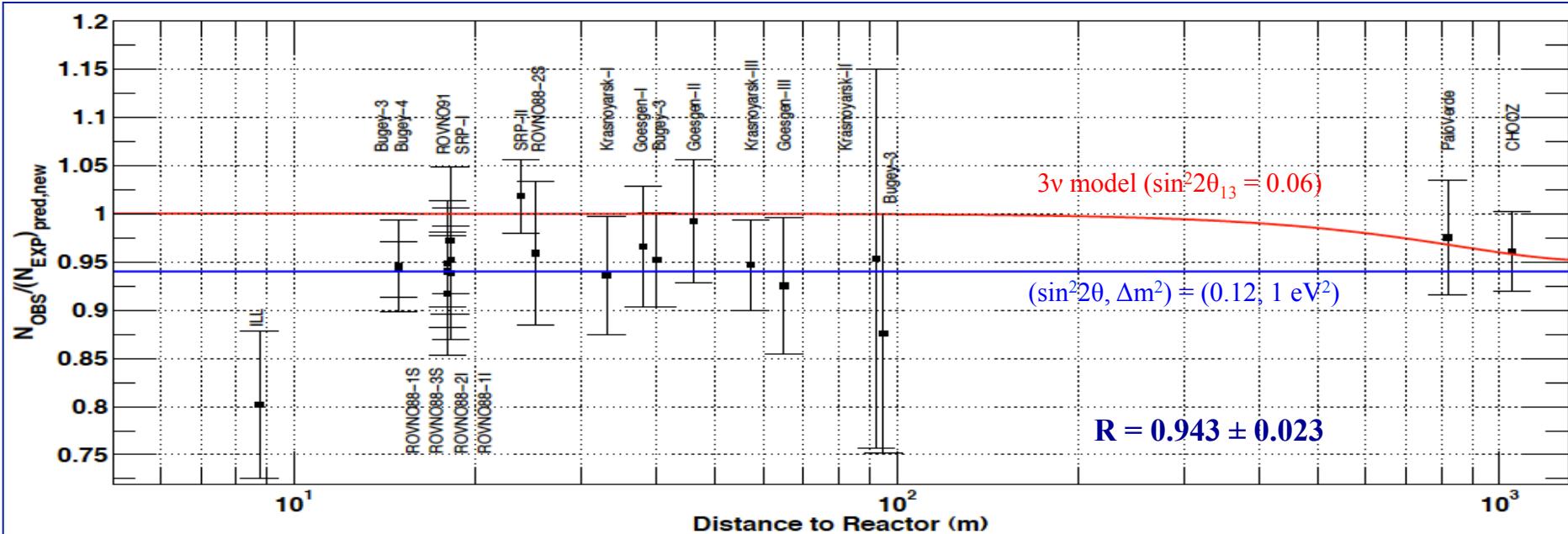
Measurements consistently lower than expectation

Suggests possible  $\nu_e$  disappearance at  $2.7\sigma$  due to active – sterile oscillation

Giunti and Laveder, arXiv:1006.3244

How well do we know the efficiencies of the radiochemical detection processes?

# Reactor Anti-neutrino Anomaly



Mention et al., arXiv:1101.2755 [hep-ex]

Recent reanalysis of reactor fluxes shows  $\sim 3.5\%$  upward shift in flux

Mueller et al., arXiv:1101.2663, confirmed by P. Huber, arXiv:1106.0687

Overall reduction in predicted flux compared to existing data can be interpreted as  $\bar{\nu}_e$  disappearance with  $\Delta m^2 \sim 1 \text{ eV}^2$  and  $L = 10 - 100 \text{ m}$

Does source and detector size wash out oscillations?

## *Today's SBL Reactor Experiments*

	<b>Core <math>\phi</math></b>	<b>Core <math>H</math></b>	$P_{th}$	$^{235}U\%$	<b>Baseline (m)</b>
• NEOS DANSS	3.1 m	3.8 m	2.8 GW	~ 4	23.7
	3.2 m	3.7 m	3.1 GW	~ 4	10.7 - 12.7
• STEREO SOLID PROSPECT	<b>Core <math>\phi</math></b>	<b>Core <math>H</math></b>	$P_{th}$	$^{235}U\%$	<b>Baseline (m)</b>
	40 cm	80 cm	58 MW	93	9-11
	50 cm	90 cm	50-80 MW	93	6-9
	44 cm	51 cm	85 MW	> 93	7-9

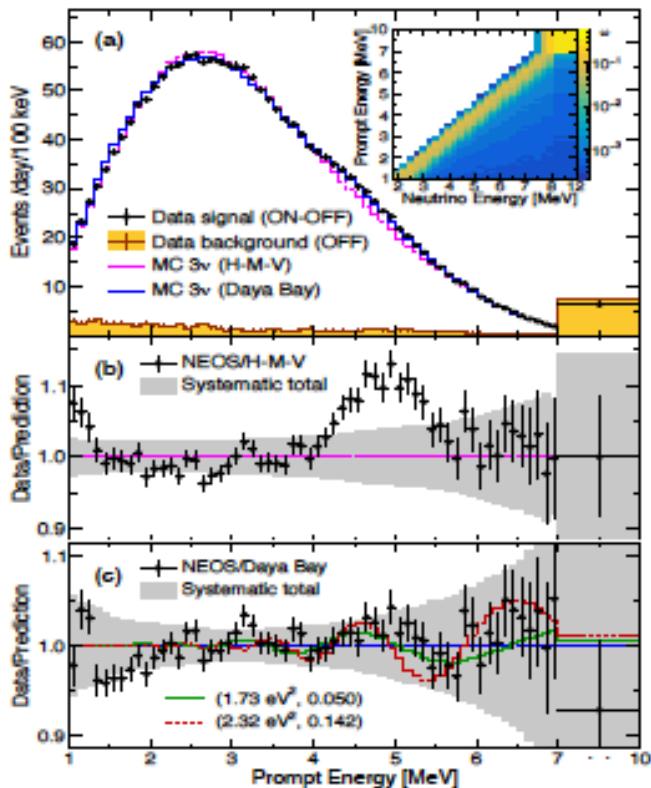
Core size and fuel composition impact on systematics &  $\nu$  rates

	$\sigma/E\%$	<b>average rate/day</b>	$n$ capture
DANSS	17	$4101 \pm 11$	Gd
NEOS	5	~ 1976	Gd
STEREO	9	$396 \pm 4.7$	Gd
PROSPECT	4.5	~ 750	Li
SOLID(preliminary)	14	~ 1750 ON & ~ 1375 OFF	Li

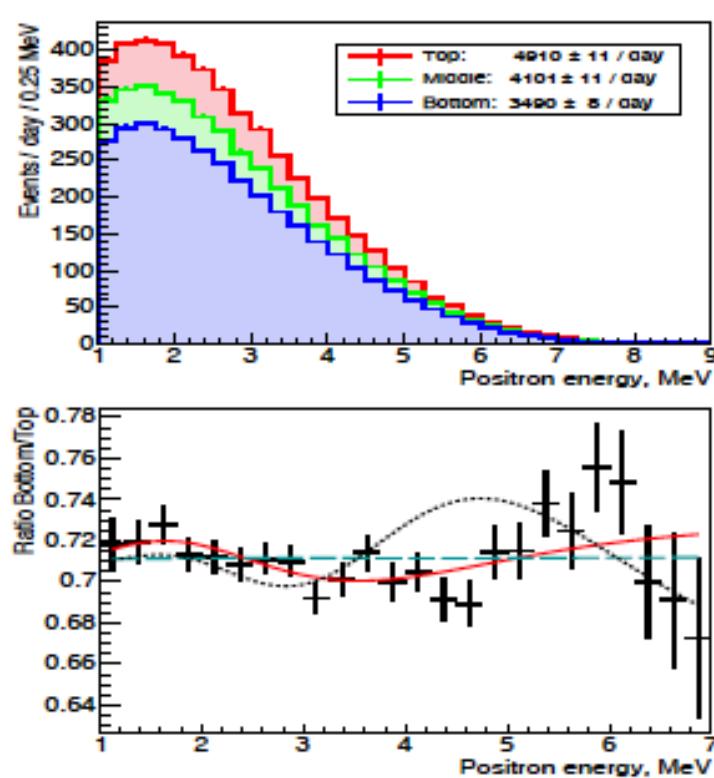
# NEOS and DANSS

**NEOS** arXiv:1610:05134

**DANSS** arXiv:1804:04046



$$\chi^2_{4\nu} - \chi^2_{3\nu} = -6.5$$

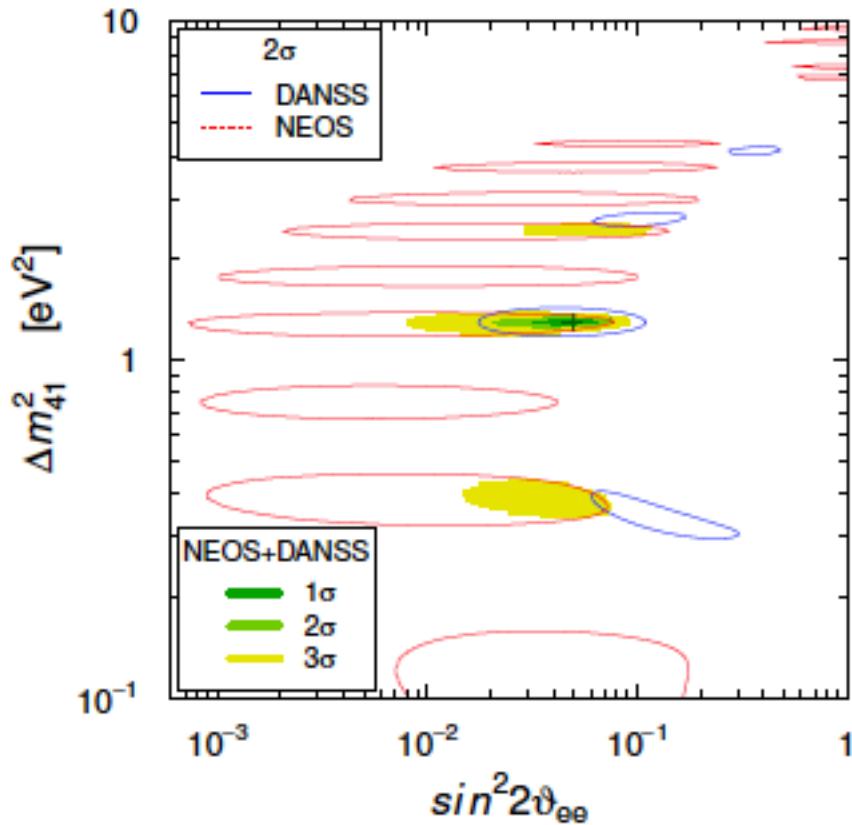


$$\chi^2_{4\nu} - \chi^2_{3\nu} = -13.1$$

**Best fit points very similar:**  $(\sin^2 2\theta, \Delta m^2) \simeq (0.05, 1.4 \text{eV}^2)$

# Model-Independent $\bar{\nu}_e$ SBL Oscillations

[Gariazzo, CG, Laveder, Li, PLB 782 (2018) 13, arXiv:1801.06467]



$\sim 3.7\sigma$

$$\Delta m_{41}^2 = 1.29 \pm 0.03$$

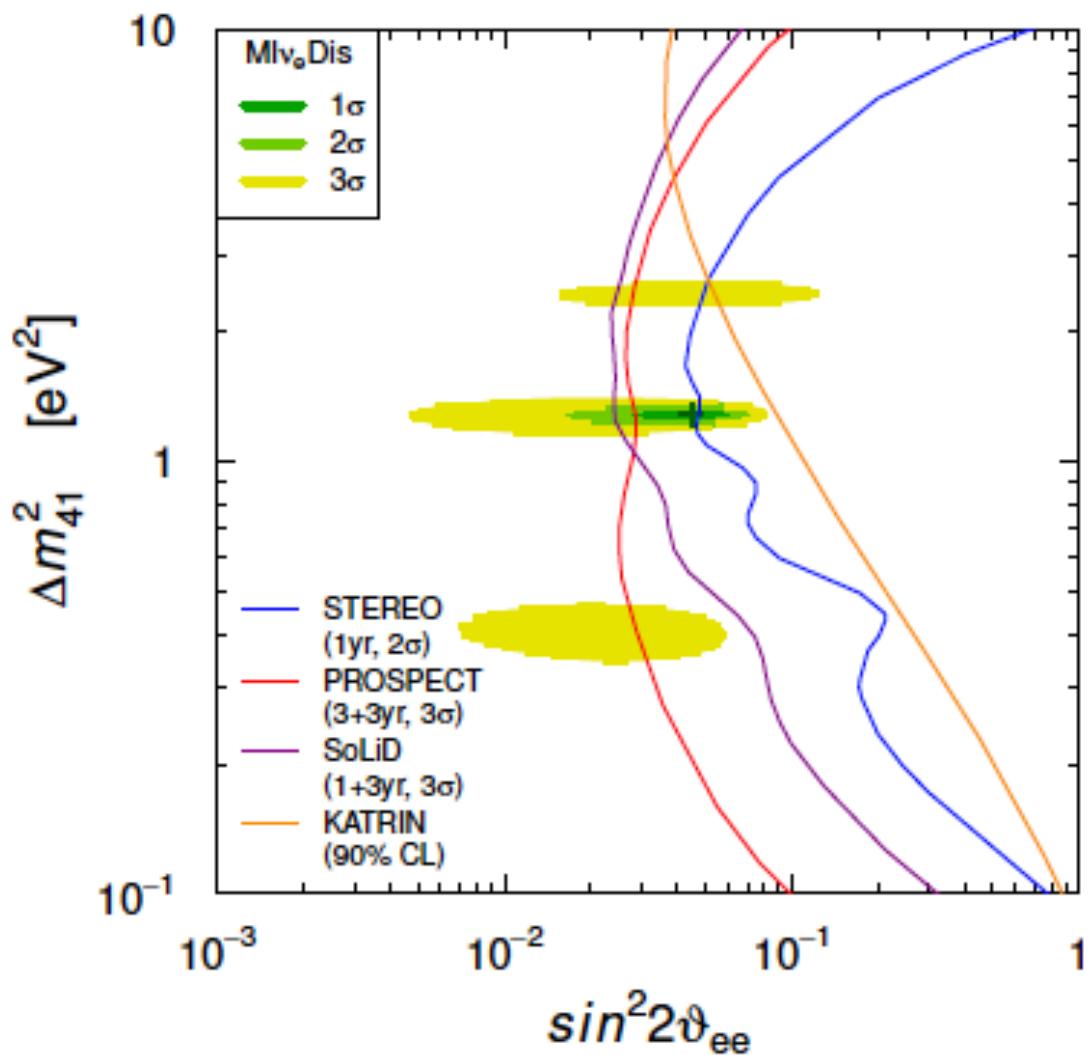
$$\sin^2 2\vartheta_{ee} = 0.049 \pm 0.011$$

$$\sin^2 \vartheta_{14} = |U_{e4}|^2$$

$$\sin^2 \vartheta_{14} = 0.012 \pm 0.003$$

$$\sin^2 \vartheta_{13} = 0.022 \pm 0.001$$

# Global Analysis



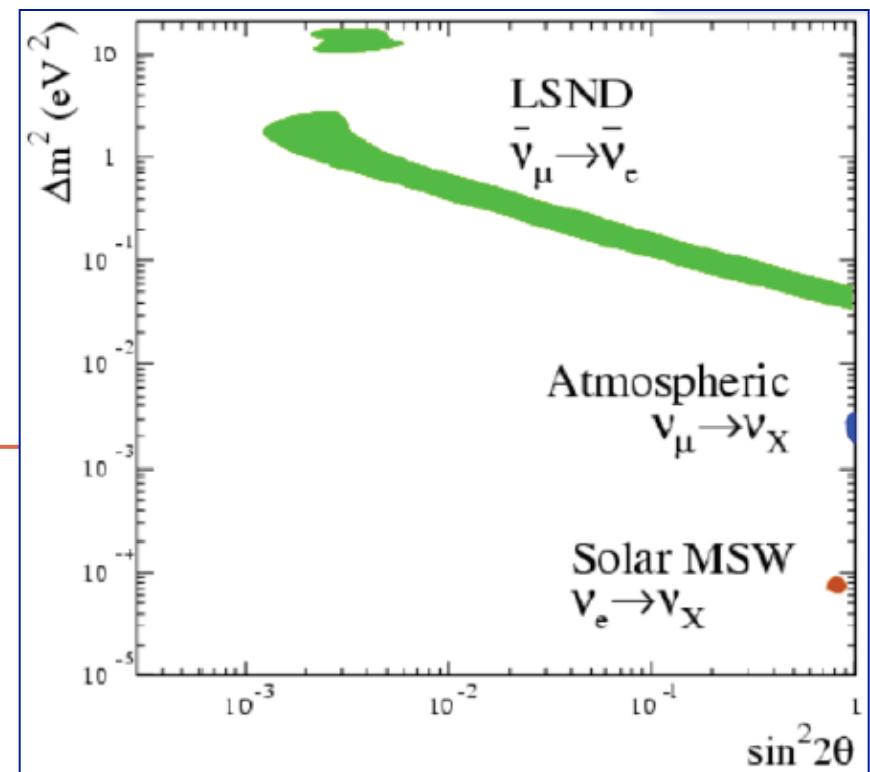
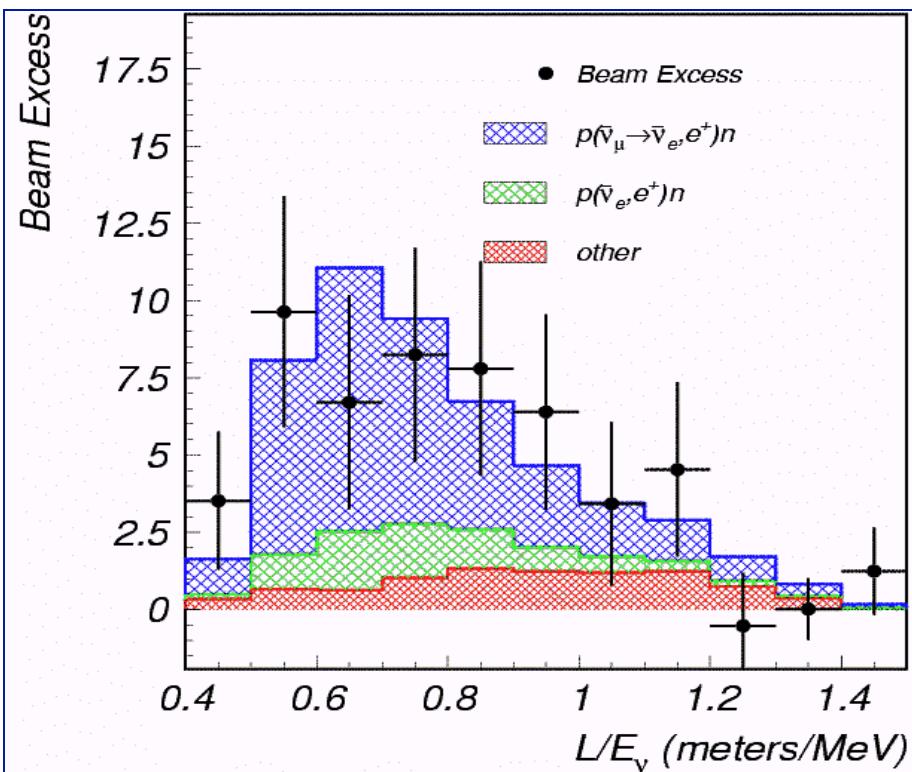
- NEOS and DANSS.
- Reactor rates with free  $^{235}\text{U}$  and  $^{239}\text{Pu}$  fluxes:  $r_{235}$  and  $r_{239}$ .
- Gallium data with free GALLEX and SAGE efficiencies:  $\eta_G$  and  $\eta_S$ .
- New reactor experiments: STEREO, Neutrino-4, SoLiD, PROSPECT
- Kinematic  $\nu_4$  mass measurement: KATRIN

[See also Dentler, Hernandez-Cabezudo, Kopp, Machado, Maltoni, Martinez-Soler, Schwetz, arXiv:1803.10661]

Courtesy C. Giunti

# LSND Result

LSND : L = 30 m,  $\langle E_{\bar{\nu}_\mu} \rangle = 40$  MeV



Saw an excess of  $87.9 \pm 22.4 \pm 6.0$  events

3.8  $\sigma$  excess of  $\bar{\nu}_e$  events in a beam of  $\bar{\nu}_\mu$

PRD 64, 112007 (2001)

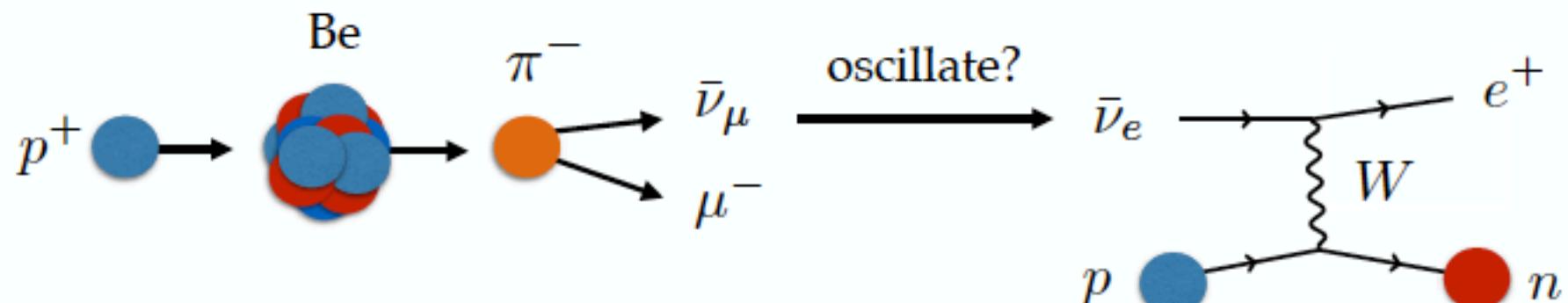
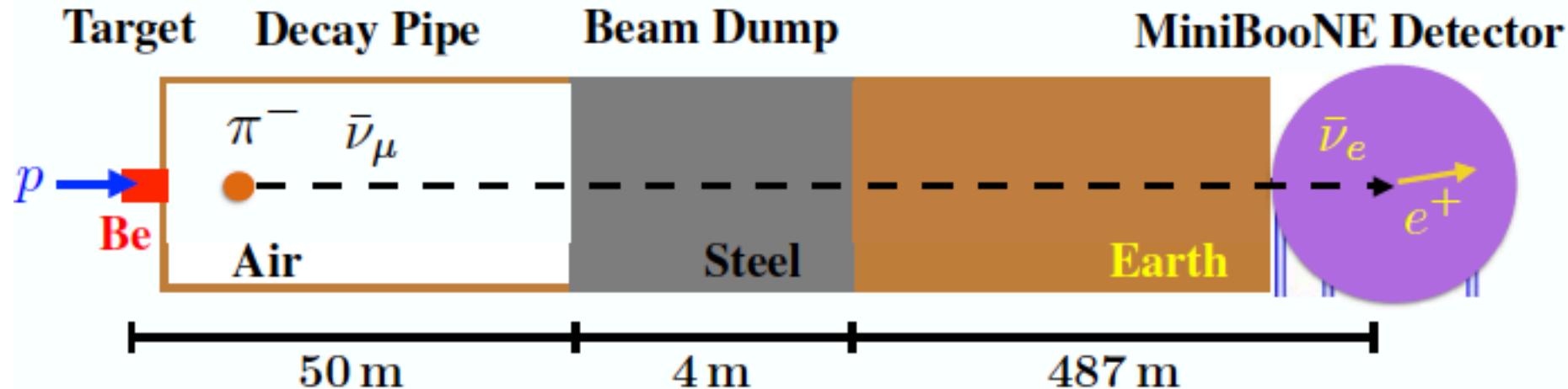
$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = (0.264 \pm 0.067 \pm 0.045)\%$$

$\Delta m^2 \sim 0.1 - 10$  eV<sup>2</sup>, small mixing

Large ( $\sin^2 2\theta, \Delta m^2$ ) degeneracy

HARP @ CERN can test LSND  $\bar{\nu}_e$  background estimate

# MiniBooNE Experiment



$10^{21}$  POT,  $E_p = 9$  GeV

Energy and baseline chosen to test LSND

Comparable oscillation probabilities

MiniBooNE Collaboration 1805.12028

# *MiniBooNE Data Analysis*

Luminosity	neutrino mode $12.84 \times 10^{20}$ POT	antineutrino mode $11.27 \times 10^{20}$ POT
Reconstructed Neutrino Energy		$200 < E_{\nu}^{QE} < 1250$ MeV
Excess events BG subtracted	$381.2 \pm 85.2$	$79.3 \pm 28.6$

## Possibly Important Caveat

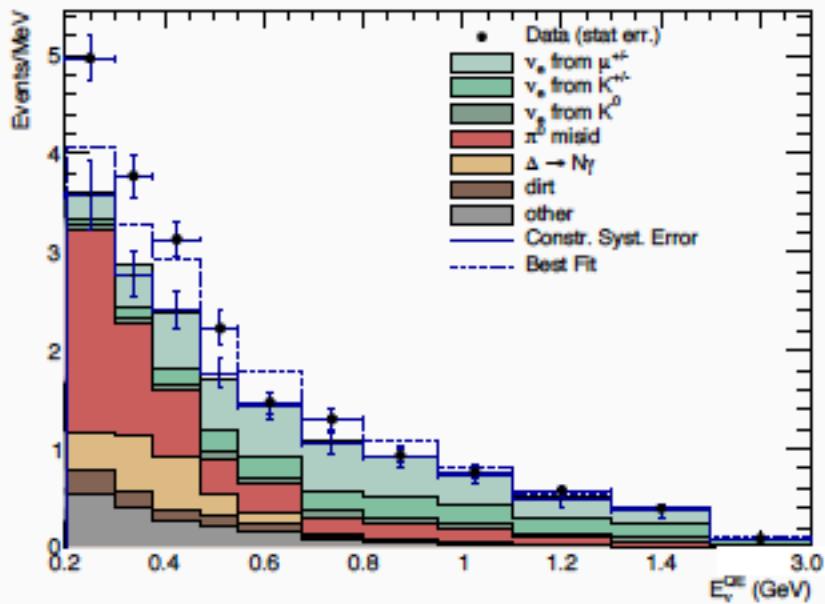
Mild tension  $\sim 2+$  sigma between neutrino and antineutrino modes

Updated Neutrino Mode Analysis  
MiniBooNE Collaboration 1805.12028

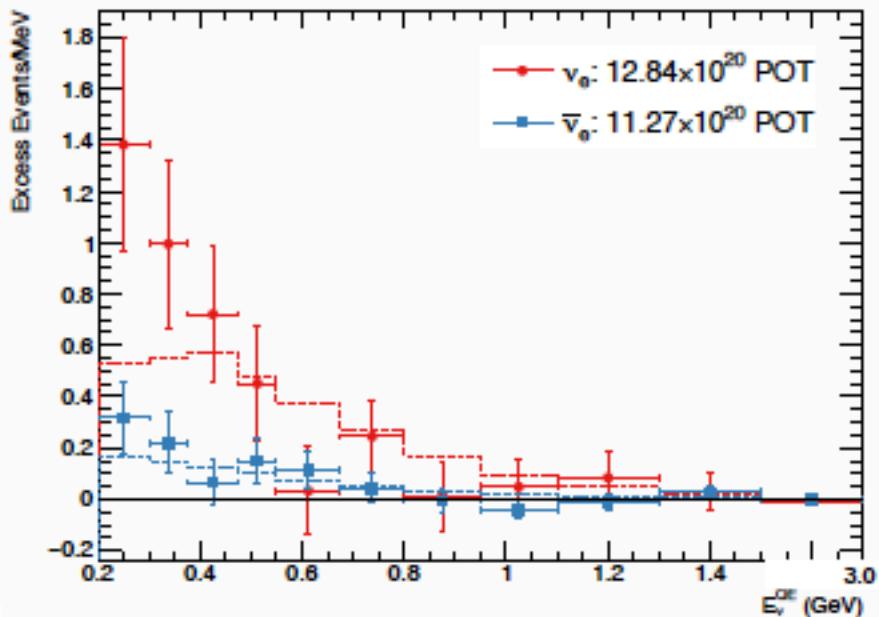
Complements earlier antineutrino results collected 2002-2010

# MiniBooNE Anomaly

Neutrino mode only



Both excesses, BG subtracted



$$E_\nu^{(\text{reconst.})} = \frac{2m_n E_e + m_p^2 - m_n^2 - m_e^2}{2(m_n - E_e + \cos \theta_e \sqrt{E_e^2 - m_e^2})}$$

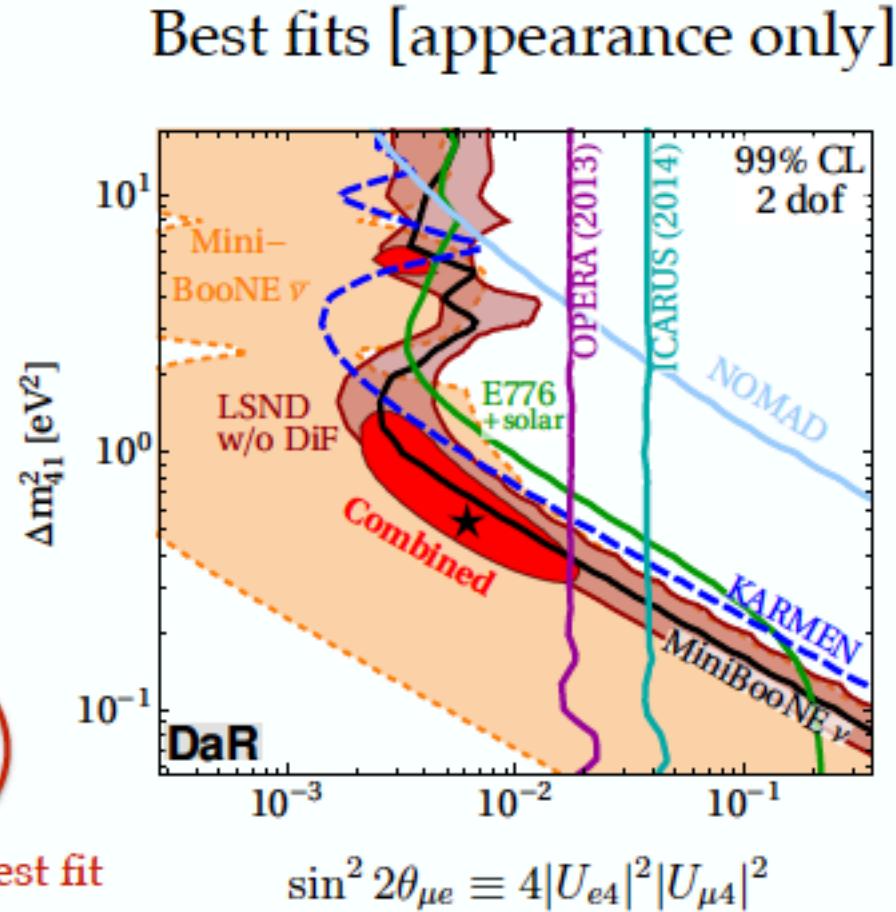
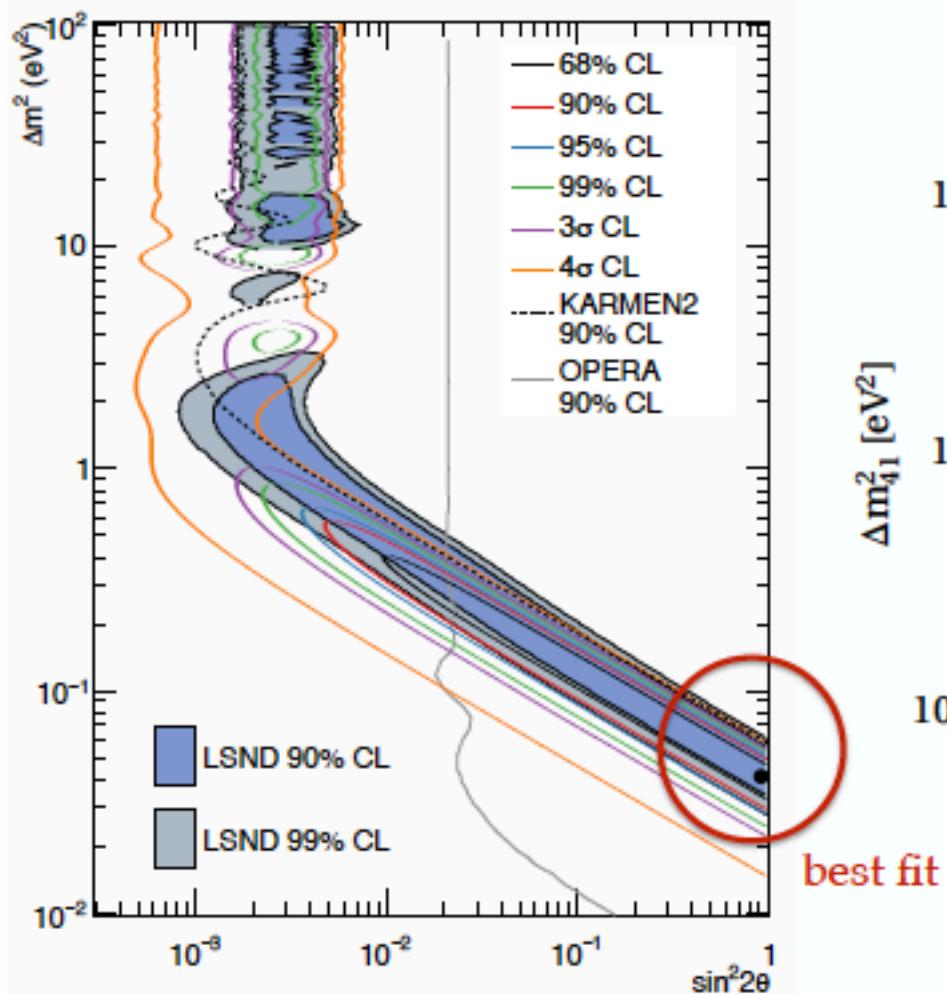
Measure charged lepton energy/angle

Observed  $\sim 400$  events, PMNS predicts 0

Combined  $\nu/\bar{\nu}$  modes :  $4.8\sigma$  excess

MiniBooNE Collaboration 1805.12028

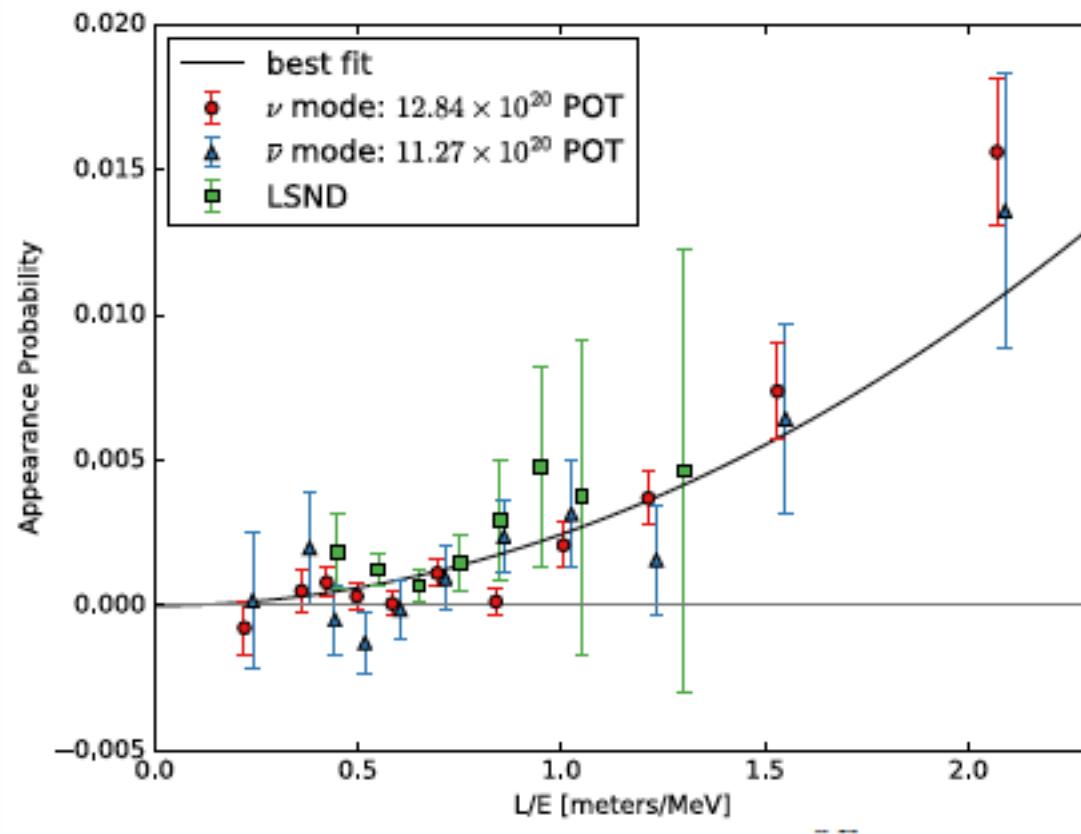
# Can eV-scale Sterile Neutrino explain LSND+ MiniBooNE Anomaly?



MiniBooNE Collaboration 1805.12028

Dentler et. al. 1803.10661

# Can eV-scale Sterile Neutrino explain LSND+ MiniBooNE Anomaly?

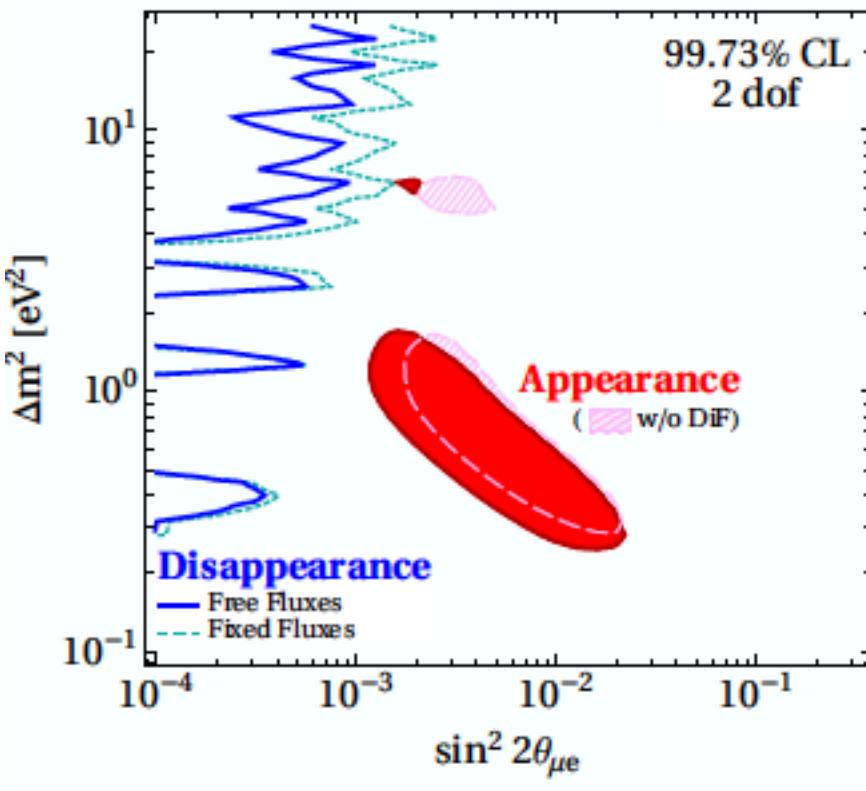


@ face value the scaling seems to support LSND / MB compatibility

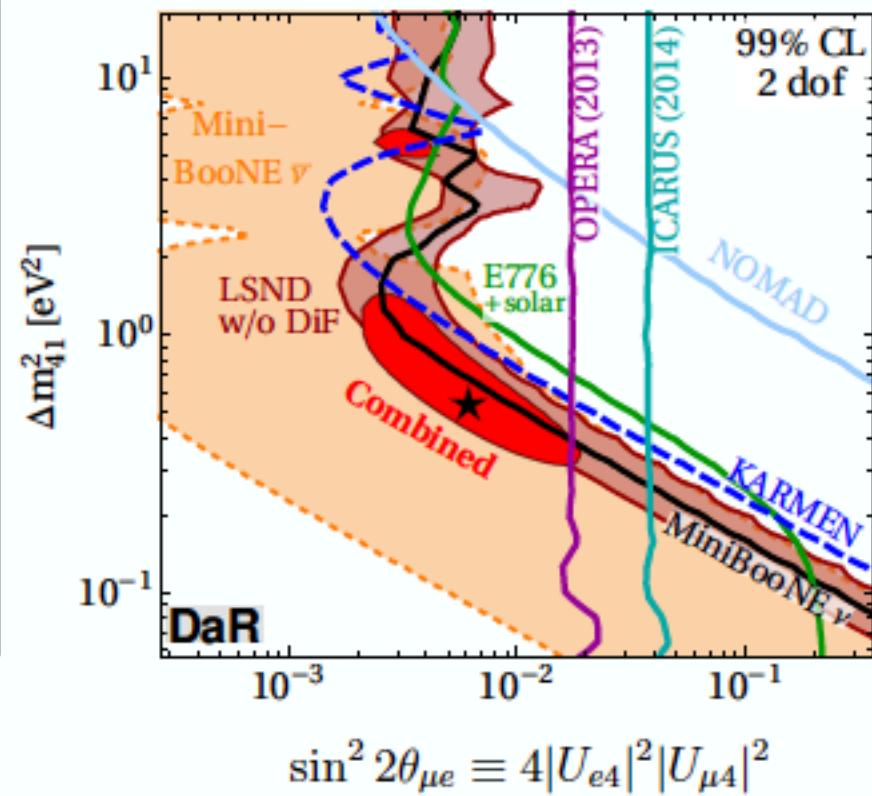
MiniBooNE Collaboration 1805.12028

# Can eV-scale Sterile Neutrino explain LSND+ MiniBooNE Anomaly?

Including disappearance



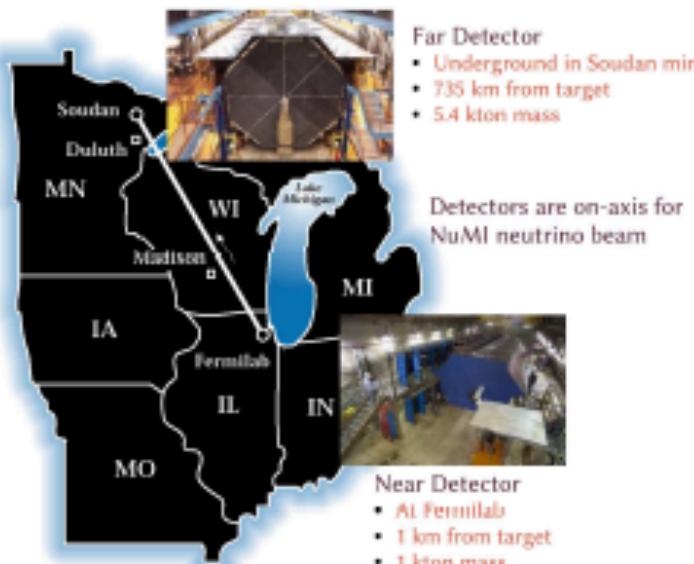
Best fits [appearance only]



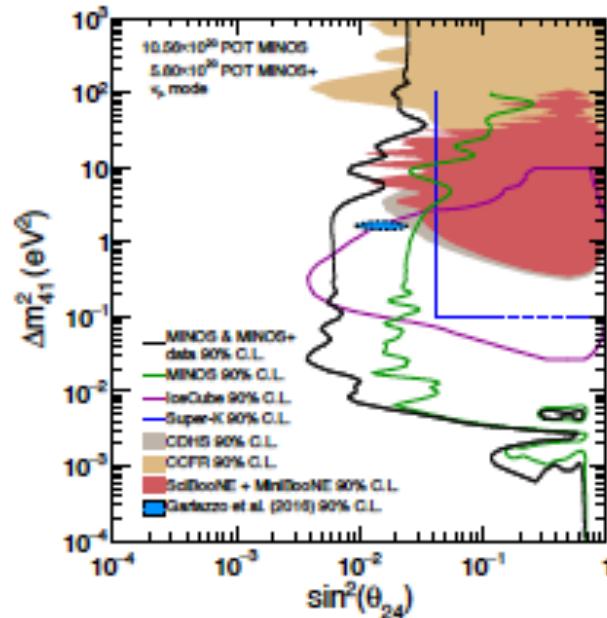
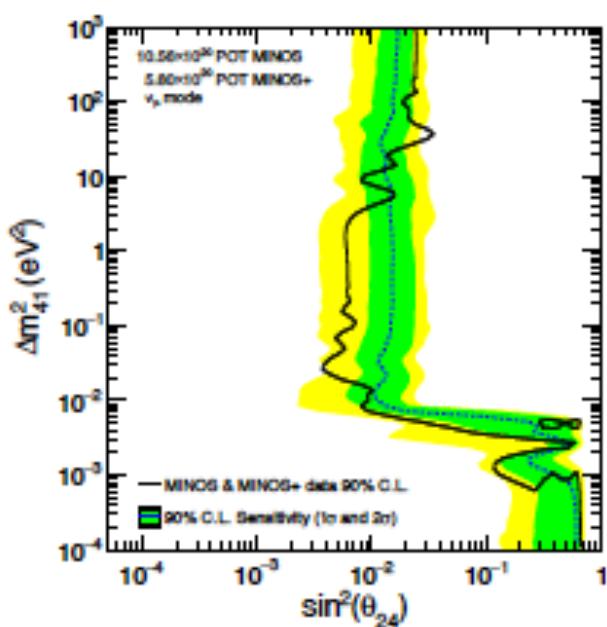
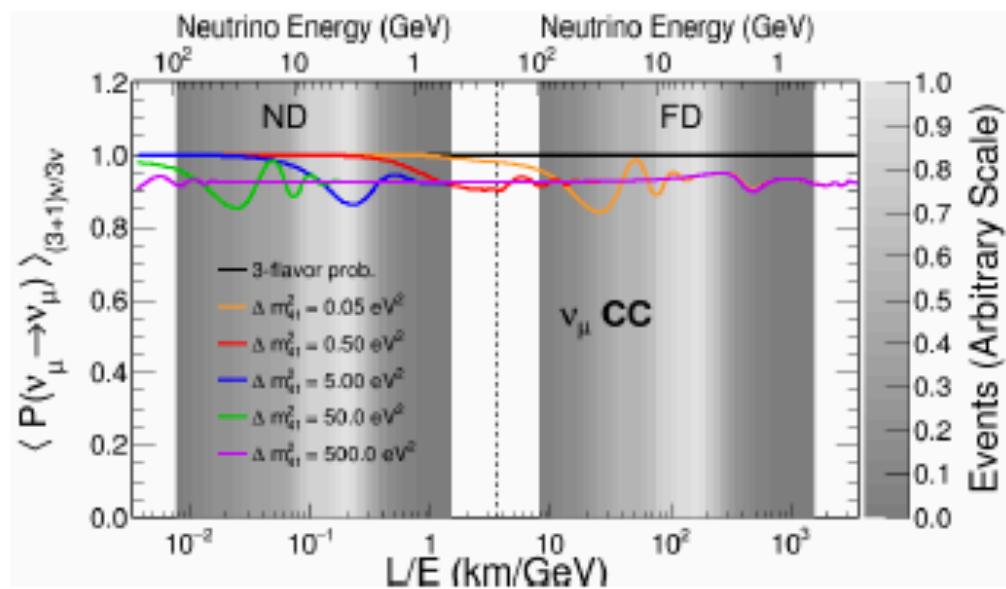
Significant tension with multiple null disappearance results

Dentler et. al. 1803.10661

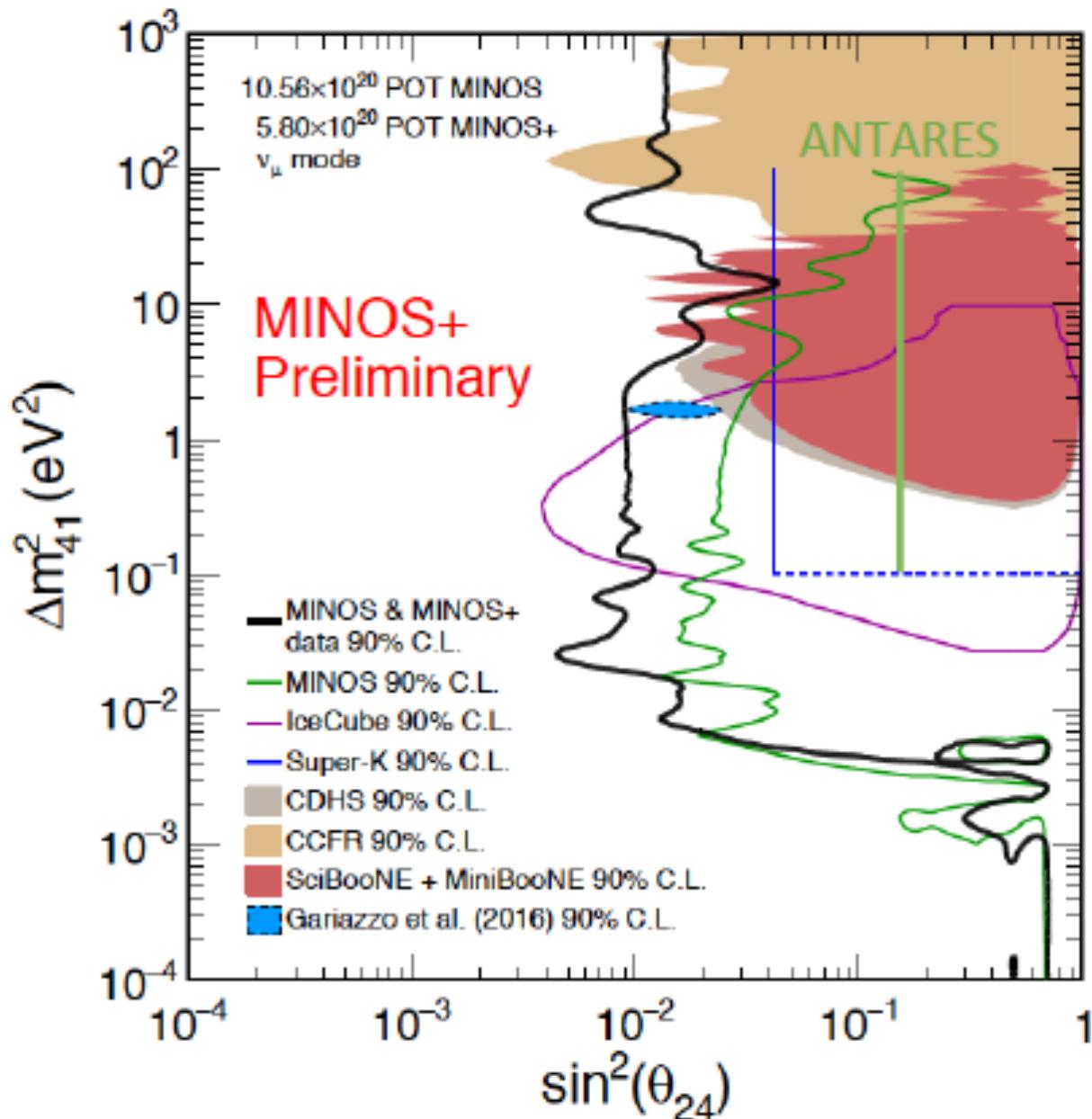
# New Bounds from MINOS+



[arXiv:1710.06488]

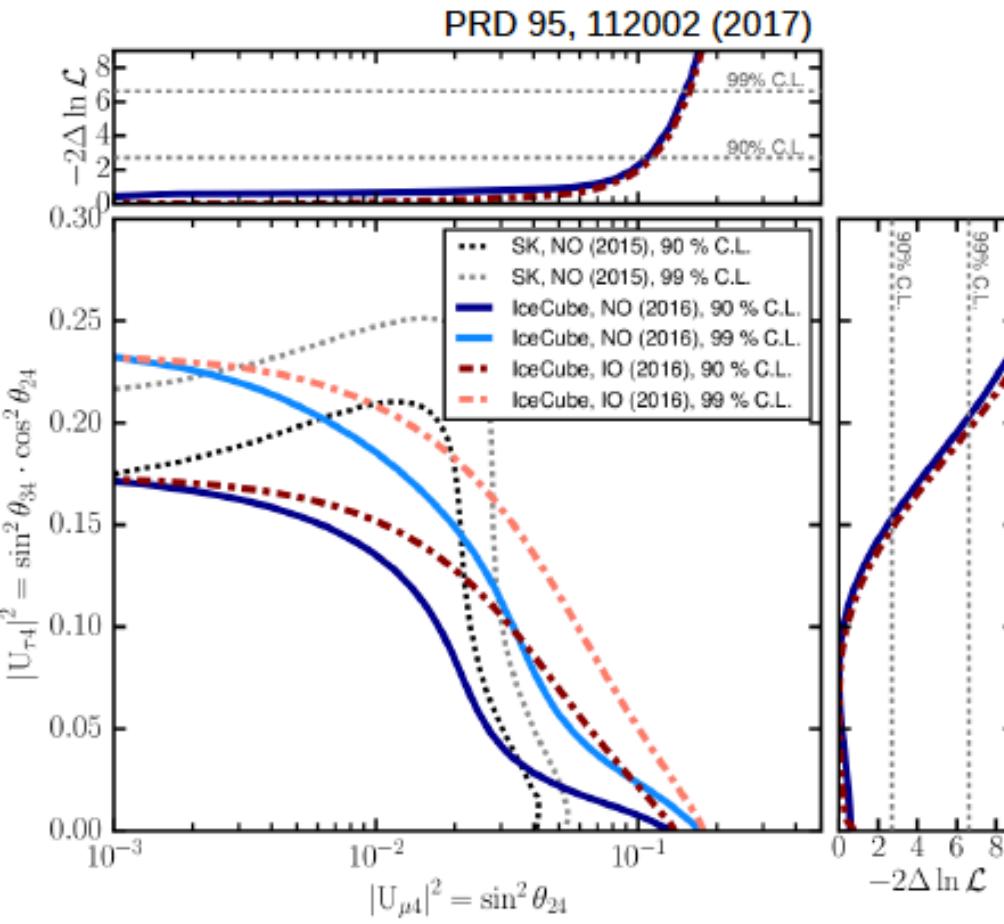


# *Let us Zoom the Muon Disappearance Results*

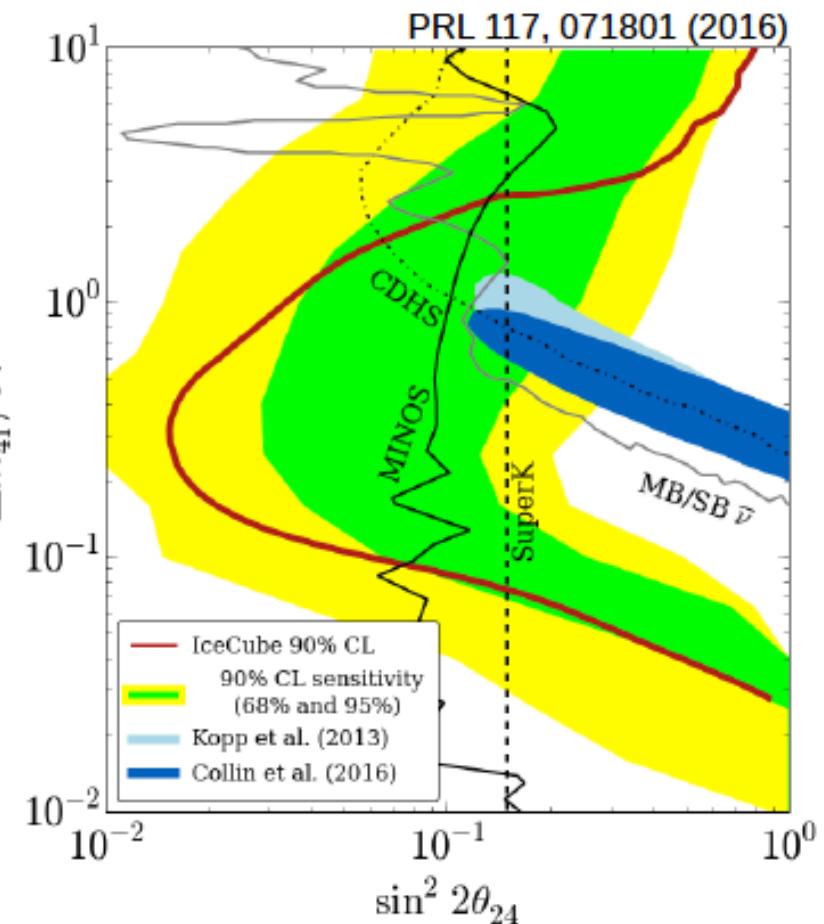


# Sterile Neutrinos with IceCube

## > Sterile neutrinos with DeepCore



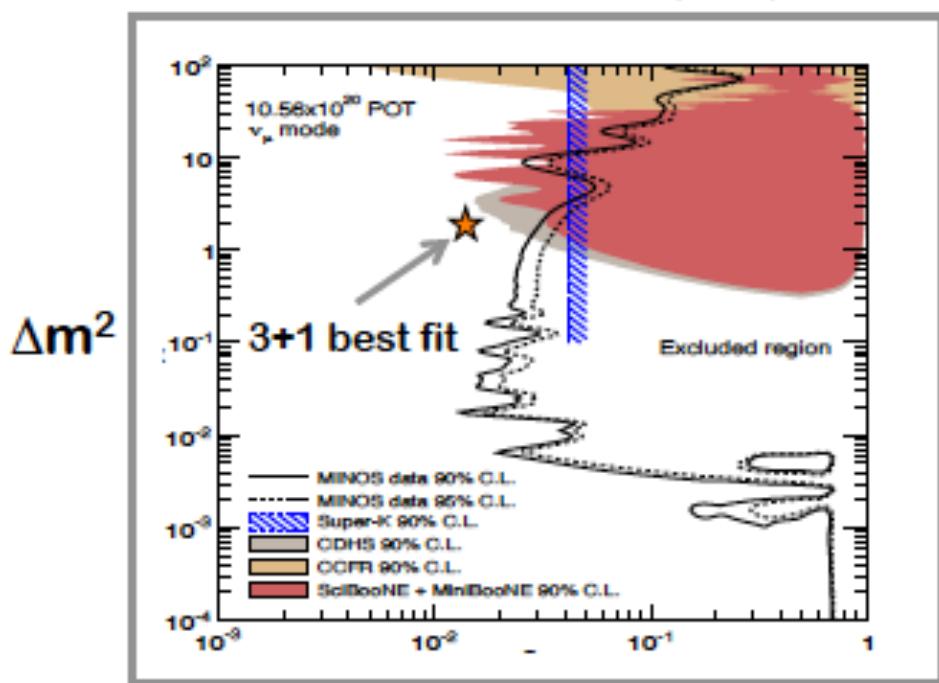
## > TeV search for sterile neutrinos



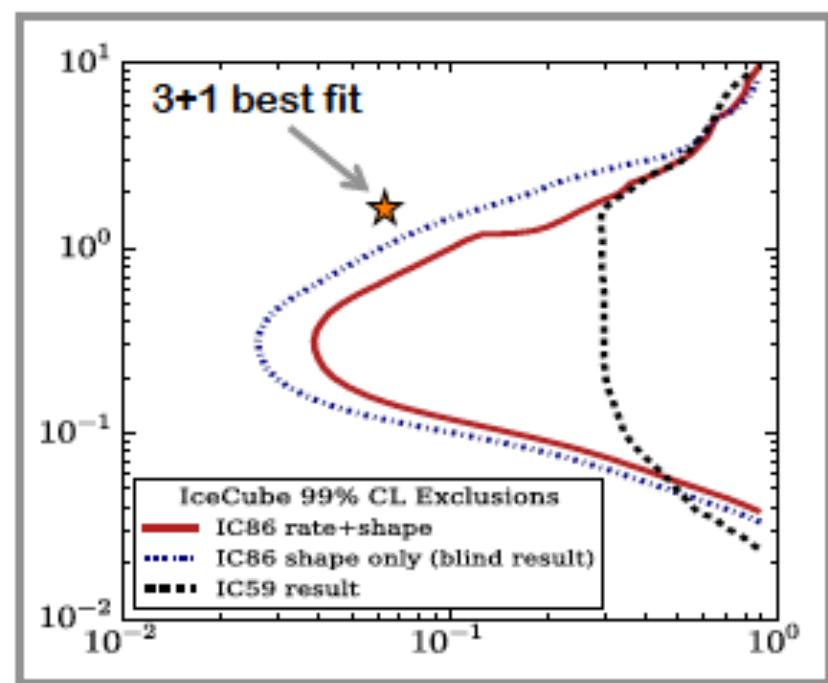
## > Constraining limits on sterile neutrino mixing in muon and tau sectors

# No Anomaly in Muon Neutrino Disappearance

## SBL & MINOS (NC)



## IceCube

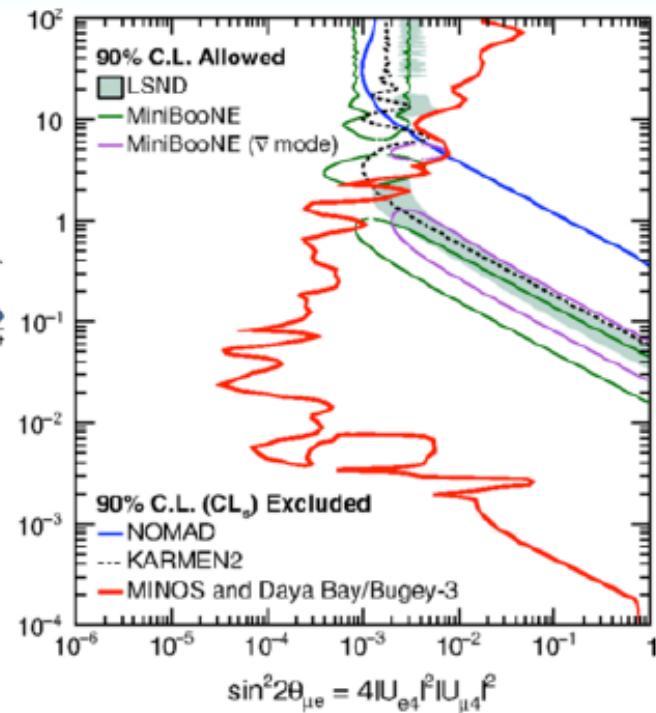
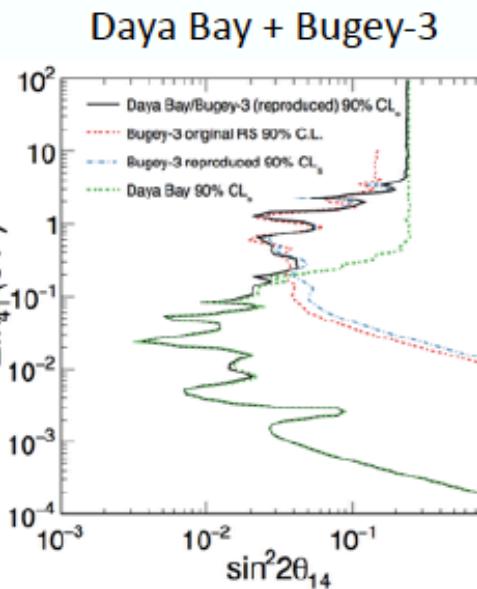
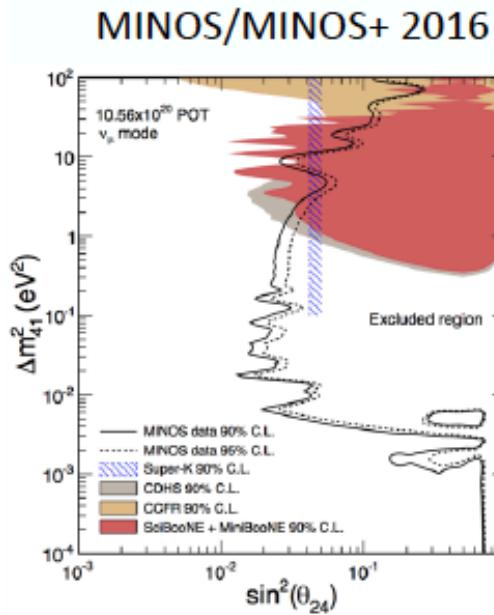


$$\sin^2 \theta_{\mu\mu}$$

$$\sin^2 2\theta_{\mu\mu}$$

# Joint Analysis of Daya Bay/Bugey-3/MINOS

PRL 117, 151801  
 (2016)+MINOS+ results



- The combined results can largely exclude the LSND and MiniBooNE region assuming 3+1 neutrino model

**Combined** : [Phys. Rev. Lett. 117, 151801](#)  
**MINOS** : [Phys. Rev. Lett. 117, 151803](#)  
**Daya Bay** : [Phys. Rev. Lett. 117, 151802](#)

# *What Cosmology can infer about Light Sterile Neutrino?*

Sterile neutrinos require  $\sin^2 2\theta_{\mu e} > 10^{-3}$ ,  $m_4 <$  few eV

Generic early universe thermalization

$$\Gamma > H \implies \sin^2 2\theta_{\mu e} G_F^2 T^5 > \sqrt{g_*} \frac{T^2}{m_{\text{Pl}}} \implies n_4 \sim n_\nu$$

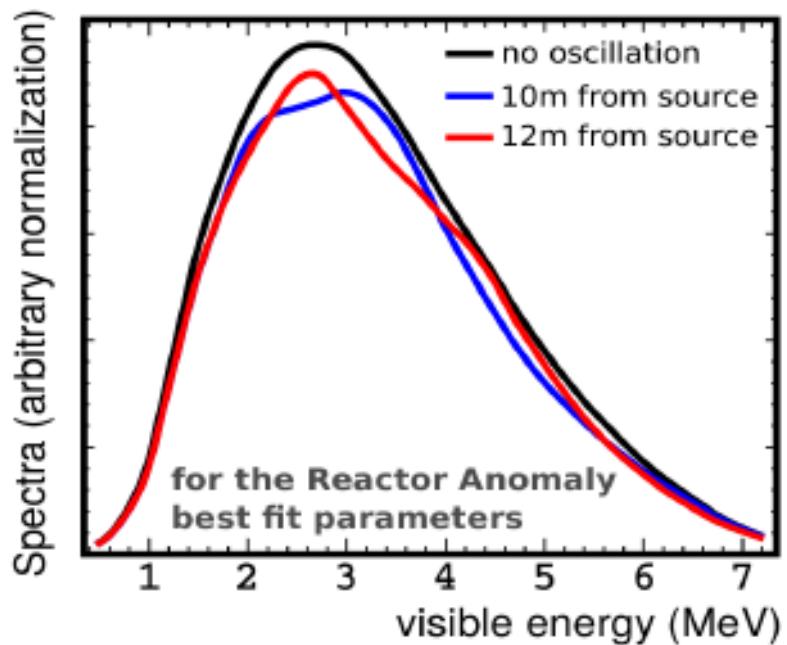
Excluded by BBN/CMB  $N_{\text{eff}} = 2.99 \pm 0.17$  Planck 1807.06209

Unless max temperature satisfies  $T_{\text{max}} \lesssim 15 \text{ MeV} \left( \frac{10^{-3}}{\sin^2 2\theta_{\mu e}} \right)^{1/3}$

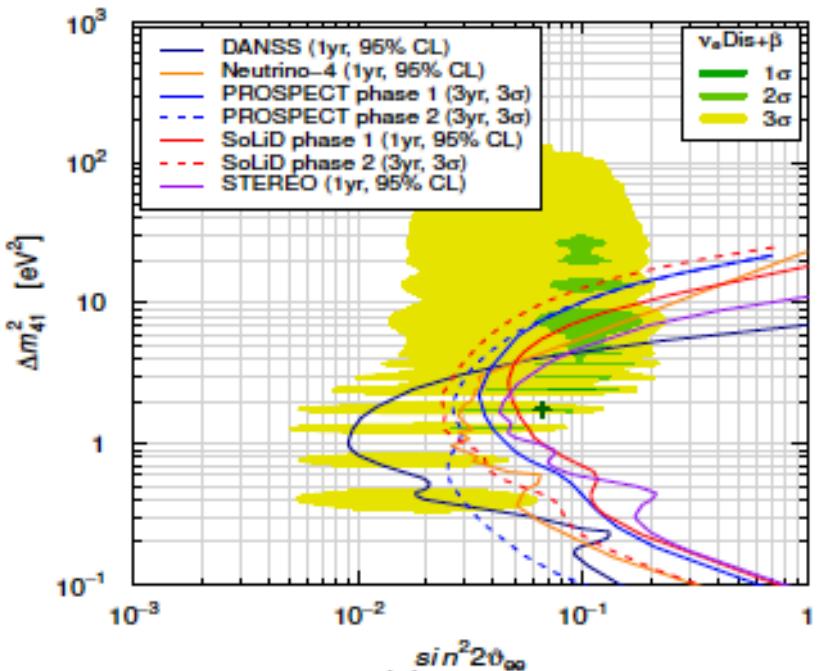
Interesting discussion in arXiv:1806.10629v1 by Chu, Dasgupta, Dentler, Kopp, Saviano

# Need to see SBL Oscillation Pattern: Smoking Gun Signature

STEREO

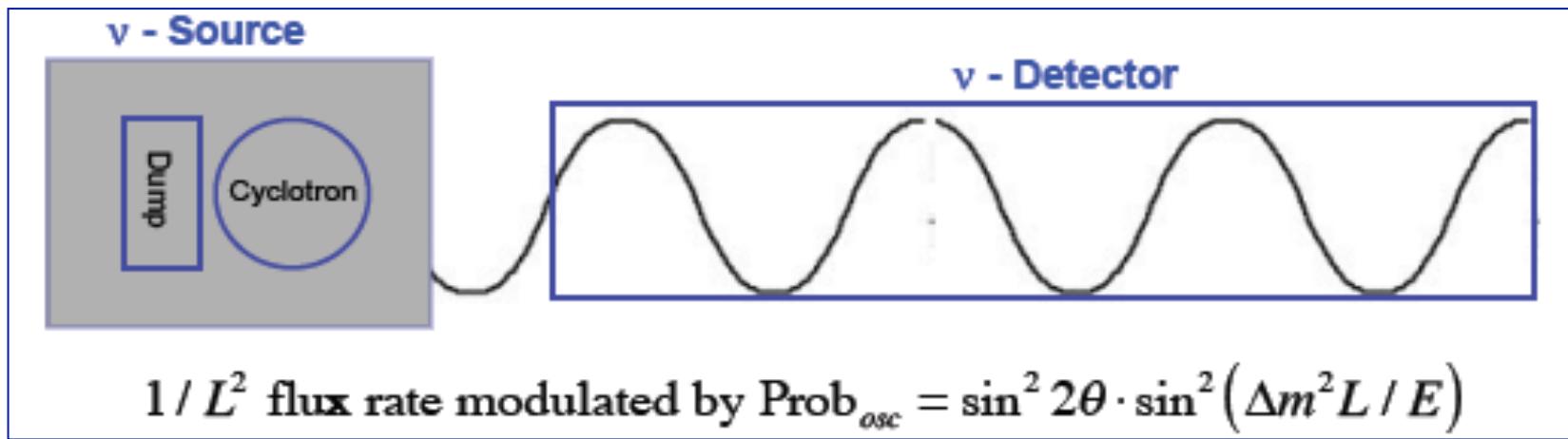


Gariazzo et al., 1703.00860



by observing the oscillation pattern  
and we have already some hints...

# *Very Short Baseline Oscillation Experiment*

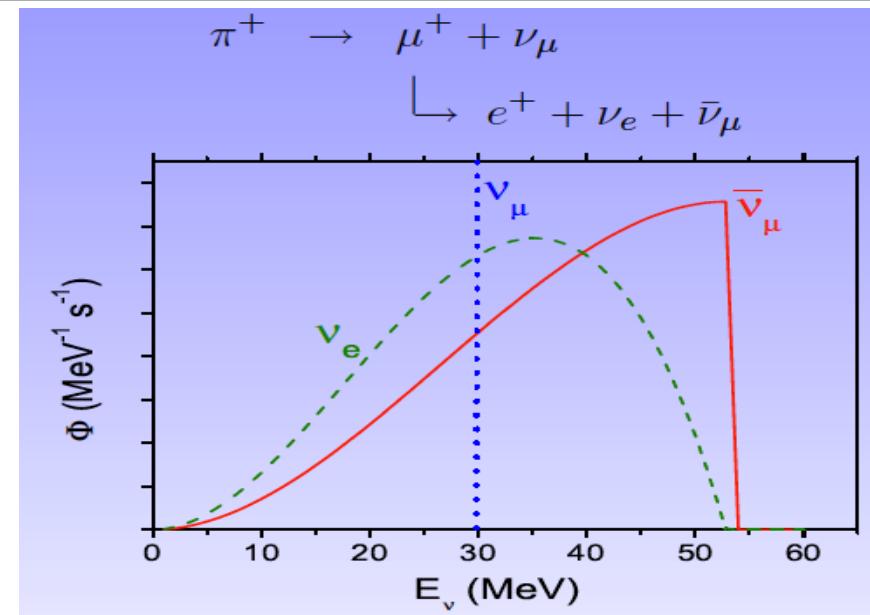
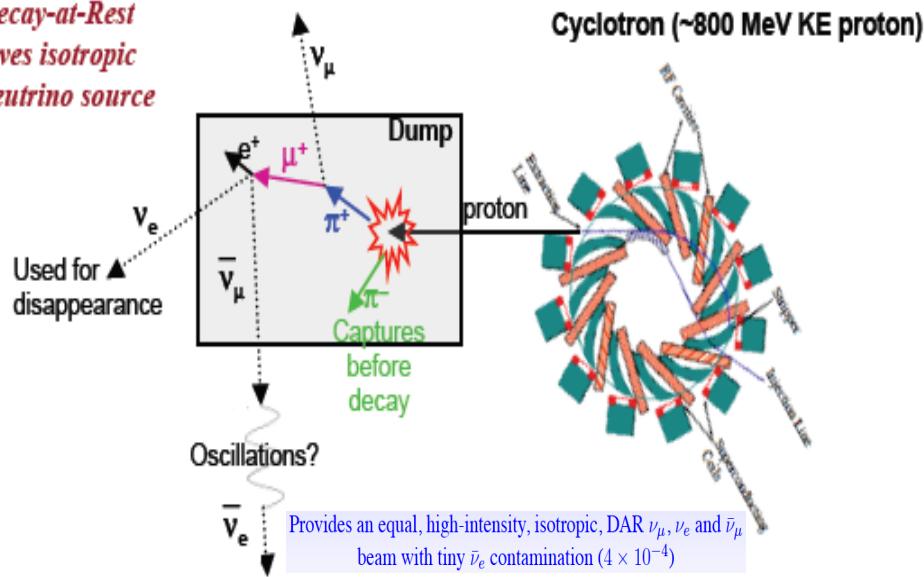


## **Neutrino Sources**

- Decay-at-rest beam from proton beam dump
- Small core reactor source
- Very high activity radioactive source
- Observe the L/E dependence of the event rates within a long  $\nu$  detector
- Background distribution is either independent of L or goes like  $1/L^2$
- Powerful verification of the short baseline oscillation/new physics

# Decay-At-Rest (or Beam Dump) Neutrino Source

*Decay-at-Rest  
gives isotropic  
neutrino source*

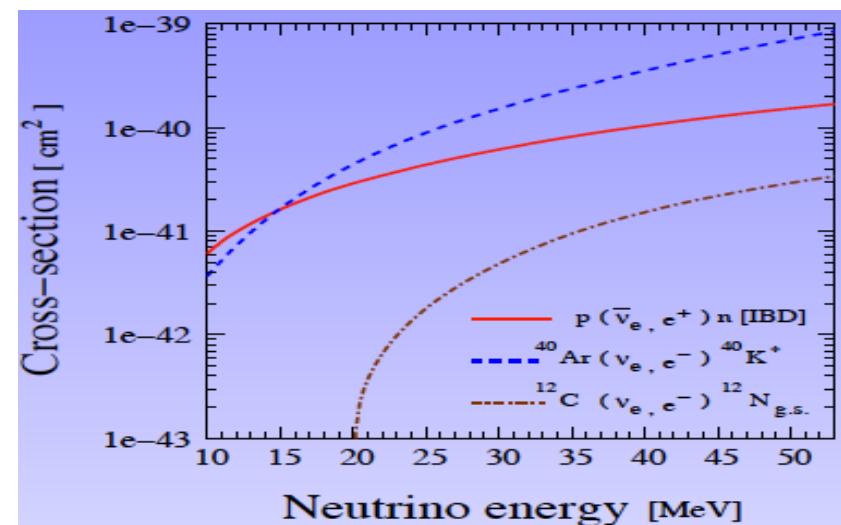


800 MeV protons from cyclotrons interact in a low-A target (C, H<sub>2</sub>O) producing  $\pi^+$  and, at a low level,  $\pi^-$



Low-A target is embedded in a high-A, dense material where pions are brought to rest

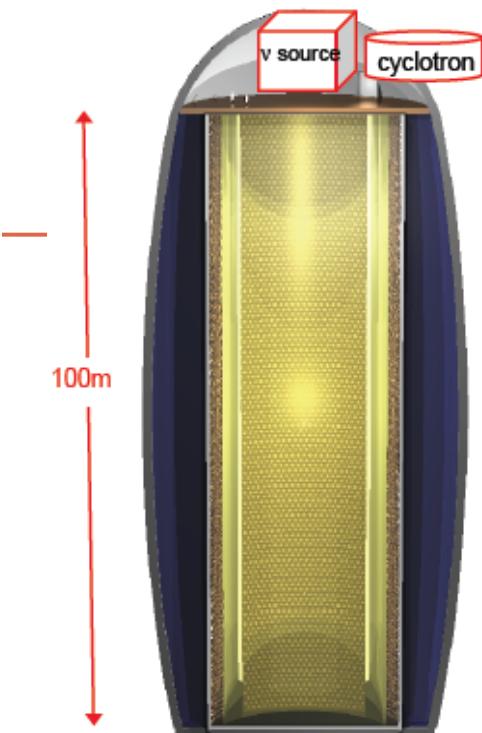
$\pi^-$  & daughter  $\mu^-$  captured before DIF, minimizing  $\bar{\nu}_e$



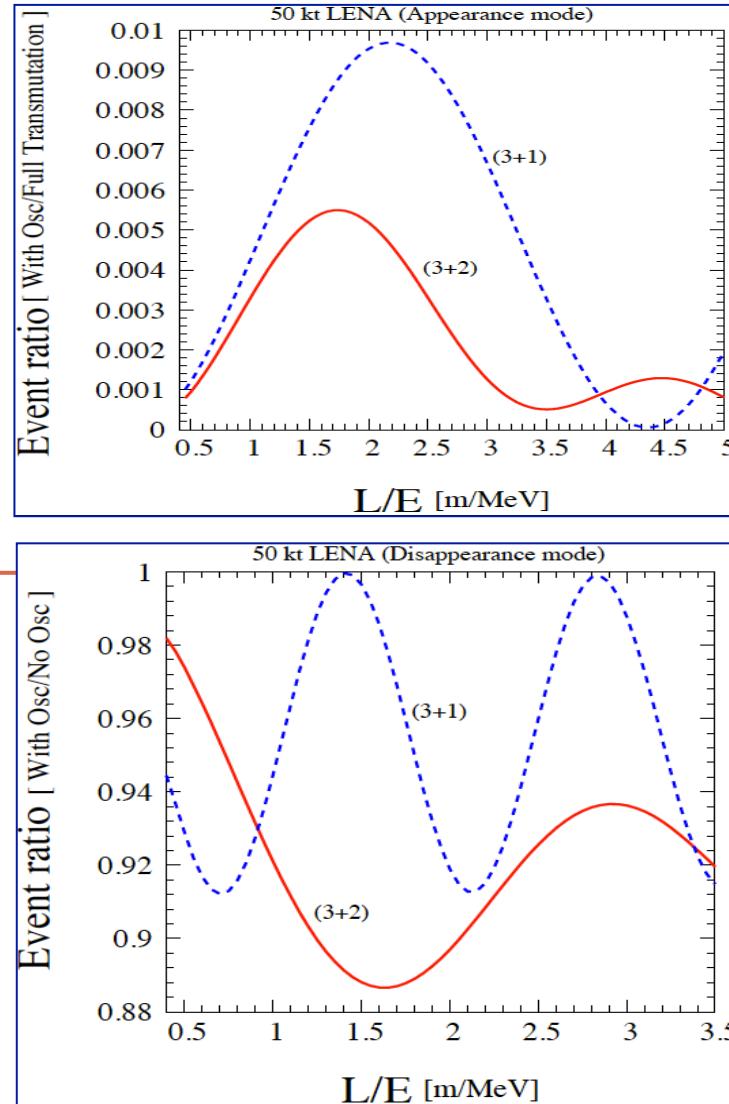
Agarwalla, Conrad and Shaevitz, arXiv: 1105.4984

# Short Baseline Neutrino Oscillation Waves

- LENA Scintillation Detector
- 50 kt fiducial mass
- Source-to-detector face = 20 m
- Deep location (4000 mwe)
- Negligible cosmic muon background



Similar study with NOvA,  
Gd doped Super-K, or JUNO



Distinguish  
between (3+1)  
& (3+2) models

Rate + Shape  
measurement

Several  
L/E bins  
cancel  
systematic  
uncertainties

Agarwalla and Huber, arXiv: 1007.3228

Agarwalla, Conrad and Shaevitz, arXiv: 1105.4984

## *An Intrinsic Limitation of SBL Setup*

- In SBL expts,  $L/E \sim 1$  (m/MeV) to probe  $\sim 1$  eV<sup>2</sup> mass-squared difference
- Oscillations due to solar and atmospheric frequencies are almost negligible
- We essentially work in a two-flavor framework, governed by the new frequency  $\Delta m_{41}^2$ , and the new active-sterile mixing elements  $U_{e4}, U_{\mu 4}, U_{\tau 4}$
- Cannot observe the interference between the sterile & atm/sol frequencies
- Cannot observe the presence of CP phases in SBL experiments
- Interference is the key in order to measure the new CP phases that are there due to the new sterile states, and LBL experiments can probe them

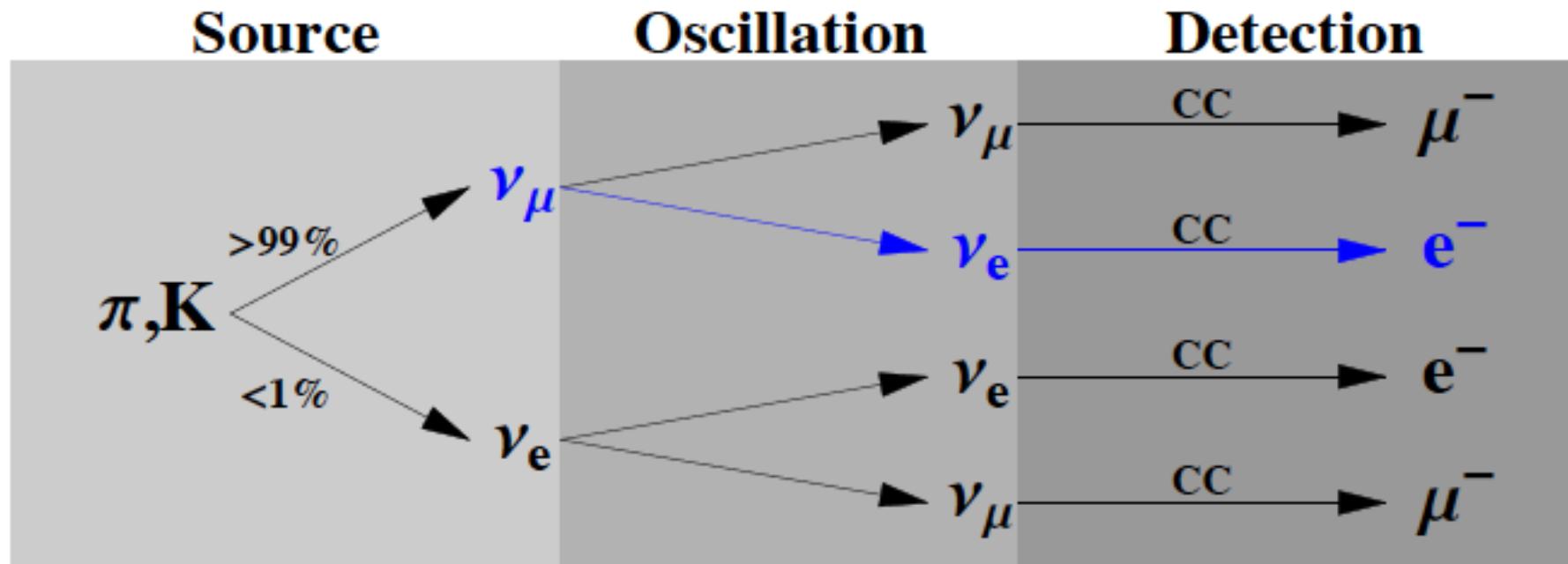
# Accelerator Long-Baseline Neutrino Experiments

$(\nu_\mu \rightarrow \nu_e)$  and  $(\text{anti-}\nu_\mu \rightarrow \text{anti-}\nu_e)$

T2K (Japan) & NOvA (USA) [running, off-axis]

DUNE (USA) [upcoming, on-axis]

T2HK (Japan) [upcoming, off-axis]



**Traditional approach: Neutrino beam from pion decay**

# **Impact of light eV-scale sterile neutrino in currently running and upcoming long-baseline neutrino oscillation experiments**

**Can sterile neutrinos generate new  
observable CP-violating effects at  
long-baseline experiments?**

## *CPV and Averaged Oscillations*

$$A_{\alpha\beta}^{\text{CP}} \equiv P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta)$$

$$A_{\alpha\beta}^{\text{CP}} = -16 J_{\alpha\beta}^{12} \sin \Delta_{21} \sin \Delta_{13} \sin \Delta_{32}$$

if  $\Delta \equiv \Delta_{13} \simeq \Delta_{23} \gg 1$   $\rightarrow \langle \sin^2 \Delta \rangle = 1/2$   
osc. averaged out by finite E resol.

It can be:  $A_{\alpha\beta}^{\text{CP}} \neq 0$  (if  $\sin \delta \neq 0$ )

The bottom line is that if one of the three  $\nu_i$  is  $\infty$  far from the other two ones this does not erase CPV  
**(relevant for the 4 $\nu$  case)**

## *Very New Topic: Lots of New Studies*

### **Recent studies after $\theta_{13}$ discovery in the context of T2K, NOvA, and DUNE:**

- 1) Imprints of CP violation induced by sterile neutrinos in T2K data  
**Klop, Palazzo (arXiv:1412.7524)**
- 2) Sterile neutrino at the Deep Underground Neutrino Experiment  
**Berryman, de Gouvea, Kelly, Kobach (arXiv:1507.03986)**
- 3) The impact of sterile neutrinos on CP measurements at long baselines  
**Gandhi, Kayser, Masud, Prakash (arXiv:1508.06275)**
- 4) 3-flavor and 4-flavor implications of the latest T2K and NOvA electron (anti-)neutrino appearance results  
**Palazzo (arXiv:1509.03148)**
- 5) Discovery potential of T2K and NOvA in the presence of a light sterile neutrino  
**Agarwalla, Chatterjee, Dasgupta, Palazzo (arXiv:1601.05995)**

# *Very New Topic: Lots of New Studies*

## **Recent studies after $\theta_{13}$ discovery in the context of T2K, NOvA, and DUNE:**

- 6) Physics reach of DUNE with a light sterile neutrino  
**Agarwalla, Chatterjee, Palazzo (arXiv:1603.03759)**
- 7) Constraints on sterile neutrino oscillations using DUNE near detector  
**Choubey, Pramanik (arXiv:1604.04731)**
- 8) Octant of  $\theta_{23}$  in danger with a light sterile neutrino  
**Agarwalla, Chatterjee, Palazzo (arXiv:1605.04299)**
- 9) False signals of CP-Invariance violation at DUNE  
**de Gouvea, Kelly (arXiv:1605.09376)**
- 10) Capabilities of long-baseline experiments in the presence of a sterile neutrino  
**Dutta, Gandhi, Kayser, Masud, Prakash (arXiv:1607.02152)**

**The list is not complete.....**

## Mixing matrix in 3+1 Scheme

$$\mathbf{U} = \tilde{\mathbf{R}}_{34} \mathbf{R}_{24} \tilde{\mathbf{R}}_{14} \mathbf{R}_{23} \underbrace{\tilde{\mathbf{R}}_{13} \mathbf{R}_{12}}_{3\nu}$$

$$R_{ij} = \begin{bmatrix} c_{ij} & s_{ij} \\ -s_{ij} & c_{ij} \end{bmatrix}$$

$$\tilde{R}_{ij} = \begin{bmatrix} c_{ij} & \tilde{s}_{ij} \\ -\tilde{s}_{ij}^* & c_{ij} \end{bmatrix}$$

$$\begin{aligned} s_{ij} &= \sin \theta_{ij} \\ c_{ij} &= \cos \theta_{ij} \\ \tilde{s}_{ij} &= s_{ij} e^{-i\delta_{ij}} \end{aligned}$$

**3ν** {  
**3 mixing angles**  
**1 Dirac phases**  
**2 Majorana phases**

**3+1** {  
**6**  
**3**  
**3**

**3+N** {  
**3+3N**  
**1+2N**  
**2+N**

We have more sources of CPV in the 3+1 flavor scheme

$\theta_{14} = \theta_{24} = \theta_{34} = 0 \rightarrow$  3-flavor case

## *Few words on Parameterization*

- When mixing involving the 4<sup>th</sup> state is zero ( $\theta_{14} = \theta_{24} = \theta_{34} = 0$ ), it returns the 3v matrix in its common parameterization
- For small values of  $\theta_{13}$ , and of the mixing angles involving the 4<sup>th</sup> state, one has  $|U_{e3}|^2 \simeq s_{13}^2$ ,  $|U_{e4}|^2 = s_{14}^2$ ,  $|U_{\mu 4}|^2 \simeq s_{24}^2$  and  $|U_{\tau 4}|^2 \simeq s_{34}^2$ , with a clear physical interpretation of the new mixing angles
- The leftmost positioning of the matrix  $\tilde{R}_{34}$  guarantees that the vacuum  $v_\mu$  to  $v_e$  transition probability is independent of  $\theta_{34}$  and of the related CP-phase  $\delta_{34}$

# Three-flavor Oscillation Probability

$$P_{\nu_\mu \rightarrow \nu_e}^{3\nu} = P^{\text{ATM}} + P^{\text{SOL}} + P^{\text{INT}}$$

in vacuum:

$$P^{\text{ATM}} = 4s_{23}^2 s_{13}^2 \sin^2 \Delta$$

$$P^{\text{SOL}} = 4c_{12}^2 c_{23}^2 s_{12}^2 (\alpha \Delta)^2$$

$$P^{\text{INT}} = 8s_{23}s_{13}c_{12}c_{23}s_{12}(\alpha \Delta) \sin \Delta \cos(\Delta + \delta_{CP})$$

$$\Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad \alpha = \frac{\Delta m_{21}^2}{\Delta m_{31}^2}$$

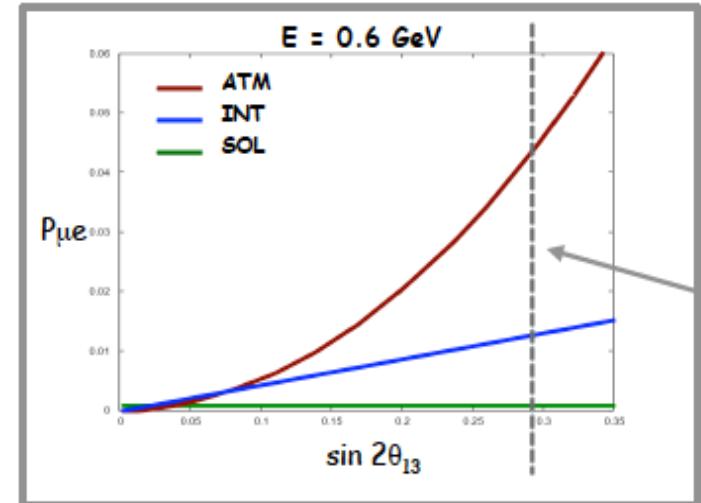
$$\begin{aligned} \Delta &\sim \pi/2 \\ \alpha &\sim 0.03 \end{aligned}$$

$P^{\text{ATM}}$  leading  $\rightarrow \theta_{13} > 0$

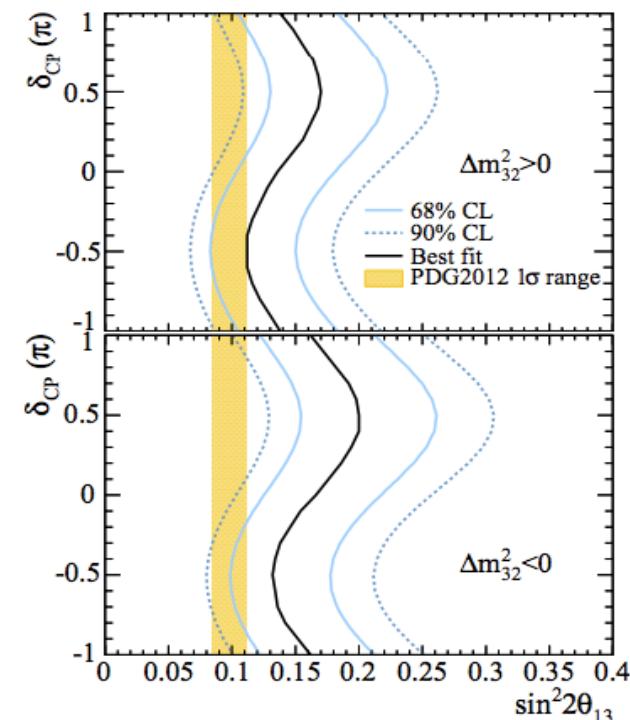
$P^{\text{INT}}$  sub-leading  $\rightarrow$  dependency on  $\delta$

$P^{\text{SOL}}$  negligible

Matter effects break NH-IH degeneracy



best  $\theta_{13}$  estimate



T2K  
+  
Reactors

# *A New Interference Term in the 3+1 Scheme*

- $\Delta_{14} \gg 1$  : fast oscillations are averaged out
- But interference of  $\Delta_{14}$  &  $\Delta_{13}$  survives and is observable

$$P_{\mu e}^{4\nu} \simeq P^{\text{ATM}} + P_I^{\text{INT}} + P_{II}^{\text{INT}}$$

$$\begin{aligned} s_{13} &\sim s_{14} \sim s_{24} \sim 0.15 \sim \varepsilon \\ \alpha &= \delta m^2 / \Delta m^2 \sim 0.03 \sim \varepsilon^2 \end{aligned}$$

$$\left\{ \begin{array}{ll} P^{\text{ATM}} \simeq 4s_{23}^2 s_{13}^2 \sin^2 \Delta & \sim \varepsilon^2 \\ P_I^{\text{INT}} \simeq 8s_{13} s_{23} c_{23} s_{12} c_{12} (\underline{\alpha} \underline{\Delta}) \sin \Delta \cos(\Delta + \delta_{13}) & \sim \varepsilon^3 \\ P_{II}^{\text{INT}} \simeq 4s_{14} s_{24} s_{13} s_{23} \sin \Delta \sin(\Delta + \delta_{13} - \delta_{14}) & \sim \varepsilon^3 \end{array} \right.$$

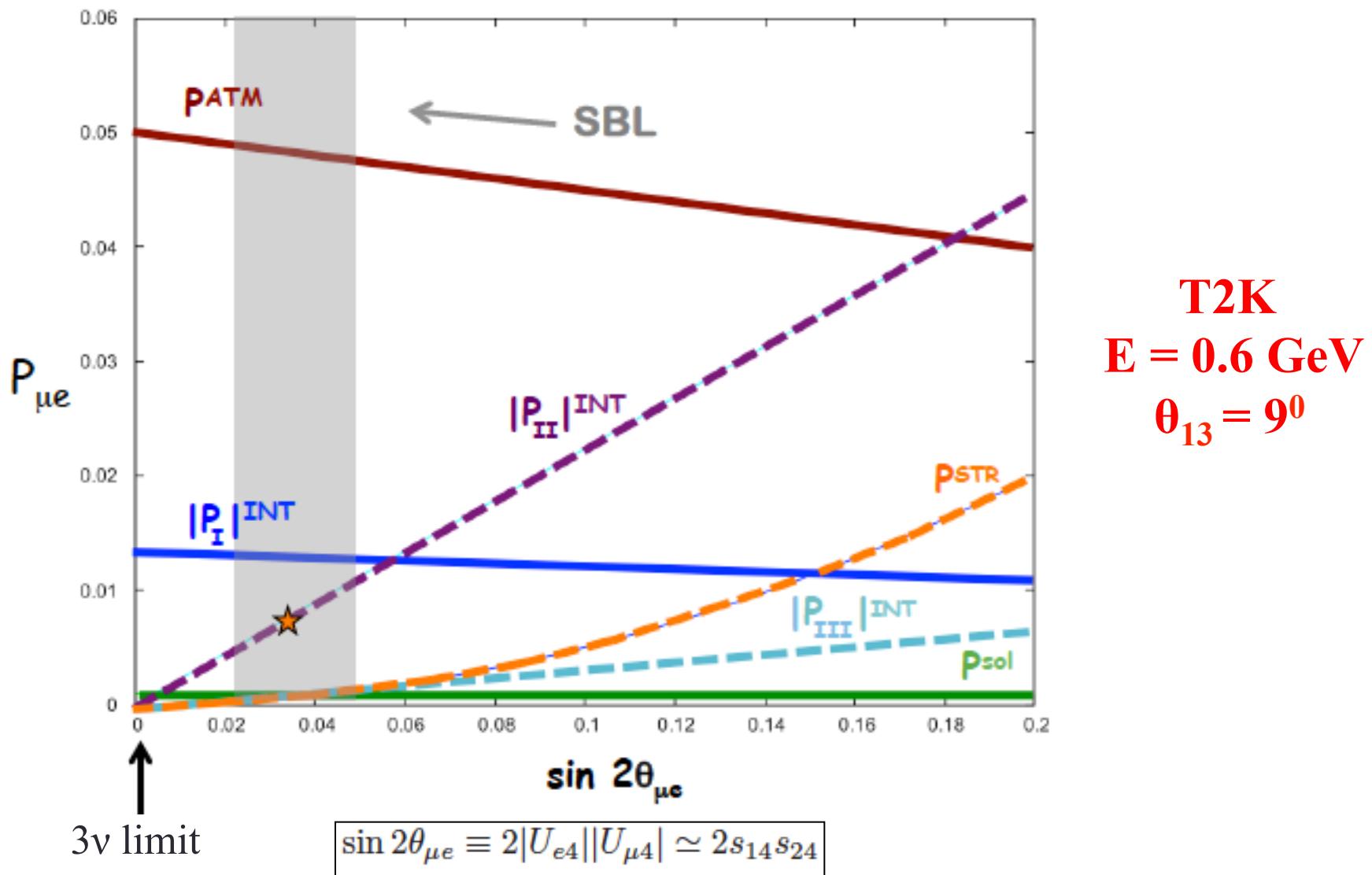
## Sensitivity to the new CP-phase $\delta_{14}$

Klop and Palazzo, arXiv:1412.7524v3 [hep-ph]

In vacuum, it is independent of  $\theta_{34}$  and  $\delta_{34}$

# Amplitude of the New Interference Term

Klop and Palazzo, arXiv:1412.7524v3 [hep-ph]

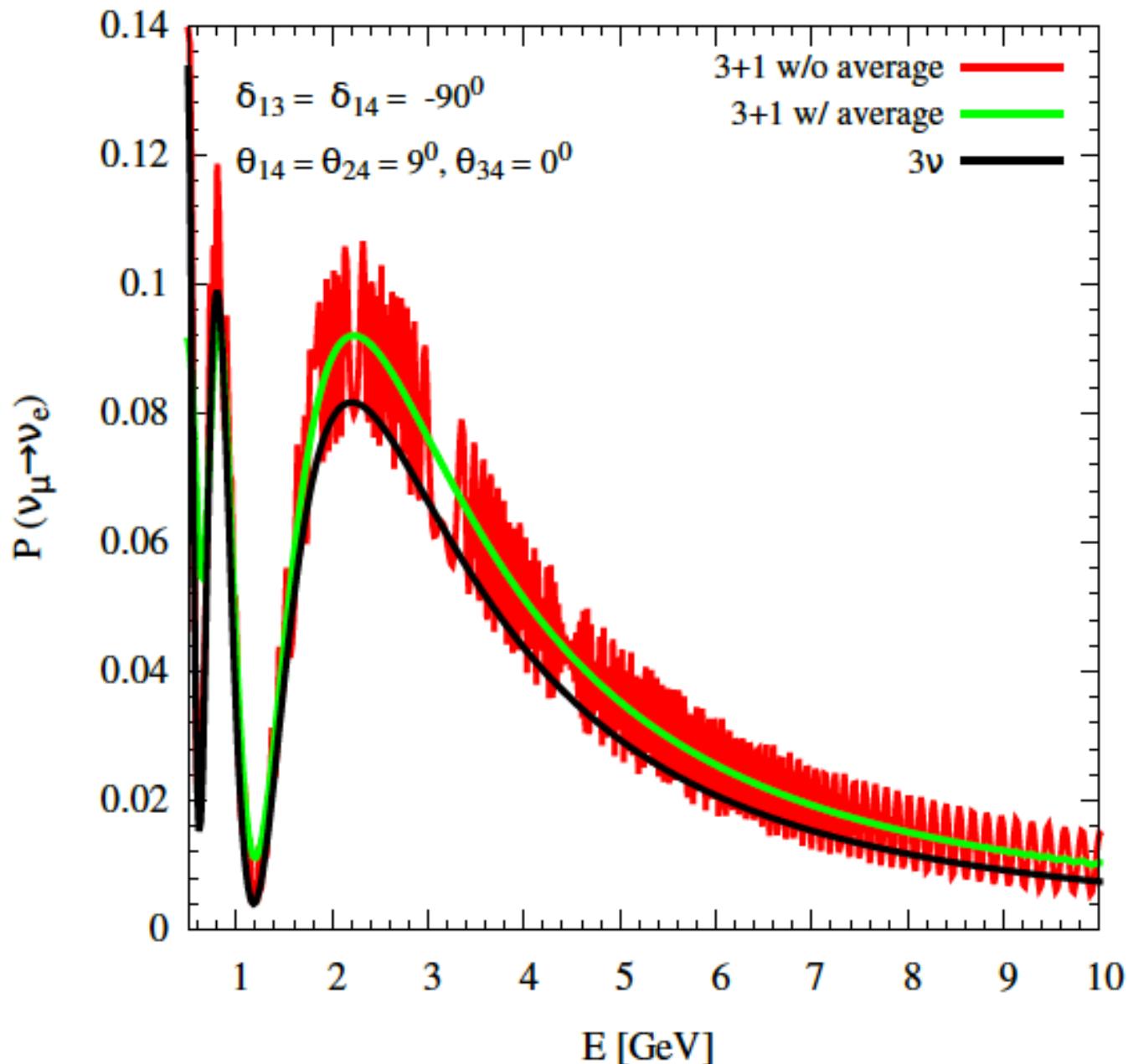


# Oscillation Parameters

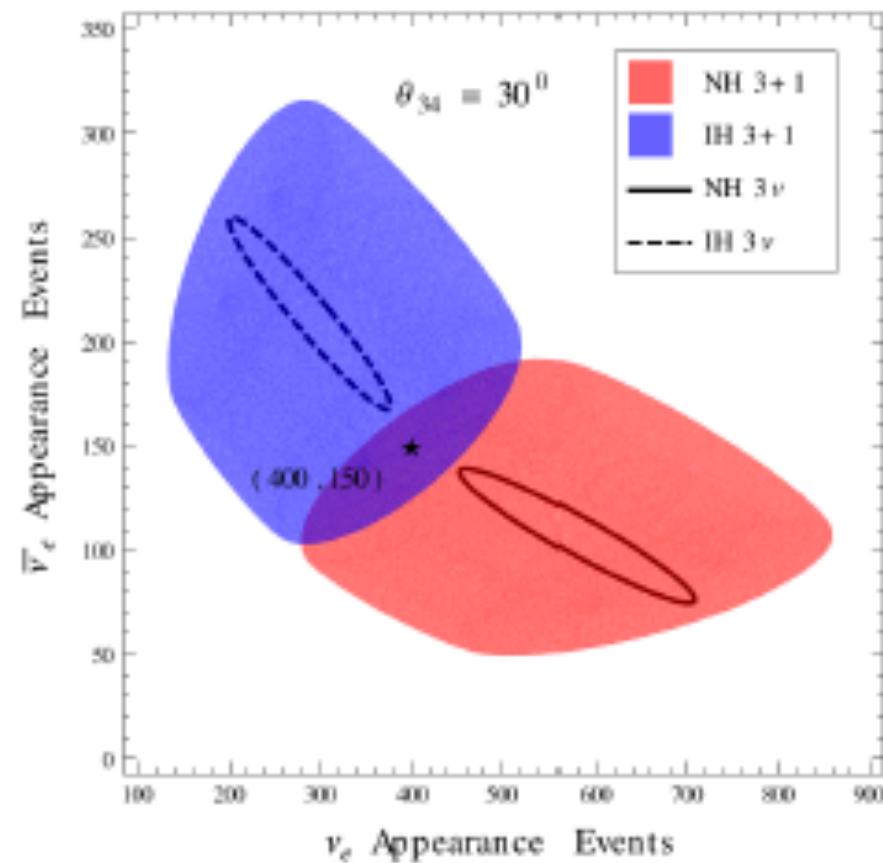
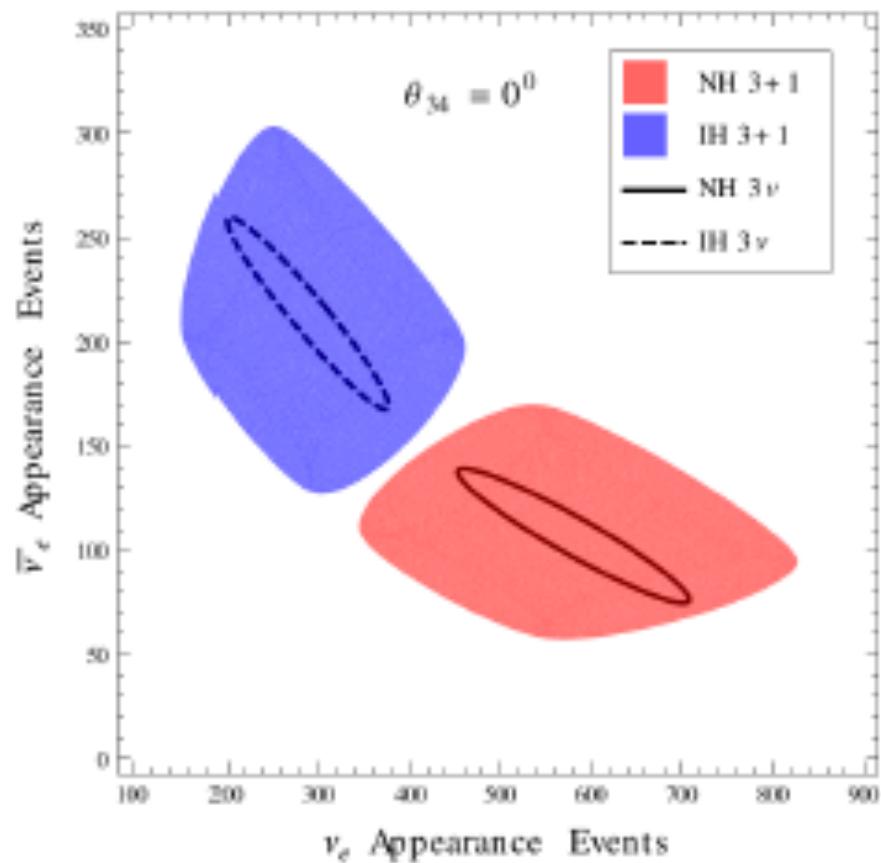
Parameter	True Value	Marginalization Range
$\sin^2 \theta_{12}$	0.304	Not marginalized
$\sin^2 2\theta_{13}$	0.085	Not marginalized
$\sin^2 \theta_{23}$	0.50	[0.34, 0.68]
$\sin^2 \theta_{14}$	0.025	Not marginalized
$\sin^2 \theta_{24}$	0.025	Not marginalized
$\sin^2 \theta_{34}$	0, 0.025, 0.25	Not marginalized
$\delta_{13}/^\circ$	[- 180, 180]	[- 180, 180]
$\delta_{14}/^\circ$	[- 180, 180]	[- 180, 180]
$\delta_{34}/^\circ$	[- 180, 180]	[- 180, 180]
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	7.50	Not marginalized
$\frac{\Delta m_{31}^2}{10^{-3} \text{ eV}^2}$ (NH)	2.475	Not marginalized
$\frac{\Delta m_{31}^2}{10^{-3} \text{ eV}^2}$ (IH)	- 2.4	Not marginalized
$\frac{\Delta m_{41}^2}{\text{eV}^2}$	1.0	Not marginalized

$$s_{13} \sim s_{14} \sim s_{24} \sim 0.15$$

# DUNE Oscillation Probability

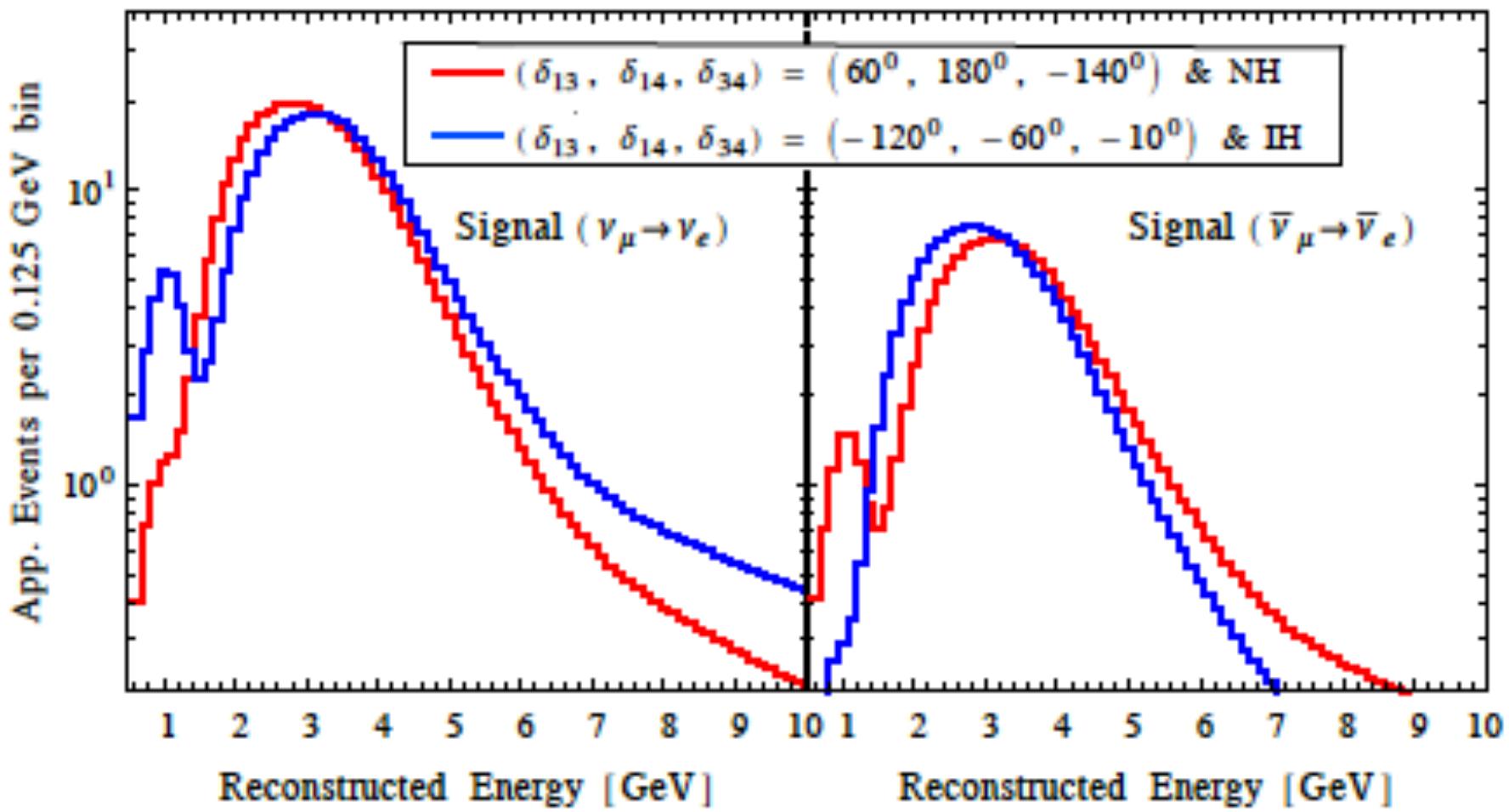


# *Bi-events Plot for DUNE*



**Agarwalla, Chatterjee, Palazzo (arXiv:1603.03759)**

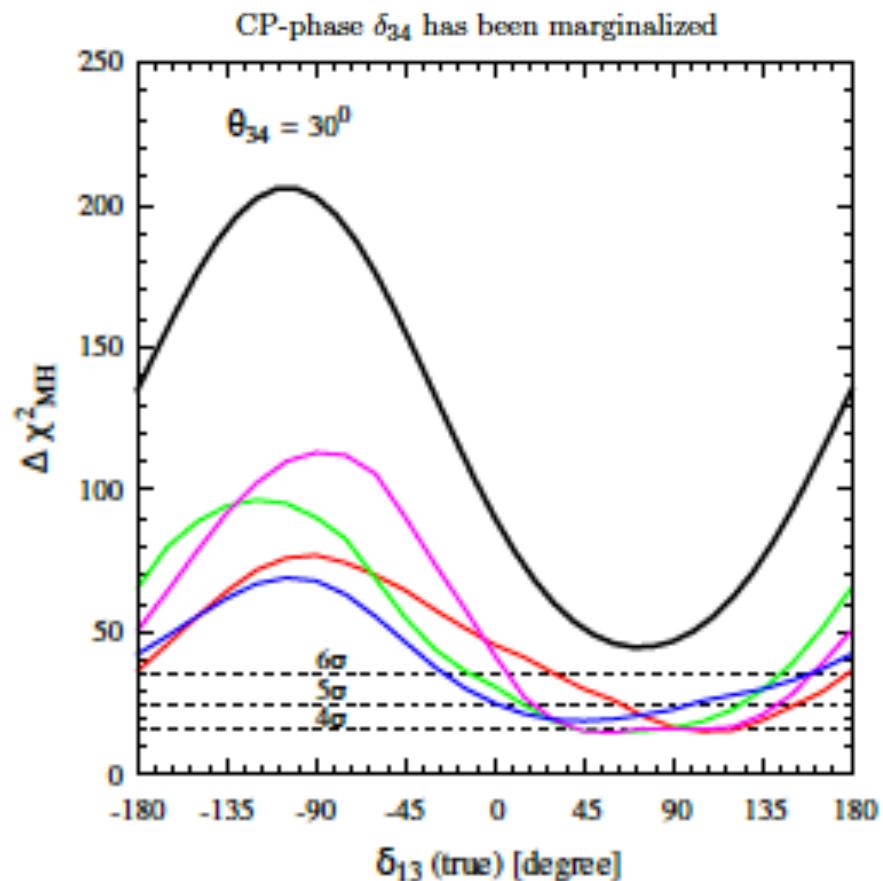
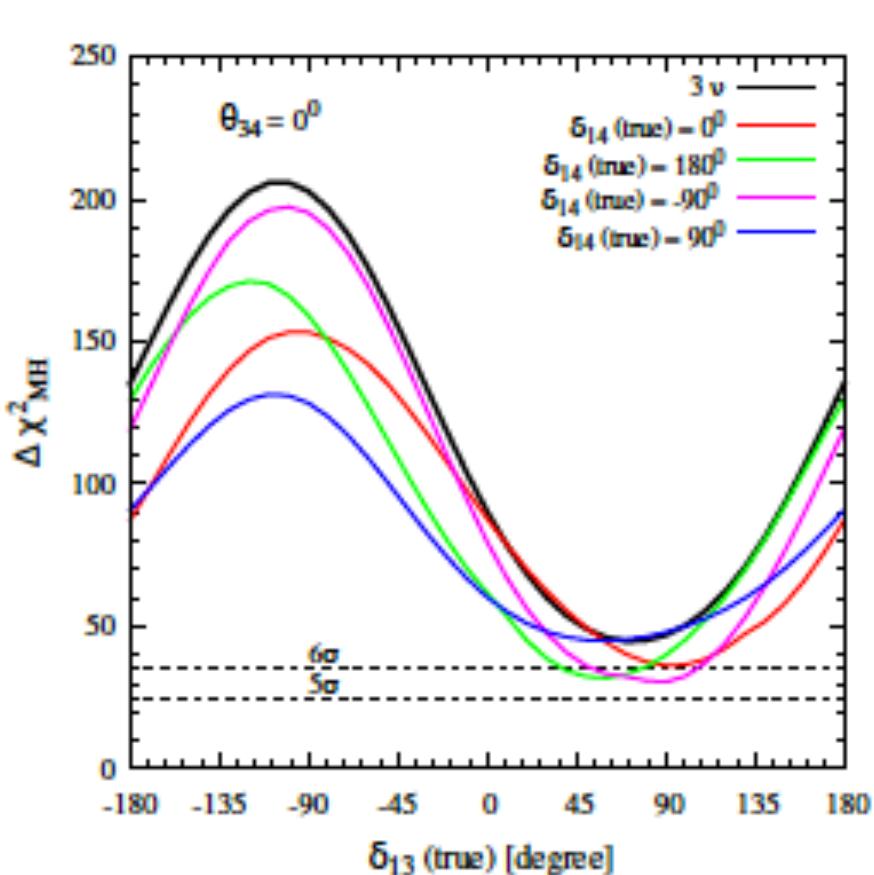
# *Spectral Study is Vital*



**Agarwalla, Chatterjee, Palazzo (arXiv:1603.03759)**

# Mass Hierarchy Discovery at DUNE

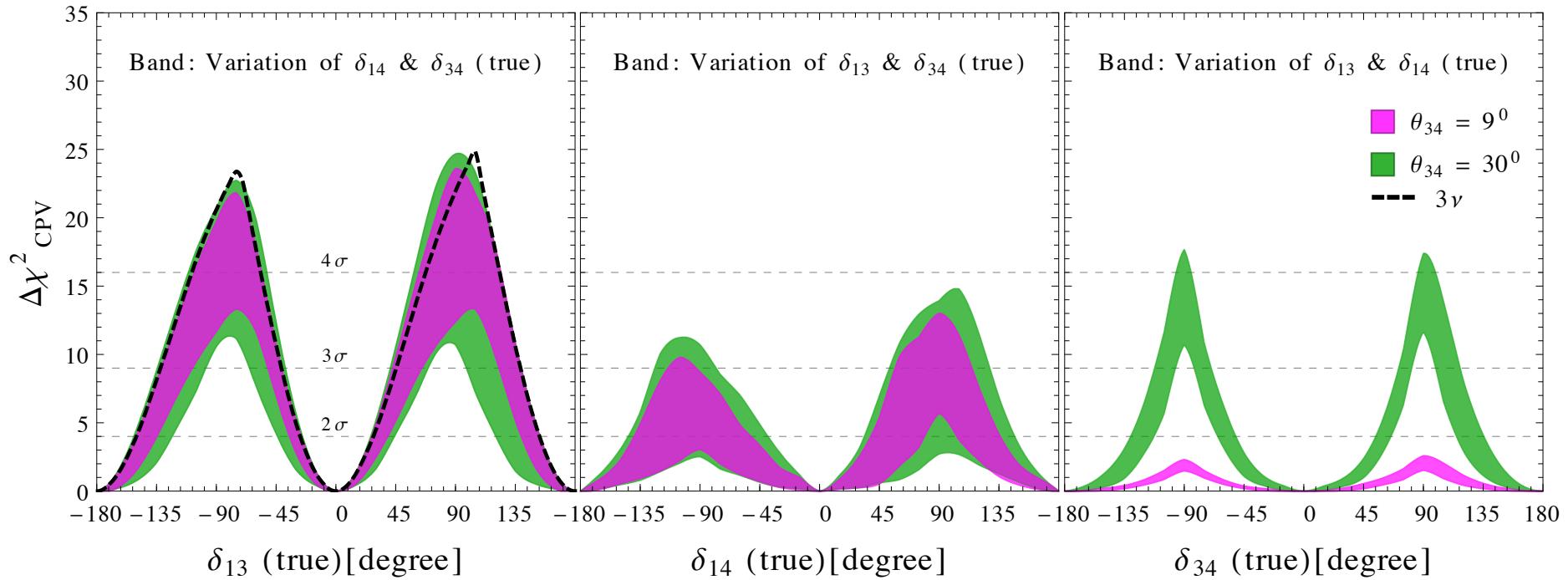
248 kt-MW-year of exposure (0.708 MW, 120 GeV proton energy, 35 kt, 10 years)



Agarwalla, Chatterjee, Palazzo (arXiv:1603.03759)

MH discovery still above 5 $\sigma$  if all the new mixing angles are close to  $\theta_{13}$   
If  $\theta_{34} = 30$  degree, the sensitivity can decrease to 4 $\sigma$

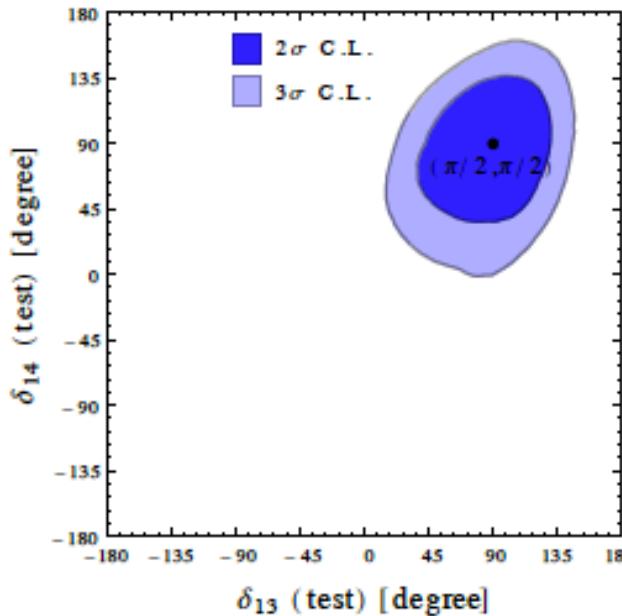
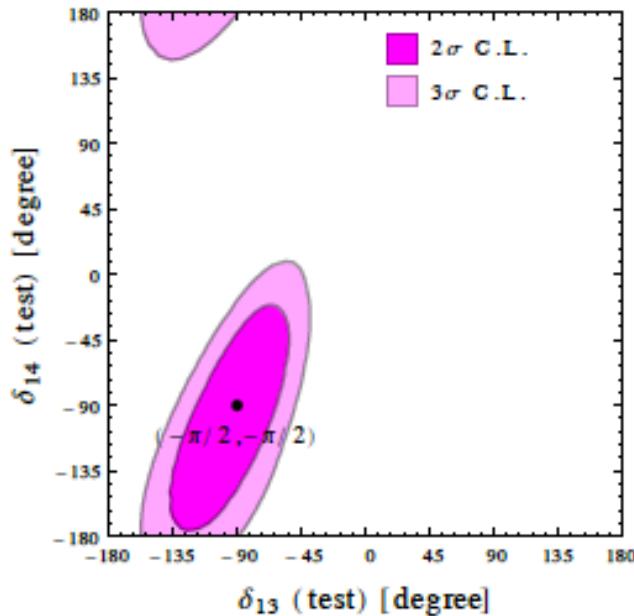
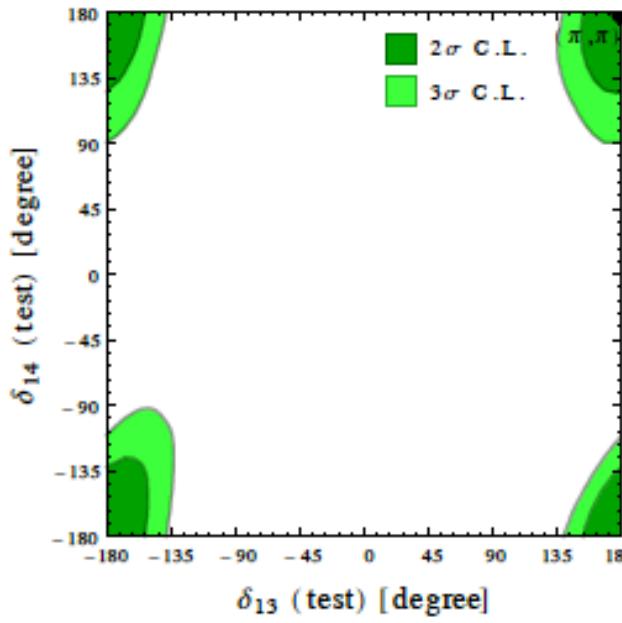
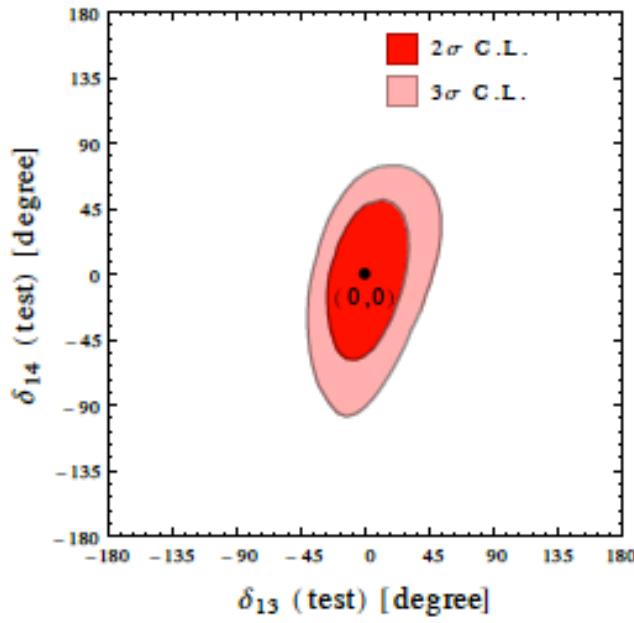
# *CP-violation Searches at DUNE*



Agarwalla, Chatterjee, Palazzo (arXiv:1603.03759)

- Sensitivity to CPV induced by  $\delta_{13}$  reduced in 3+1 scheme
- Potential sensitivity also to the new CP-phases  $\delta_{14}$  e  $\delta_{34}$
- Clear hierarchy in the sensitivity:  $\delta_{13} > \delta_{14} > \delta_{34}$  for  $\theta_{14} = \theta_{24} = \theta_{34} = 90^\circ$

# Reconstruction of CP Phase at DUNE



Typical  
1 $\sigma$  uncertainty  
on  $\delta_{13} \sim 20$  degree  
and  
on  $\delta_{14} \sim 30$  degree  
if  $\theta_{34}$  is 0

Agarwalla, Chatterjee, Palazzo  
(arXiv:1603.03759)

## Octant of $\theta_{23}$ in Danger with a Light Sterile Neutrino

Sanjib Kumar Agarwalla,<sup>1,2,\*</sup> Sabya Sachi Chatterjee,<sup>1,2,†</sup> and Antonio Palazzo<sup>3,4,‡</sup>

<sup>1</sup>*Institute of Physics, Sachivalaya Marg, Sainik School Post, Bhubaneswar 751005, India*

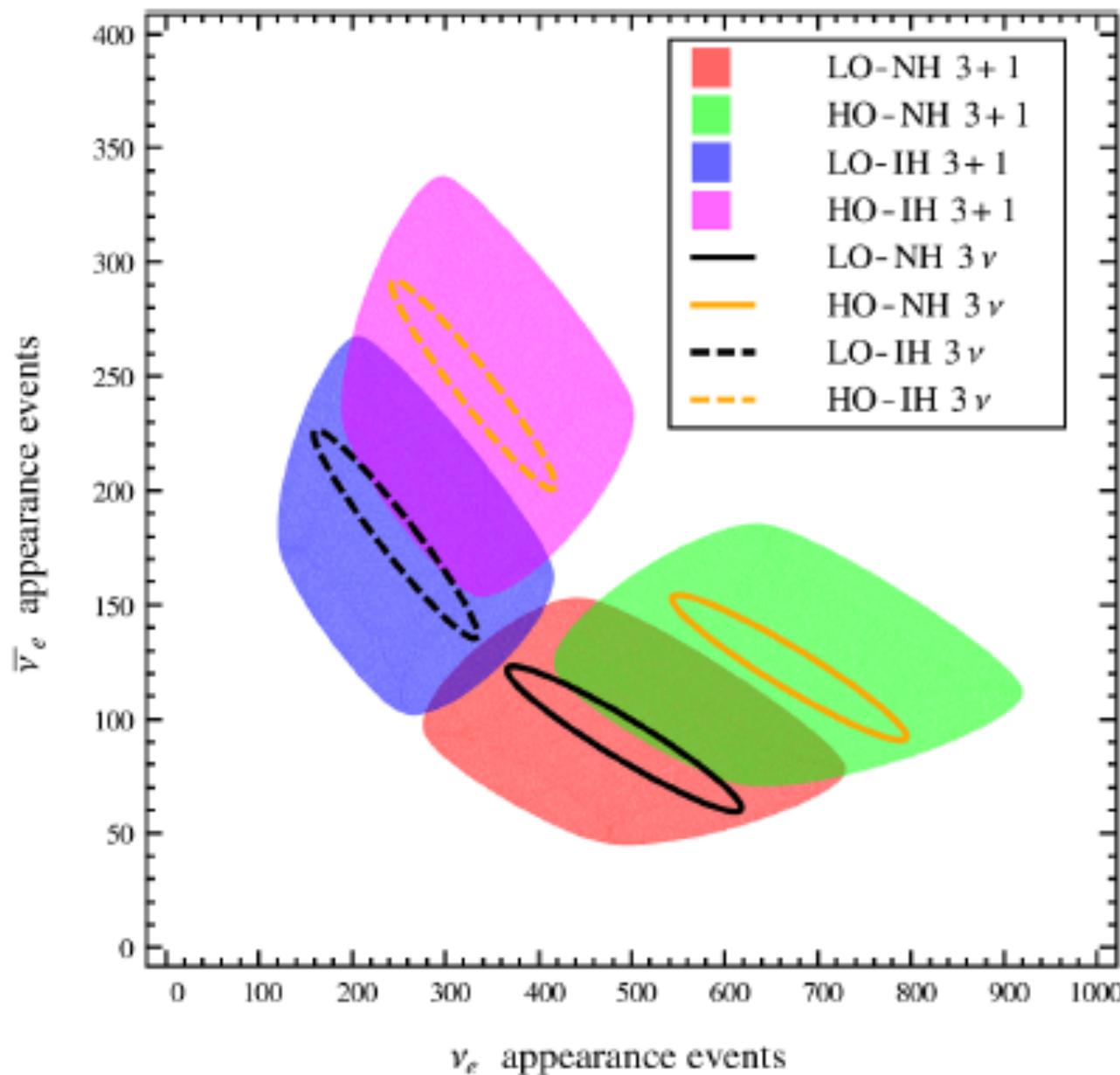
<sup>2</sup>*Homi Bhabha National Institute, Training School Complex, Anushakti Nagar, Mumbai 400085, India*

<sup>3</sup>*Dipartimento Interateneo di Fisica “Michelangelo Merlin”, Via Amendola 173, 70126 Bari, Italy*

<sup>4</sup>*Istituto Nazionale di Fisica Nucleare, Sezione di Bari, Via Orabona 4, 70126 Bari, Italy*

(Received 23 May 2016; revised manuscript received 5 December 2016; published 20 January 2017)

# Bi-events Plot for DUNE



$$\sin^2 \theta_{23} = 0.42 \text{ (0.58)}$$

LO (HO)

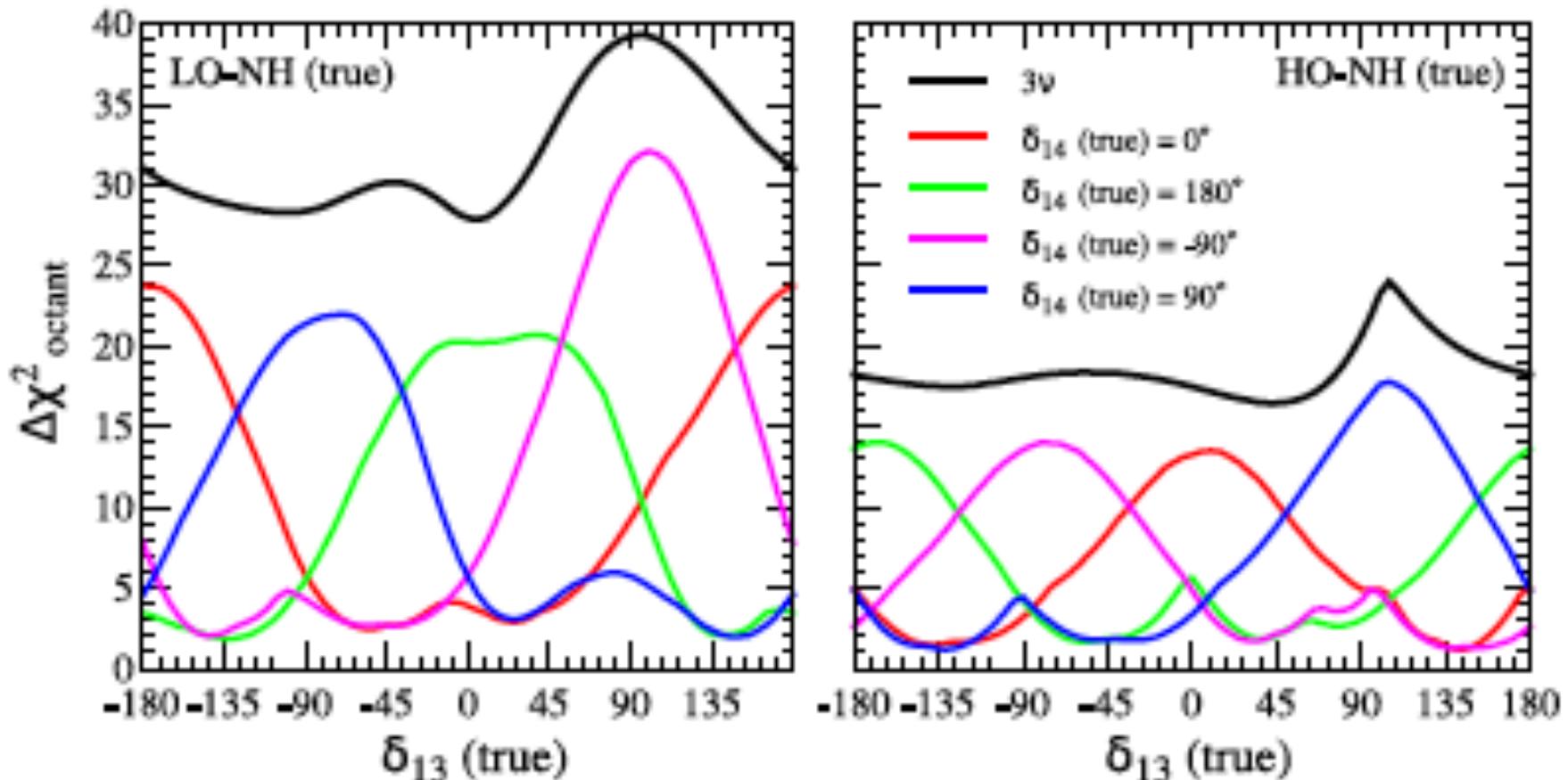
Three-flavor ellipses  
due to variation in  
 $\delta_{13}$  in  $[-\pi \text{ to } \pi]$

Four-flavor blobs  
due to variation in  
 $\delta_{13}$  and  $\delta_{14}$   
in  $[-\pi \text{ to } \pi]$

DUNE Exposure  
248 kt.MW.yr

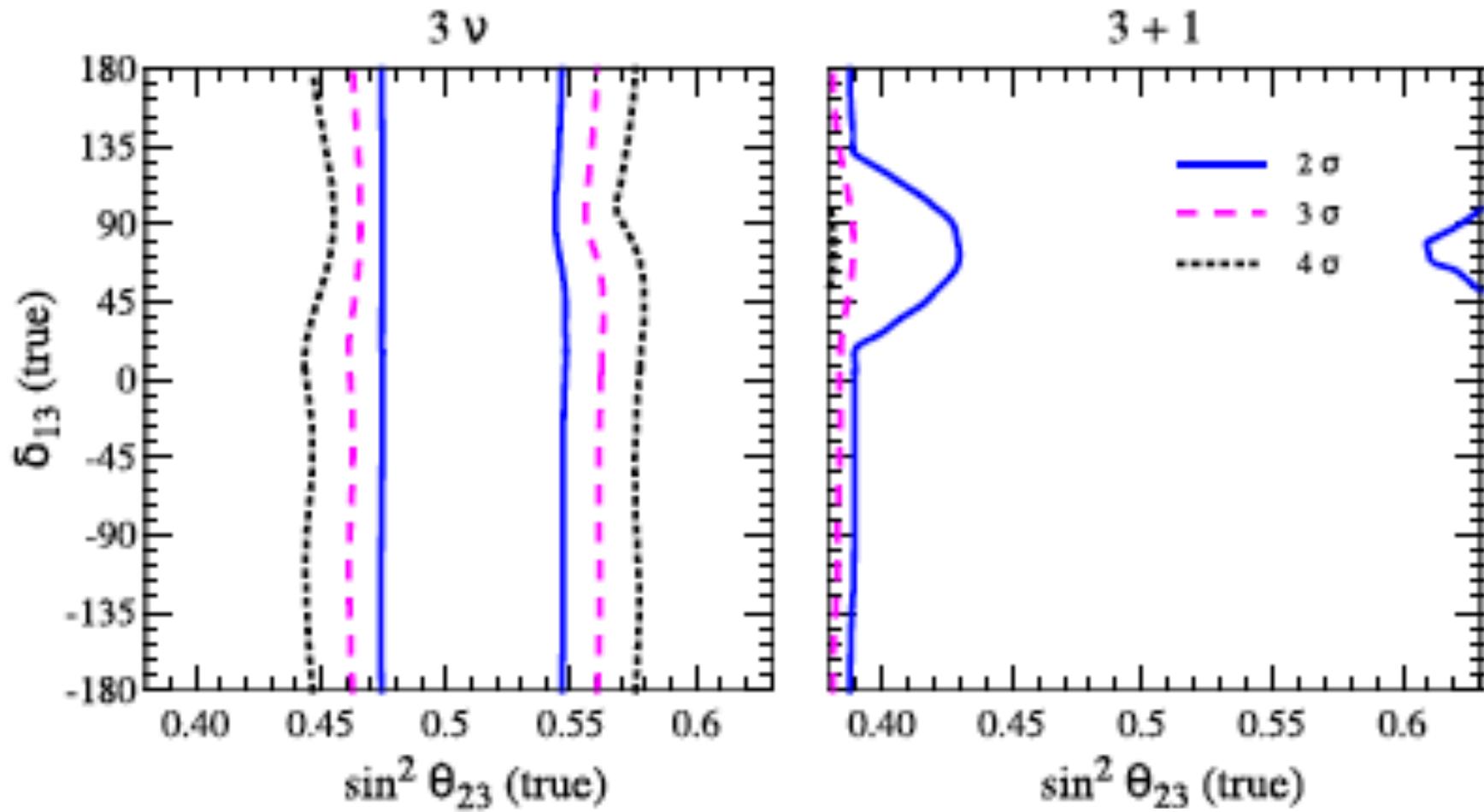
# Octant Discovery at DUNE with a Light Sterile $\nu$

DUNE Exposure, 248 kt.MW.yr



- Generate data with  $\sin^2\theta_{23} = 0.42$  (0.58) for LO (HO)
- For 3v case, we marginalize over  $\theta_{23}$  and  $\delta_{13}$  in the fit
- In 3+1 scheme, we fix  $\theta_{14} = \theta_{24} = 9$  degrees and  $\theta_{34} = 0$
- Marginalize over  $\theta_{23}$ ,  $\delta_{13}$ , and  $\delta_{14}$  in the fit

# No Knowledge on Octant with a light Sterile $\nu$

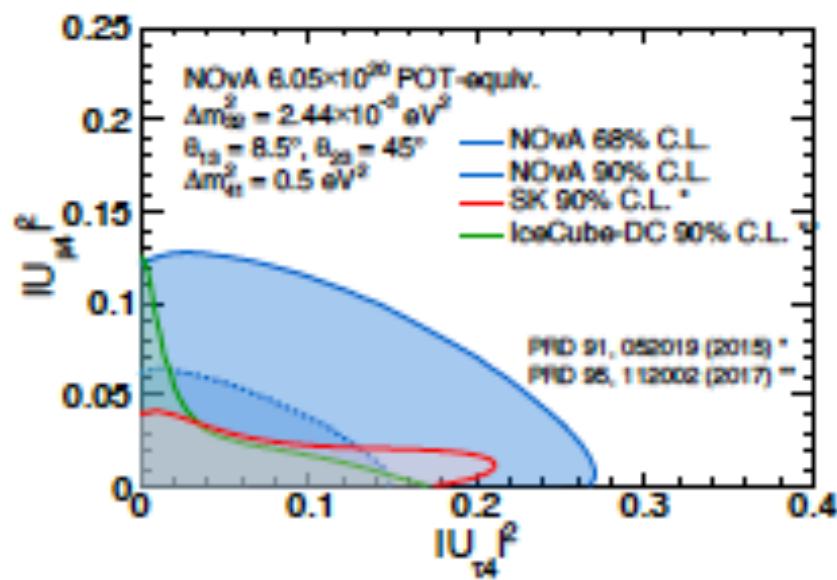
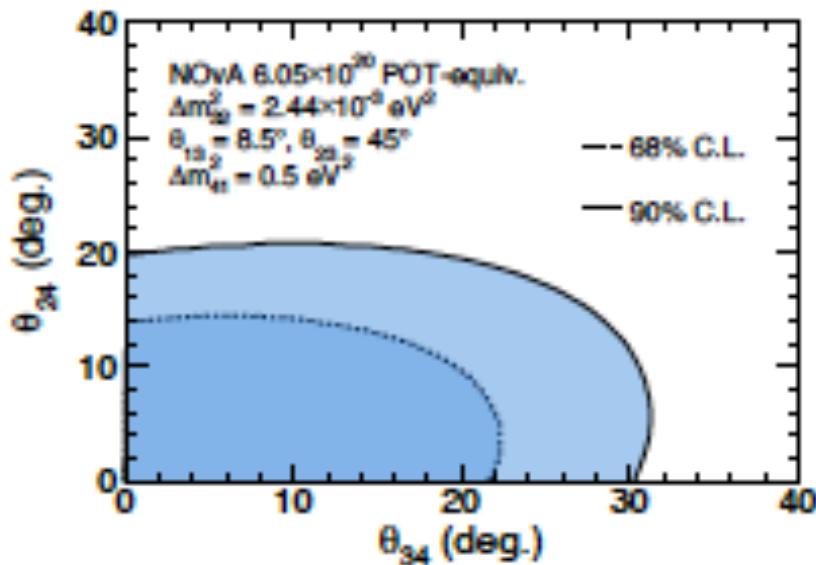


$$P_{II}^{INT} \simeq 4 s_{13} s_{23} s_{14} s_{24} \sin \Delta \sin (\Delta + \delta_{13} - \delta_{14})$$

extra degree of freedom

In 3+1, the sensitivity to the octant of  $\theta_{23}$  gets completely lost.

# NC Searches in NOvA



$$|U_{\mu 4}|^2 = \cos^2 \theta_{14} \sin^2 \theta_{24}$$

$$|U_{\tau 4}|^2 = \cos^2 \theta_{14} \cos^2 \theta_{24} \sin^2 \theta_{34},$$

	$\theta_{24}$	$\theta_{34}$	$ U_{\mu 4} ^2$	$ U_{\tau 4} ^2$
NOvA	$20.8^\circ$	$31.2^\circ$	0.126	0.268
MINOS	$7.3^\circ$	$26.6^\circ$	0.016	0.20
SuperK	$11.7^\circ$	$25.1^\circ$	0.041	0.18
IceCube	$4.1^\circ$	-	0.005	-
IceCube-DeepCore	$19.4^\circ$	$22.8^\circ$	0.11	0.15

NOvA NC Searches:  
arXiv:1706.04592v2

NuMI beam at Fermilab, we observe 95 neutral-current candidates at the Far Detector compared with  $83.5 \pm 9.7(\text{stat.}) \pm 9.4(\text{syst.})$  events predicted assuming mixing only occurs between active neutrino species. No evidence for  $\nu_\mu \rightarrow \nu_s$  transitions is found. Interpreting these results within a

FERMILAB-PUB-17-271-T

## DUNE sensitivities to the mixing between sterile and tau neutrinos

Pilar Coloma <sup>1,\*</sup>, David V. Forero <sup>2,3,†</sup>, and Stephen J. Parke <sup>1‡</sup>

<sup>1</sup> *Theoretical Physics Department, Fermi National Accelerator Laboratory,  
P.O. Box 500, Batavia, IL 60510, USA*

<sup>2</sup> *Instituto de Física Gleb Wataghin - UNICAMP,  
13083-859, Campinas, SP, Brazil and*

<sup>3</sup> *Center for Neutrino Physics, Virginia Tech, Blacksburg, VA 24061, USA*  
(Dated: July 17, 2017)

Light sterile neutrinos can be probed in a number of ways, including electroweak decays, cosmology and neutrino oscillation experiments. At long-baseline experiments, the neutral-current data is directly sensitive to the presence of light sterile neutrinos: once the active neutrinos have oscillated into a sterile state, a depletion in the neutral-current data sample is expected since they do not interact with the  $Z$  boson. This channel offers a direct avenue to probe the mixing between a sterile neutrino and the tau neutrino, which remains largely unconstrained by current data. In this work, we study the potential of the DUNE experiment to constrain the mixing angle which parametrizes this mixing,  $\theta_{34}$ , through the observation of neutral-current events at the far detector. We find that DUNE will be able to improve significantly over current constraints thanks to its large statistics and excellent discrimination between neutral- and charged-current events.

PREPARED FOR SUBMISSION TO JHEP

FERMILAB-PUB-17-276-T

## What measurements of neutrino neutral current events can reveal

Raj Gandhi,<sup>a</sup> Boris Kayser,<sup>b</sup> Suprabh Prakash,<sup>c</sup> Samiran Roy<sup>a</sup>

<sup>a</sup>Harish-Chandra Research Institute, HBNI, Chhatnag Road, Jhunsi, Allahabad 211019, India

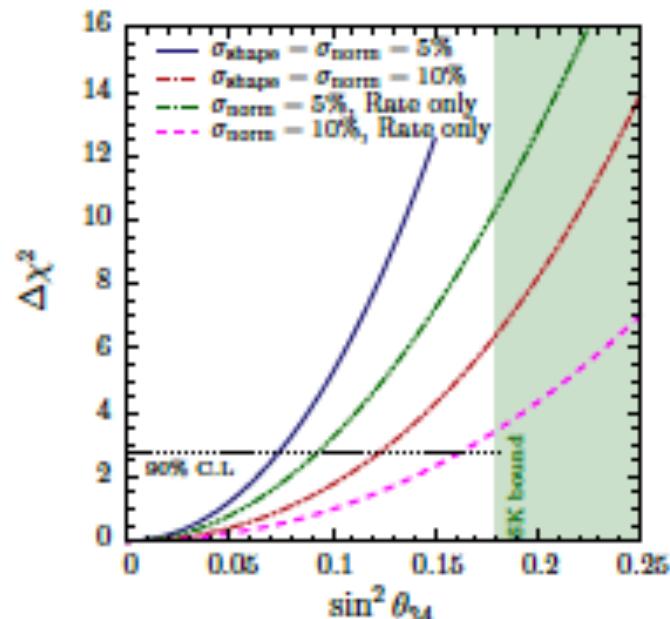
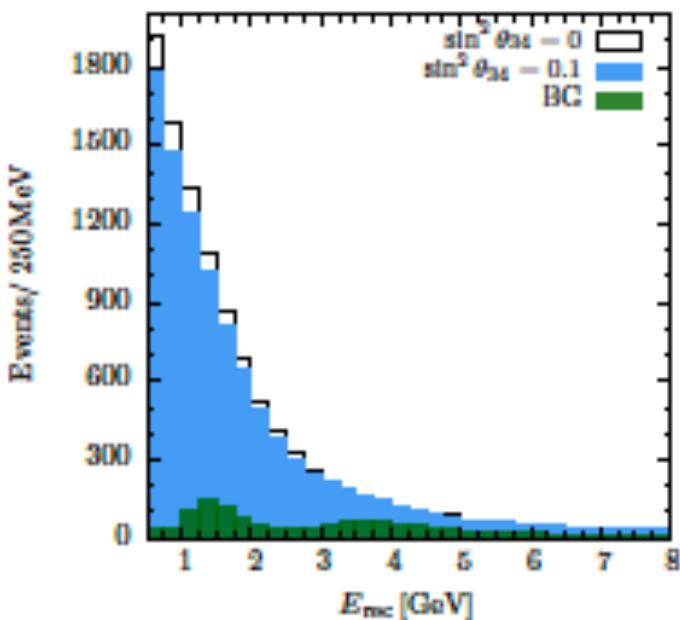
<sup>b</sup>Theoretical Physics Department, Fermilab, P.O. Box 500, Batavia, IL 60510 USA

<sup>c</sup>Instituto de Física Gleb Wataghin - UNICAMP, 13083-859, Campinas, São Paulo, Brazil

E-mail: [raj@hri.res.in](mailto:raj@hri.res.in), [boris@fnal.gov](mailto:boris@fnal.gov), [samiranroy@hri.res.in](mailto:samiranroy@hri.res.in), [sprakash@ifi.unicamp.br](mailto:sprakash@ifi.unicamp.br)

arXiv:1708.01816v2 [hep-ph] 17 Dec 2017

# NC Searches in DUNE

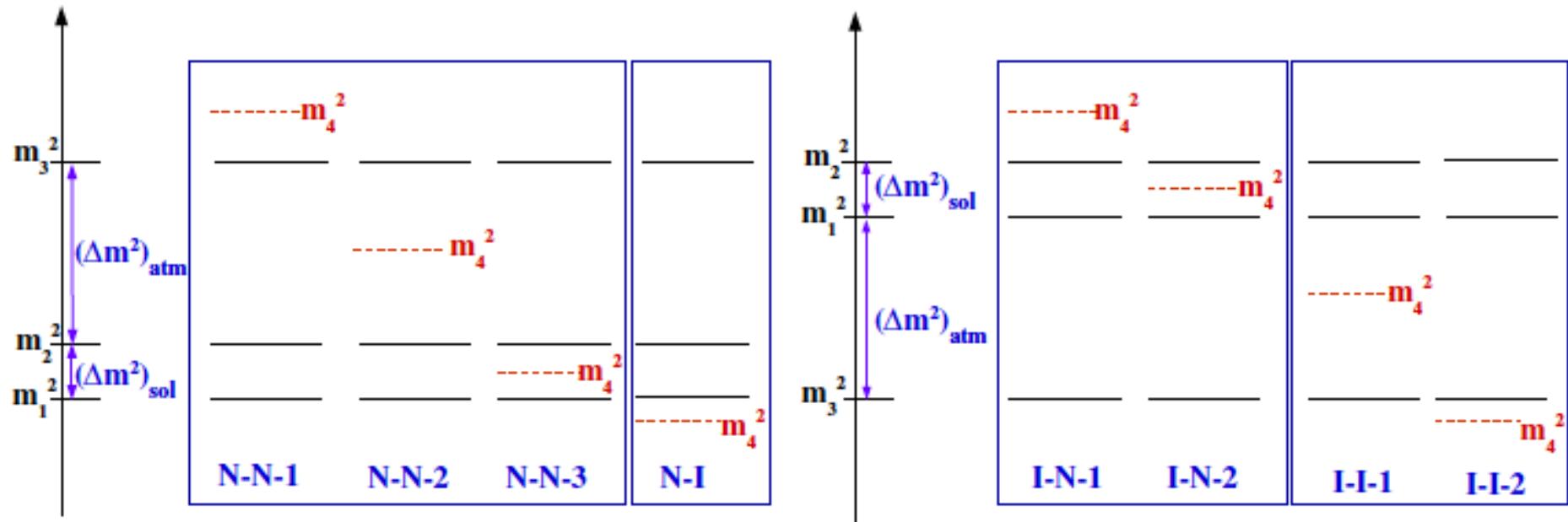


$$\begin{aligned} N_{NC} &= N_{NC}^e + N_{NC}^\mu + N_{NC}^\tau = \phi_{\nu_\mu} \sigma_\nu^{NC} \{ P(\nu_\mu \rightarrow \nu_e) + P(\nu_\mu \rightarrow \nu_\mu) + P(\nu_\mu \rightarrow \nu_\tau) \} \\ &= \phi_{\nu_\mu} \sigma_\nu^{NC} \{ 1 - P(\nu_\mu \rightarrow \nu_s) \}, \end{aligned}$$

$$\Delta_{21} \ll \Delta_{31}, \Delta_{41} \quad \Delta_{ij} \equiv \Delta m_{ij}^2 L / 4E.$$

$$\begin{aligned} P_{\mu s} \equiv P(\nu_\mu \rightarrow \nu_s) &= 4|U_{\mu 4}|^2 |U_{s4}|^2 \sin^2 \Delta_{41} + 4|U_{\mu 3}|^2 |U_{s3}|^2 \sin^2 \Delta_{31} \\ &\quad + 8 \operatorname{Re} [U_{\mu 4}^* U_{s4} U_{\mu 3} U_{s3}^*] \cos \Delta_{43} \sin \Delta_{41} \sin \Delta_{31} \\ &\quad + 8 \operatorname{Im} [U_{\mu 4}^* U_{s4} U_{\mu 3} U_{s3}^*] \sin \Delta_{43} \sin \Delta_{41} \sin \Delta_{31}, \end{aligned}$$

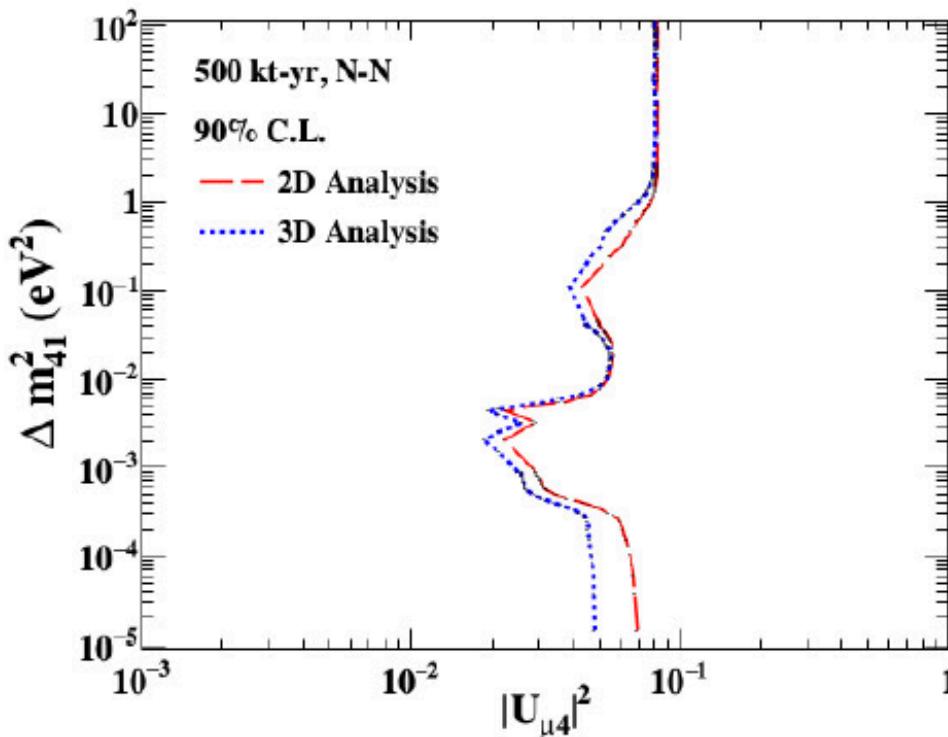
# Mass Ordering in 4v Scheme



Ordering scheme	N-N			N-I	I-N		I-I	
Sign of $\Delta m_{31}^2$		+		+		-		-
Sign of $\Delta m_{41}^2$		+		-		+		-
Sign of $\Delta m_{42}^2$	+	+	-	-	+	-	-	-
Sign of $\Delta m_{43}^2$	+	-	-	-	+	+	+	-
Configuration	N-N-1	N-N-2	N-N-3	N-I	I-N-1	I-N-2	I-I-1	I-I-2

Thakore, Devi, Agarwalla, Dighe, arXiv:1804.09613 [hep-ph]

# Sterile Neutrino Sensitivity with ICAL at INO



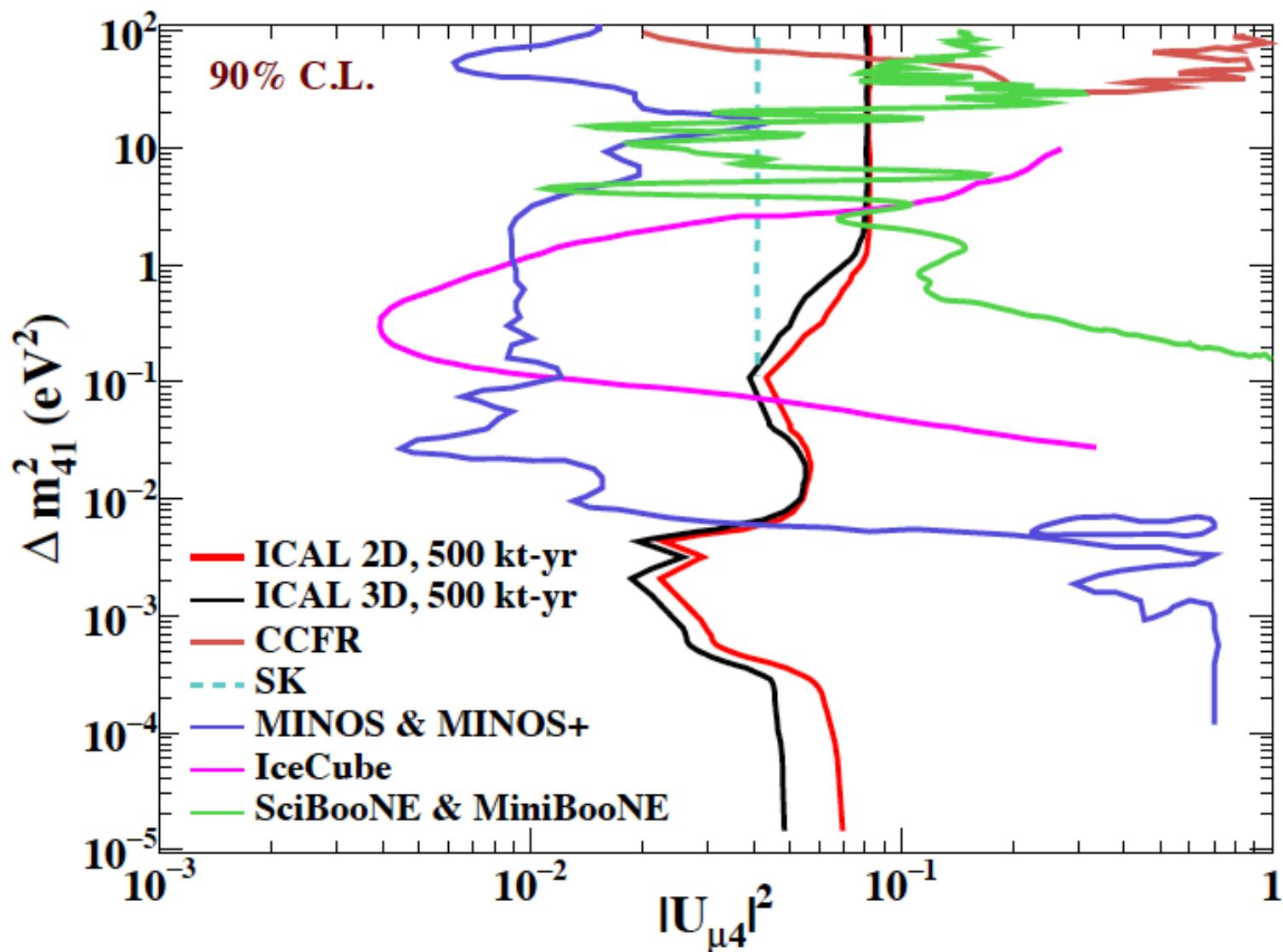
- For higher  $\Delta m^2$ , information is mainly in the number of events, so information about  $E'_h$  not so useful
- For lower  $\Delta m^2$ , oscillation information in the energy and angular spectra, so  $E'_h$  crucial

$$V_{es} = \sqrt{2}G_F(N_e - N_n/2)$$
$$V_{\mu s} = V_{\tau s} = -\sqrt{2}G_F N_n/2$$

between  $\nu_e$  and  $\nu_s$  ,  
between  $\nu_{\mu/\tau}$  and  $\nu_s$  ,

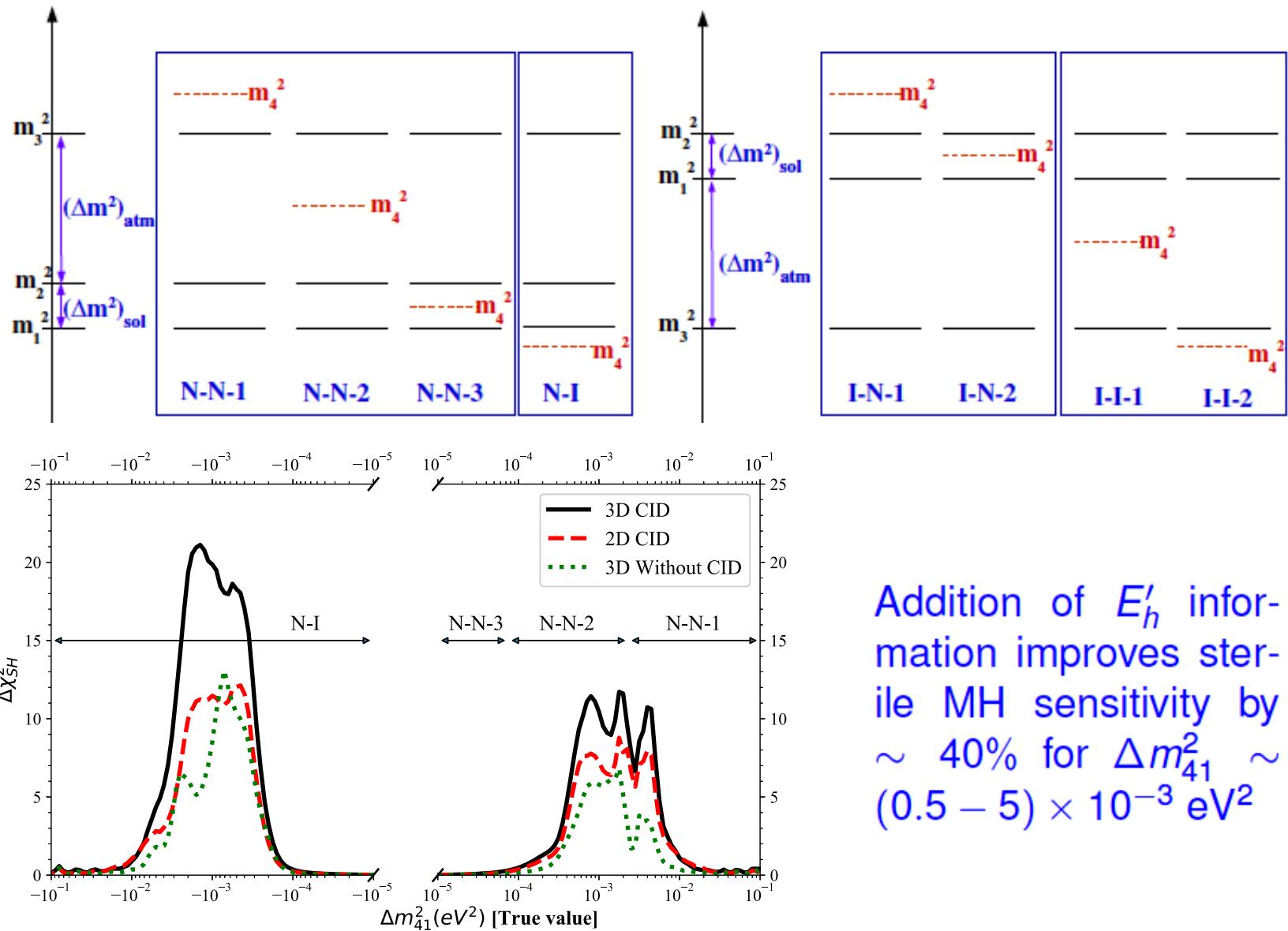
**Thakore, Devi, Agarwalla, Dighe, arXiv:1804.09613 [hep-ph]**

# Sterile Neutrino Sensitivity with ICAL at INO



Thakore, Devi, Agarwalla, Dighe, arXiv:1804.09613 [hep-ph]

# Mass Ordering of Sterile Neutrino



Thakore, Devi, Agarwalla, Dighe, arXiv:1804.09613 [hep-ph]

## *Concluding Remarks*

Light sterile neutrinos were interesting, are still interesting, and will remain interesting in near future as well.....

Discovery of a light sterile neutrino would prove that there is new physics beyond the SM at low-energies, which is completely orthogonal to new physics searches at high energies at the LHC.....

Let us continue our effort to look for them at any mass scale and at any energies.....

***Thank you***

# Three Flavor Effects in $\nu_\mu \rightarrow \nu_e$ oscillation probability

The appearance probability ( $\nu_\mu \rightarrow \nu_e$ ) in matter, upto second order in the small parameters  $\alpha \equiv \Delta m_{21}^2 / \Delta m_{31}^2$  and  $\sin 2\theta_{13}$ ,

$$\begin{aligned}
 P_{\mu e} \simeq & \left( \sin^2 2\theta_{13} \right) \left( \sin^2 \theta_{23} \right) \frac{\sin^2[(1 - \hat{A})\Delta]}{(1 - \hat{A})^2} \xrightarrow{\theta_{13} \text{ Driven}} \\
 & - \left( \alpha \sin 2\theta_{13} \xi \sin \delta_{CP} \right) \sin(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \xrightarrow{\text{CP odd}} \\
 & + \left( \alpha \sin 2\theta_{13} \xi \cos \delta_{CP} \right) \cos(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \xrightarrow{\text{CP even}} \\
 & + \left( \alpha^2 \right) \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}; \xrightarrow{\text{Solar Term}}
 \end{aligned}$$

Resolves octant ←

0.09 0.03

0.009 0.3

where  $\Delta \equiv \Delta m_{31}^2 L / (4E)$ ,  $\xi \equiv \cos \theta_{13} \sin 2\theta_{21} \sin 2\theta_{23}$ ,

and  $\hat{A} \equiv \pm (2\sqrt{2}G_F n_e E) / \Delta m_{31}^2$

changes sign with  $\text{sgn}(\Delta m_{31}^2)$   
key to resolve hierarchy!

changes sign with polarity  
causes fake CP asymmetry!

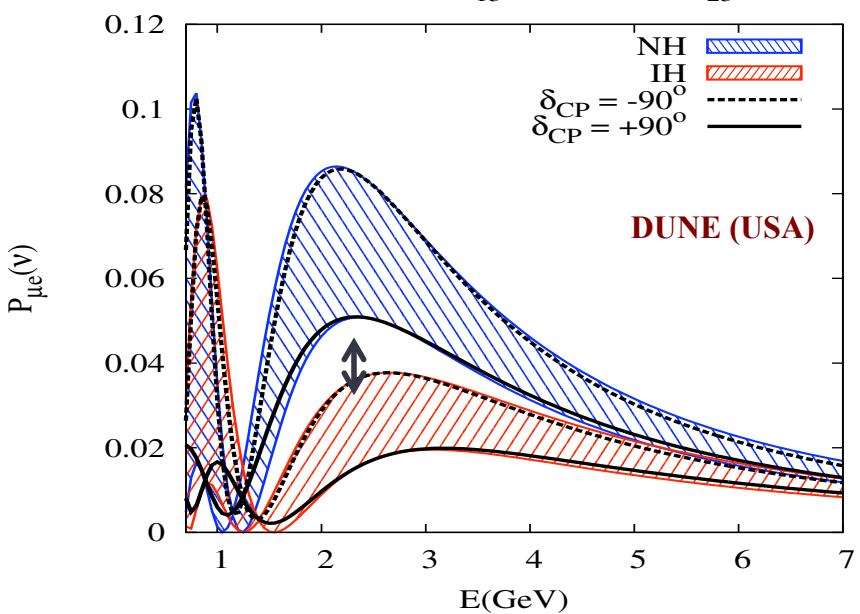
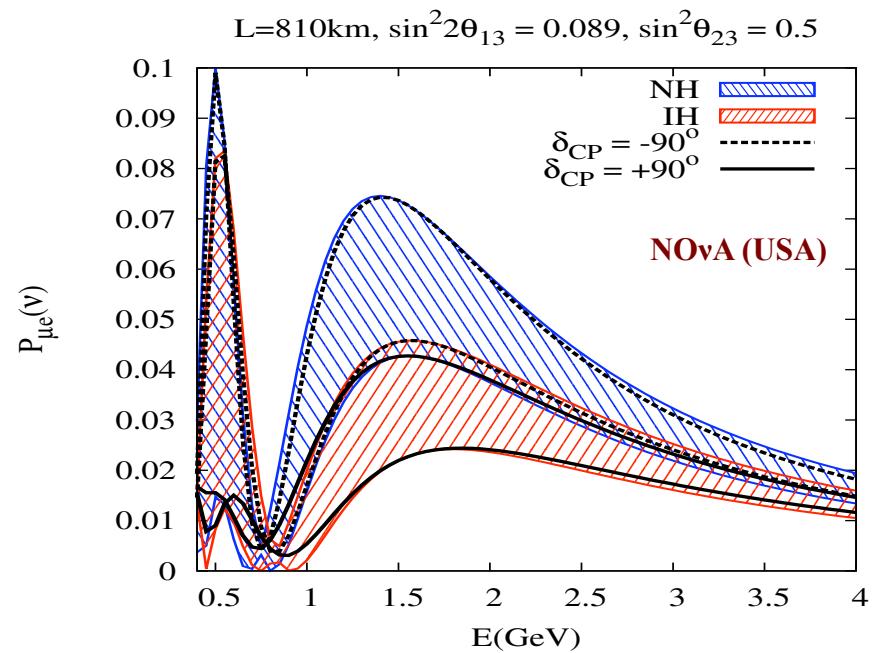
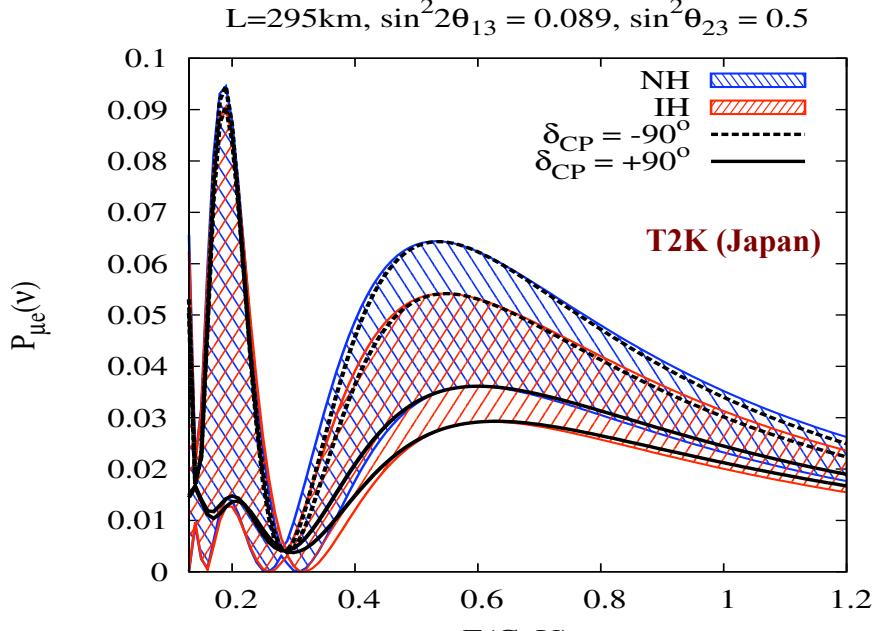
Cervera et al., hep-ph/0002108

Freund et al., hep-ph/0105071

See also, Agarwalla et al., arXiv:1302.6773 [hep-ph]

This channel suffers from: (Hierarchy –  $\delta_{CP}$ ) & (Octant –  $\delta_{CP}$ ) degeneracy! How can we break them?

# Hierarchy – $\delta_{CP}$ degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel



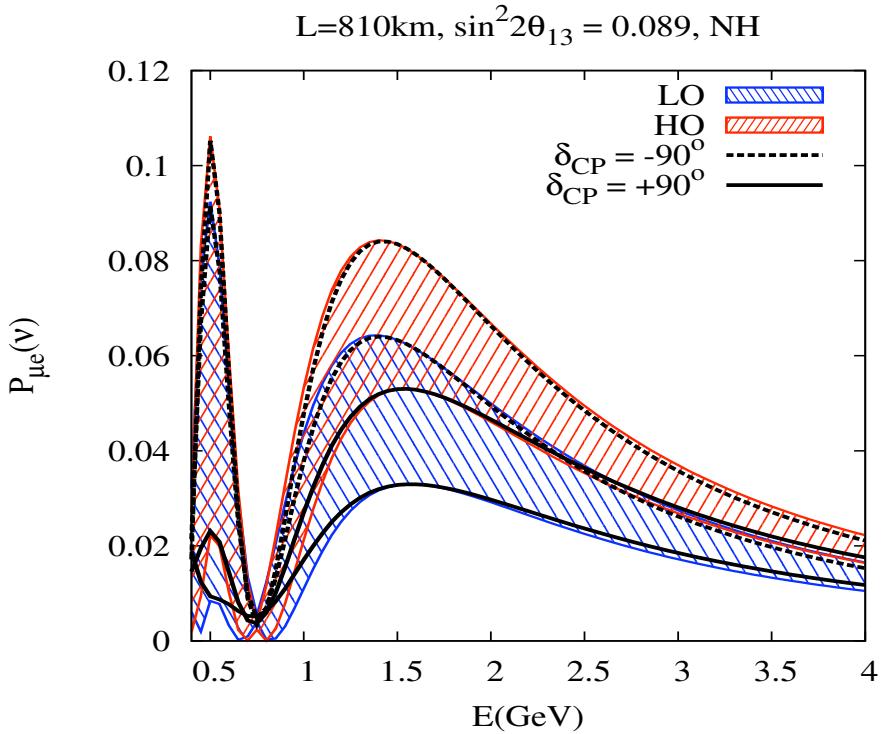
For v: Max: NH,  $-90^\circ$  and Min: IH,  $90^\circ$

Favorable combinations  
NH, LHP ( $-180^\circ$  to  $0^\circ$ ) and IH, UHP ( $0^\circ$  to  $180^\circ$ )

Degeneracy pattern different between T2K & NOvA

DUNE: Large Earth matter effects  
Clear separation between NH and IH

# Octant – $\delta_{CP}$ degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

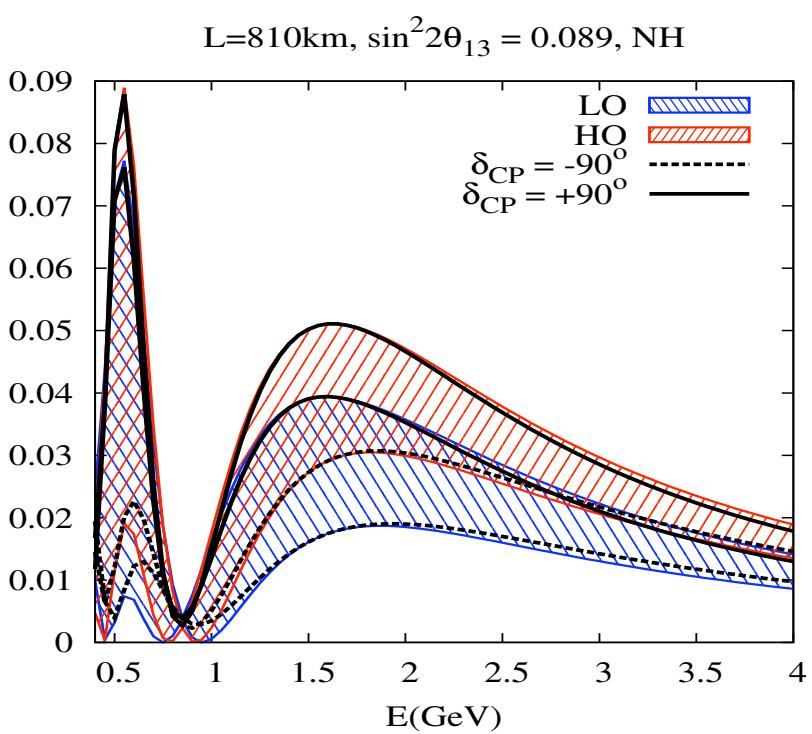


For neutrino:  
Maximum: HO,  $-90^\circ$   
Minimum: LO,  $90^\circ$

**LO:  $\sin^2 \theta_{23} = 0.41$**   
**HO:  $\sin^2 \theta_{23} = 0.59$**

For anti-neutrino:  
Maximum: HO,  $90^\circ$   
Minimum: LO,  $-90^\circ$

$P_{\mu e}(\text{anti-}\bar{v})$



Unfavorable CP values for neutrino are favorable for anti-neutrino & vice-versa

Agarwalla, Prakash, Sankar, arXiv: 1301.2574

## Analytical Treatment

$$P_{\mu e}^{A\nu} \simeq P_0 + P_1 + P_2,$$

which in vacuum take the form

$$P_0 = 4s_{23}^2 s_{13}^2 \sin^2 \Delta,$$

$$P_1 = 8s_{13}s_{12}c_{12}s_{23}c_{23}(\alpha\Delta) \sin \Delta \cos(\Delta \pm \delta_{13}),$$

$$P_2 = 4s_{14}s_{24}s_{13}s_{23} \sin \Delta \sin(\Delta \pm \delta_{13} \mp \delta_{14}),$$

the three small mixing angles have the similar size  $s_{13} \sim s_{14} \sim s_{24} \simeq 0.15$ , and therefore they can all be assumed to be of the same order  $\epsilon$ , while the ratio  $\alpha \simeq \pm 0.03$  is of the order  $\epsilon^2$ . This implies that

$$P_0 \sim \epsilon^2, \quad P_1 \sim \epsilon^3, \quad P_2 \sim \epsilon^3.$$

## Analytical Treatment

$$\theta_{23} = \frac{\pi}{4} \pm \eta,$$

$\sin^2 \theta_{23}$  must lie in the range  $\sim [0.4, 0.6]$ . This implies that  $\eta$  is confined to relatively small values ( $\eta \lesssim 0.1$ ) and can be considered of the same order of magnitude ( $e$ ) as  $s_{13}$ ,  $s_{14}$ , and  $s_{24}$ . Therefore, it is legitimate to use the expansion

$$s_{23}^2 = \frac{1}{2}(1 \pm \sin 2\eta) \simeq \frac{1}{2} \pm \eta.$$

An experiment can be sensitive to the octant if, despite the freedom introduced by the unknown  $CP$  phases, there is still a difference between the probabilities in the two octants, i.e.,

$$\Delta P \equiv P_{\mu e}^{\text{HO}}(\delta_{13}^{\text{HO}}, \delta_{14}^{\text{HO}}) - P_{\mu e}^{\text{LO}}(\delta_{13}^{\text{LO}}, \delta_{14}^{\text{LO}}) \neq 0.$$

$$\Delta P = \Delta P_0 + \Delta P_1 + \Delta P_2.$$

## Analytical Treatment

$$\Delta P_0 \simeq 8\eta s_{13}^2 \sin^2 \Delta.$$

$$\Delta P_1 = A[\cos(\Delta \pm \phi^{\text{HO}}) - \cos(\Delta \pm \phi^{\text{LO}})],$$

$$\Delta P_2 = B[\sin(\Delta \pm \psi^{\text{HO}}) - \sin(\Delta \pm \psi^{\text{LO}})],$$

$$A = 4s_{13}s_{12}c_{12}(\alpha\Delta) \sin \Delta, \quad \phi = \delta_{13},$$

$$B = 2\sqrt{2}s_{14}s_{24}s_{13} \sin \Delta, \quad \psi = \delta_{13} - \delta_{14},$$

benchmark value  $\sin^2 \theta_{23} = 0.42$  (0.58) for LO (HO), i.e.,  $\eta = 0.08$ , at the first oscillation maximum ( $\Delta = \pi/2$ ), we have

$$\Delta P_0 \simeq 0.014, \quad |A| \simeq 0.013, \quad |B| \simeq 0.010.$$

experiment can be sensitive to the octant only if the positive-definite difference  $\Delta P_0$  cannot be completely compensated for by a negative contribution coming from the sum of  $\Delta P_1$  and  $\Delta P_2$ .

# SBL Reactor Experiments

Experiment	Reactor Power/Fuel	Overburden (mwe)	Detection Material	Segmentation	Optical Readout	Particle ID Capability	
DANSS (Russia)	 3000 MW LEU fuel	~50	Inhomogeneous PS & Gd sheets	2D, ~5mm	WLS fibers.	Topology only	
NEOS (South Korea)		2800 MW LEU fuel	~20	Homogeneous Gd-doped LS	none	Direct double ended PMT	
nuLat (USA)		40 MW $^{235}\text{U}$ fuel	few	Homogeneous $^6\text{Li}$ doped PS	Quasi-3D, 5cm, 3-axis Opt. Latt	Direct PMT	Topology, recoil & capture PSD
Neutrino4 (Russia)		100 MW $^{235}\text{U}$ fuel	~10	Homogeneous Gd-doped LS	2D, ~10cm	Direct single ended PMT	Topology only
PROSPECT (USA)		85 MW $^{235}\text{U}$ fuel	few	Homogeneous $^6\text{Li}$ -doped LS	2D, 15cm	Direct double ended PMT	Topology, recoil & capture PSD
SoLid (UK Fr Bel US)		72 MW $^{235}\text{U}$ fuel	~10	Inhomogeneous $^6\text{LiZnS}$ & PS	Quasi-3D, 5cm multiplex	WLS fibers	topology, capture PSD
Chandler (USA)		72 MW $^{235}\text{U}$ fuel	~10	Inhomogeneous $^6\text{LiZnS}$ & PS	Quasi-3D, 5cm, 2-axis Opt. Latt	Direct PMT/ WLS Scint.	topology, capture PSD
Stereo (France)		57 MW $^{235}\text{U}$ fuel	~15	Homogeneous Gd-doped LS	1D, 25cm	Direct single ended PMT	recoil PSD

N. Bowden, AAP 2016

# Hint from MiniBooNE: $\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$

p beam: Booster in FNAL, 8 GeV

$\nu$  beam:  $\pi$  Decay-in-flight

$$\pi^\pm \rightarrow \mu^\pm + \nu_\mu (\bar{\nu}_\mu)$$

Oscillation modes:  $\nu_\mu \rightarrow \nu_e, \bar{\nu}_\mu \rightarrow \bar{\nu}_e$

Detection mode:  $\nu_e + n \rightarrow e^- + p$   
 $\bar{\nu}_e + p \rightarrow e^+ + n$

Baseline: 500 m

Peak Energy: 600 / 400 MeV

L/E:  $\sim 1$  m / MeV

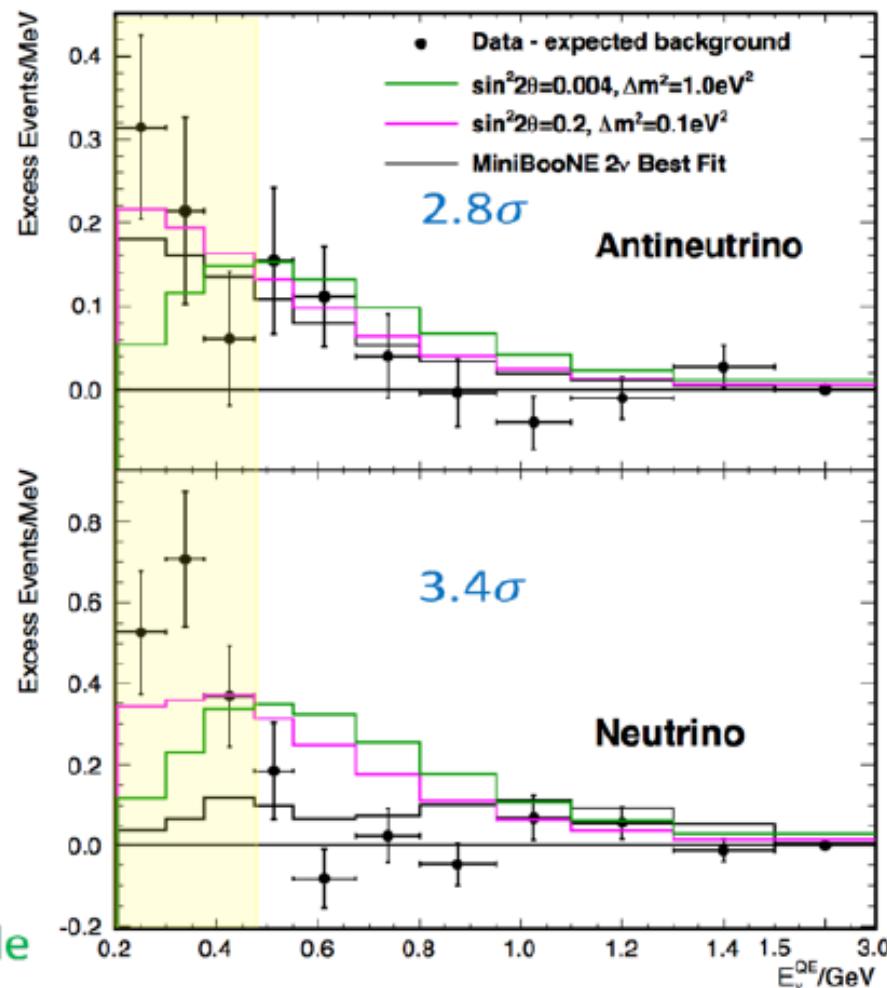
Event excess:

$\nu$  mode -  $162.0 \pm 47.8$  ( $3.4\sigma$ )

$\bar{\nu}$  mode -  $78.4 \pm 28.5$  ( $2.8\sigma$ )

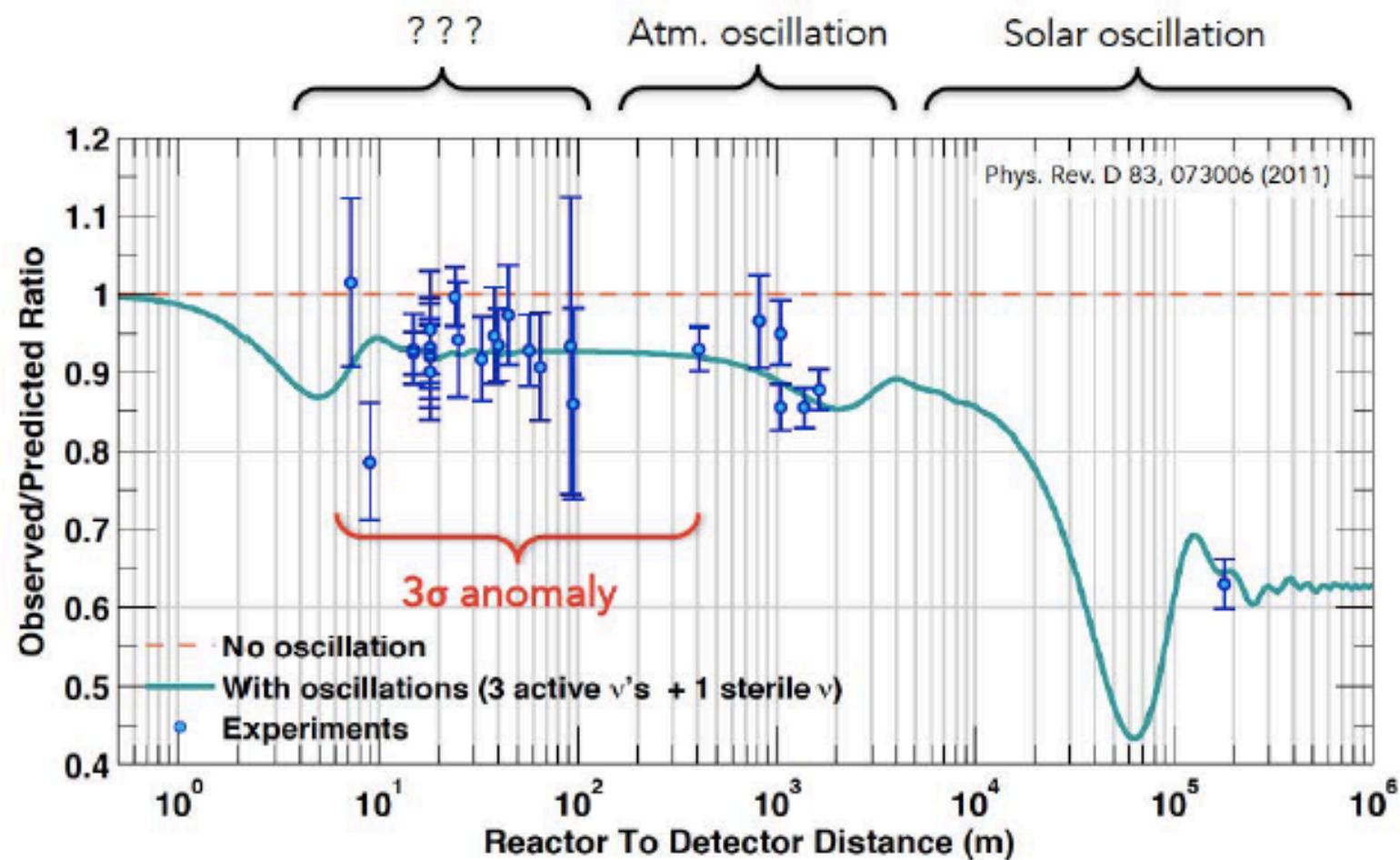
Above 475 MeV, no event excess for  $\nu$  mode

Phys. Rev. Lett. 110, 161801 (2013)



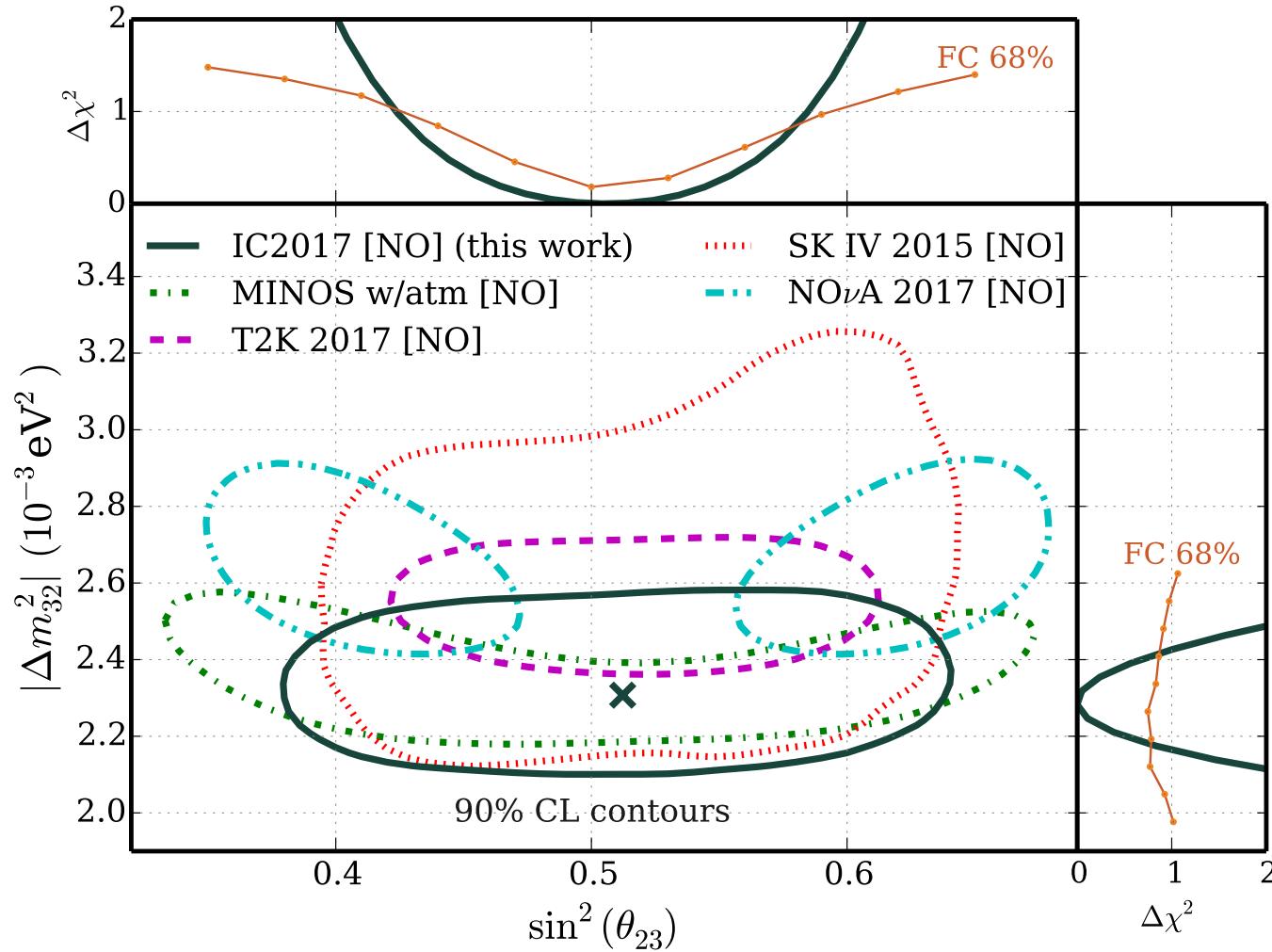
# *Reactor Anomaly*

## The reactor anomaly



G. Mention, M. Fechner, Th. Lasserre, Th. A. Mueller, D. Lhuillier, M. Cribier, and A. Letourneau, Phys. Rev. D 83, 073006 (2011)

# *Comparison among Different Experiments*



Three years of data  
from DeepCore  
in the energy range  
5.6 to 56 GeV

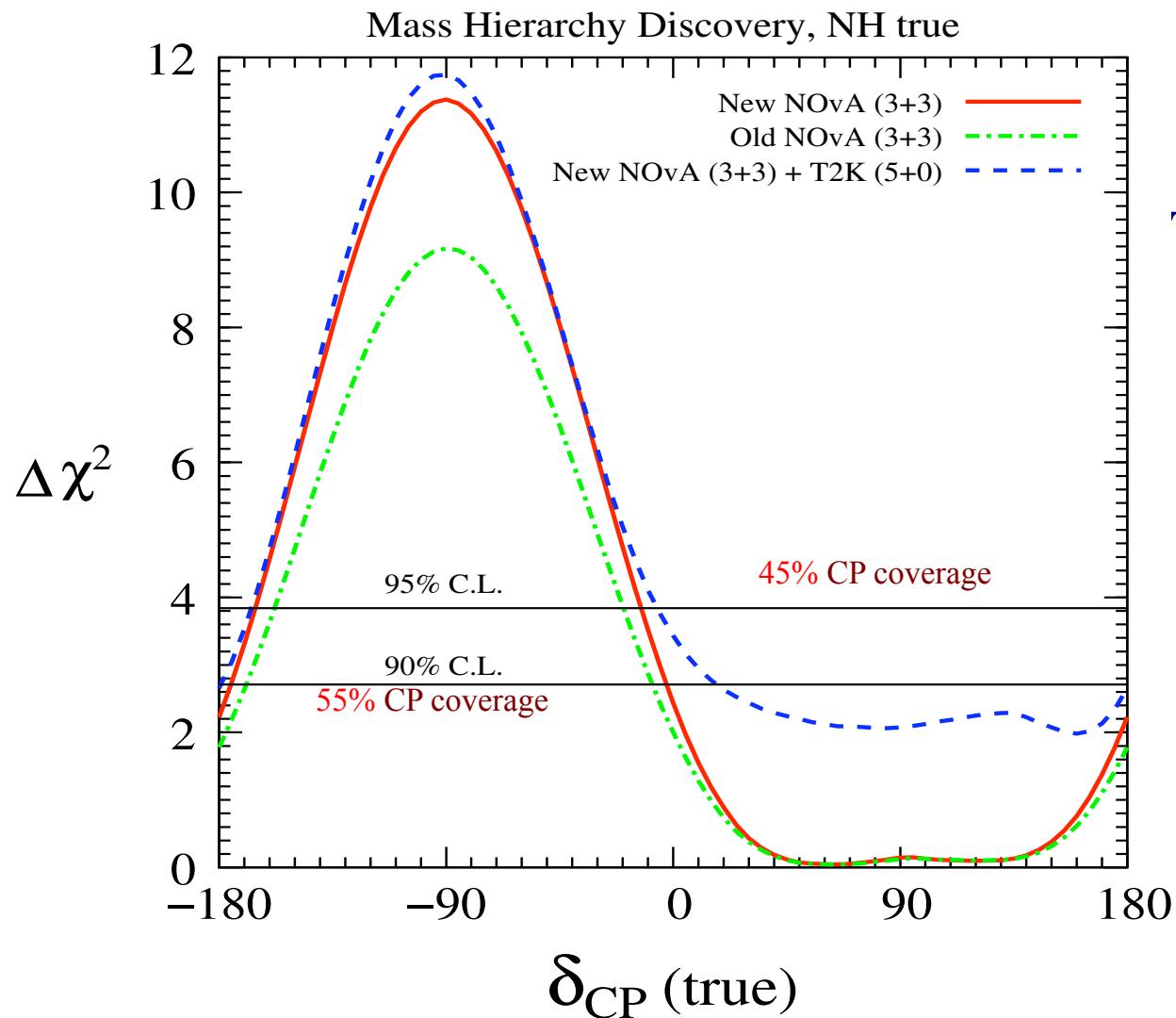
**MINOS**  
(arXiv:1304.6335)

**T2K**  
(arXiv:1701.00432)

**NO $\nu$ A**  
(arXiv:1701.05891)

**Super-K**  
(arXiv:1412.5234)

# Mass Hierarchy Discovery with T2K and NOvA

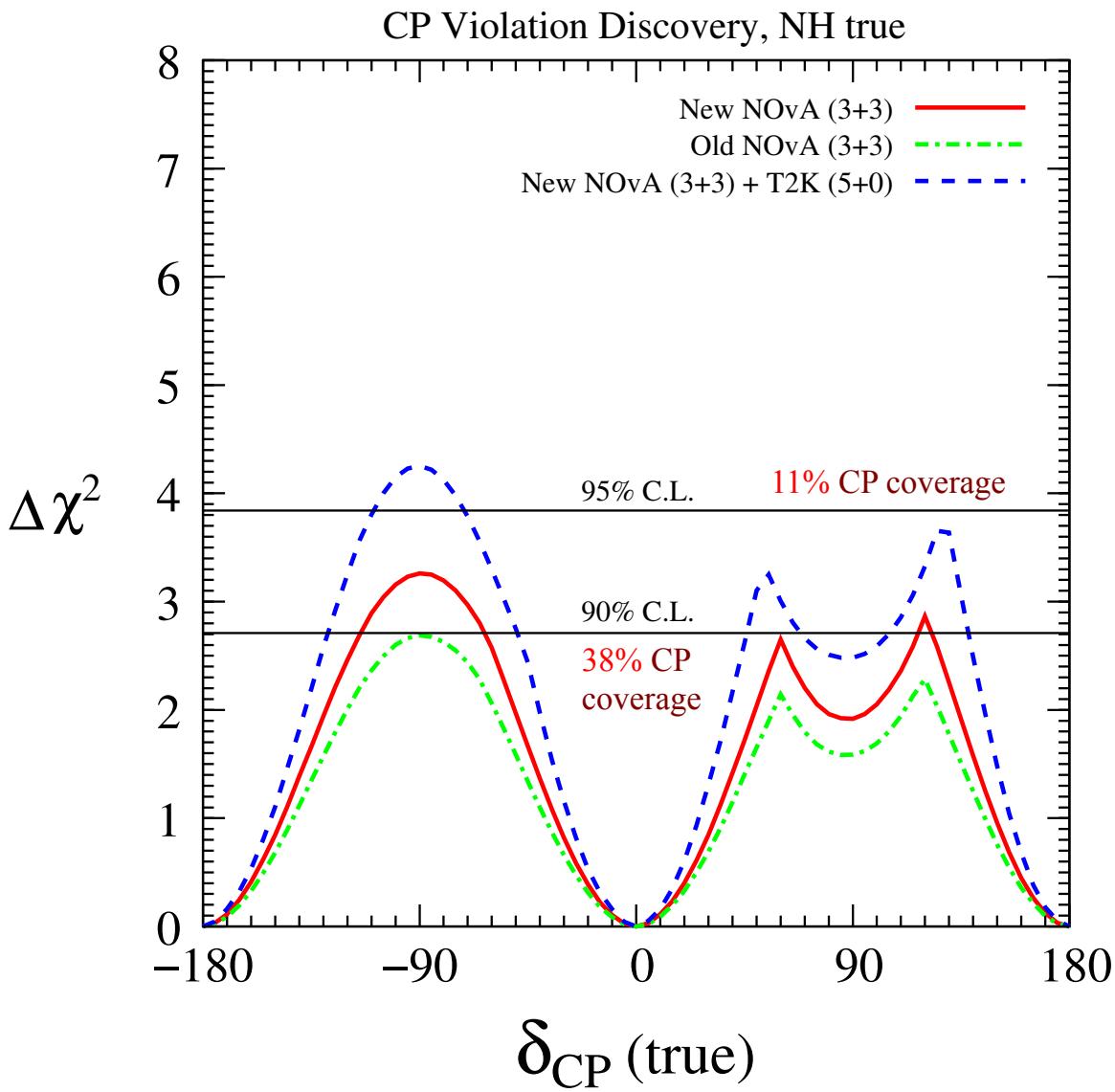


T2K: Total p.o.t.:  $7.8 \times 10^{21}$

NOvA: Total p.o.t.:  $3.6 \times 10^{21}$

**Adding data from  
T2K and NOvA  
is useful to kill the  
intrinsic degeneracies**

# *CP-Violation Discovery with T2K and NOvA*

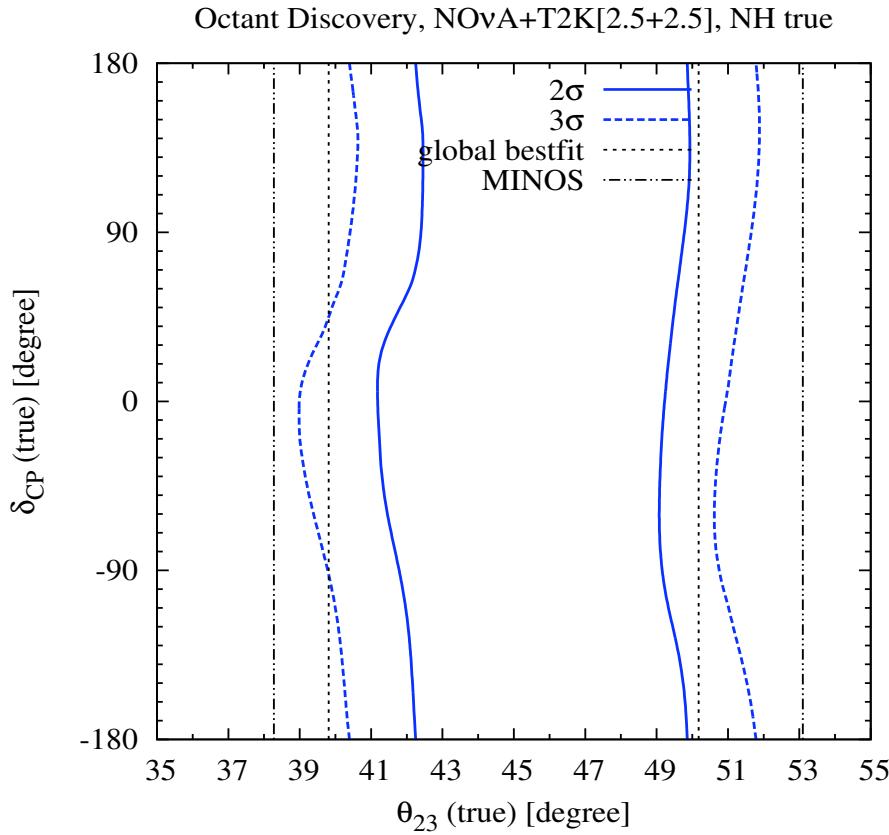


**CP asymmetry  $\propto 1/\sin 2\theta_{13}$**

**Large  $\theta_{13}$  increases statistics  
but reduces asymmetry**

**Systematics are important**

# *Resolving Octant of $\theta_{23}$ with T2K and NOvA*



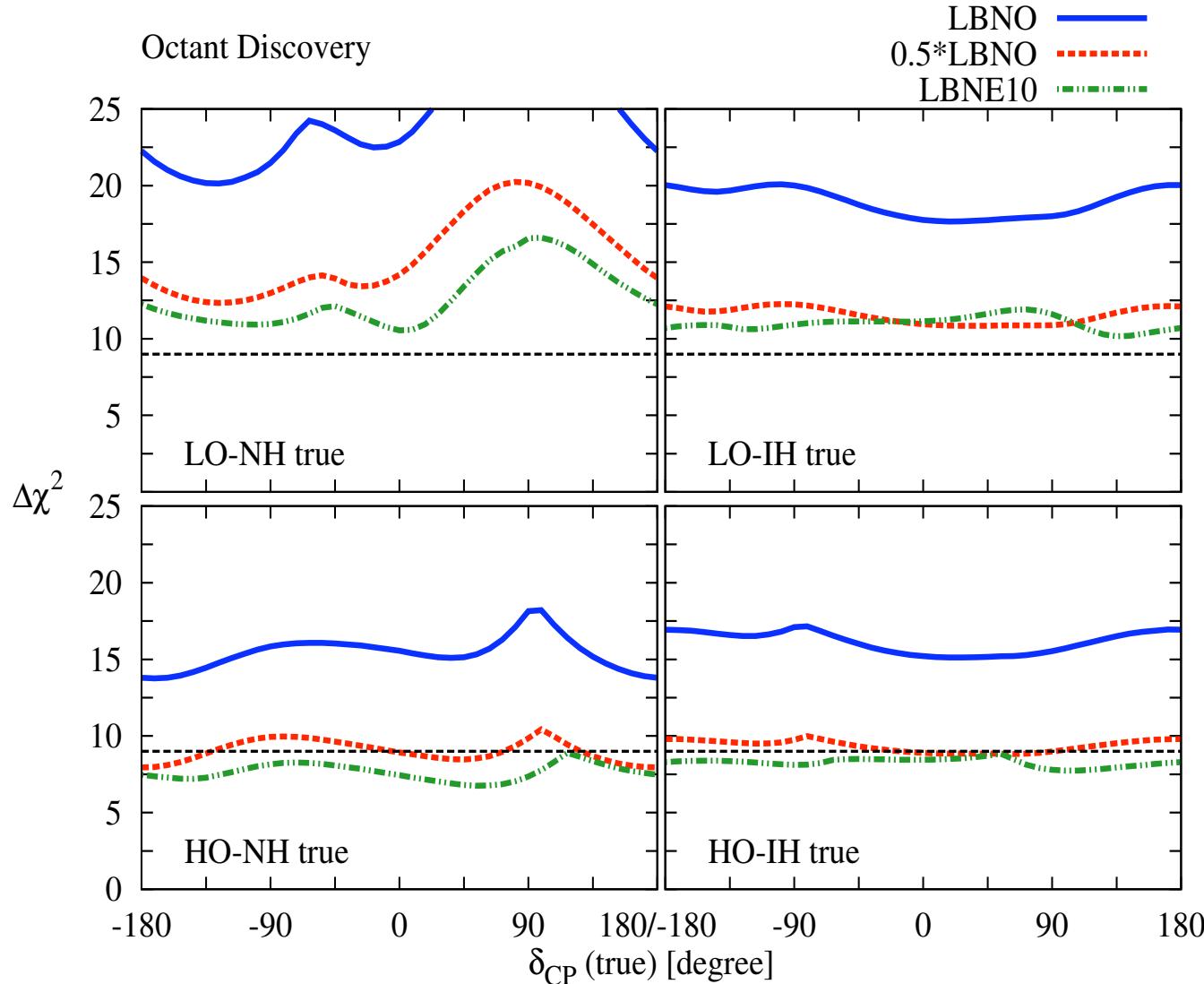
**Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]**

If  $\theta_{23} < 41^\circ$  or  $\theta_{23} > 50^\circ$ , we can resolve the octant issue at  $2\sigma$  irrespective of  $\delta_{\text{CP}}$

If  $\theta_{23} < 39^\circ$  or  $\theta_{23} > 52^\circ$ , we can resolve the octant issue at  $3\sigma$  irrespective of  $\delta_{\text{CP}}$

**Important message: T2K must run in anti-neutrino mode in future**

# Octant Discovery with LBNE and LBNO



Agarwalla, Prakash, Sankar, arXiv:1304.3251 [hep-ph]

For octant: in their first phases,  $4\sigma$  discovery for LBNO and  $3\sigma$  for LBNE10

# *Present Understanding of the 2-3 Mixing Angle*

Information on  $\theta_{23}$  comes from: a) atmospheric neutrinos and b) accelerator neutrinos

In two-flavor scenario:  $P_{\mu\mu} = 1 - \sin^2 2\theta_{\text{eff}} \sin^2 \left( \frac{\Delta m_{\text{eff}}^2 L}{4E} \right)$

For accelerator neutrinos: relate effective 2-flavor parameters with 3-flavor parameters:

$$\Delta m_{\text{eff}}^2 = \Delta m_{31}^2 - \Delta m_{21}^2 (\cos^2 \theta_{12} - \cos \delta_{\text{CP}} \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23})$$

$$\sin^2 2\theta_{\text{eff}} = 4 \cos^2 \theta_{13} \sin^2 \theta_{23} (1 - \cos^2 \theta_{13} \sin^2 \theta_{23}) \quad \text{where} \quad \frac{|U_{\mu 3}|^2}{|U_{\tau 3}|^2} = \tan^2 \theta_{23}$$

Nunokawa et al, hep-ph/0503283; A. de Gouvea et al, hep-ph/0503079

Combining beam and atmospheric data in MINOS, we have:

MINOS Collaboration: arXiv:1304.6335v2 [hep-ex]

$$\sin^2 2\theta_{\text{eff}} = 0.95^{+0.035}_{-0.036} (10.71 \times 10^{21} \text{ p.o.t})$$

$$\sin^2 2\bar{\theta}_{\text{eff}} = 0.97^{+0.03}_{-0.08} (3.36 \times 10^{21} \text{ p.o.t})$$

Atmospheric data, dominated by Super-Kamiokande, still prefers maximal value of  $\sin^2 2\theta_{\text{eff}} = 1$  ( $\geq 0.94$  (90% C.L.))

Talk by Y. Itow in Neutrino 2012 conference, Kyoto, Japan

## Bounds on $\theta_{23}$ from the global fits

	Forero etal	Fogli etal	Gonzalez-Garcia etal
$\sin^2 \theta_{23}$ (NH)	$0.427^{+0.034}_{-0.027} \oplus 0.613^{+0.022}_{-0.040}$	$0.386^{+0.024}_{-0.021}$	$0.41^{+0.037}_{-0.025} \oplus 0.59^{+0.021}_{-0.022}$
$3\sigma$ range	$0.36 \rightarrow 0.68$	$0.331 \rightarrow 0.637$	$0.34 \rightarrow 0.67$
$\sin^2 \theta_{23}$ (IH)	$0.600^{+0.026}_{-0.031}$	$0.392^{+0.039}_{-0.022}$	
$3\sigma$ range	$0.37 \rightarrow 0.67$	$0.335 \rightarrow 0.663$	<b>Relative <math>1\sigma</math> precision of 11%</b>

**All the three global fits indicate for non-maximal 2-3 mixing!**

**In  $v_\mu$  survival probability, the dominant term is mainly sensitive to  $\sin^2 2\theta_{23}$ !**

**If  $\sin^2 2\theta_{23}$  differs from 1 (as indicated by recent data), we get two solutions for  $\theta_{23}$ :**

**one in lower octant (LO:  $\theta_{23} < 45$  degree), other in higher octant (HO:  $\theta_{23} > 45$  degree)**

**In other words, if  $(0.5 - \sin^2 \theta_{23})$  is +ve (-ve) then  $\theta_{23}$  belongs to LO (HO)**

**This is known as the octant ambiguity of  $\theta_{23}$  !**

Fogli and Lisi, hep-ph/9604415

**$v_\mu$  to  $v_e$  oscillation data can break this degeneracy!**

**The preferred value would depend on the choice of the neutrino mass hierarchy!**

## Octant – $\delta_{CP}$ degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

$$P_{\mu e} = \beta_1 \sin^2 \theta_{23} + \beta_2 \cos(\hat{\Delta} + \delta_{CP}) + \beta_3 \cos^2 \theta_{23} \quad (\text{upto second order in } \alpha = \Delta_{21}/\Delta_{31} \text{ and } \sin 2\theta_{13})$$

$$\beta_1 = \sin^2 2\theta_{13} \frac{\sin^2 \hat{\Delta}(1 - \hat{A})}{(1 - \hat{A})^2}, \quad \beta_3 = \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{13} \frac{\sin^2 \hat{\Delta} \hat{A}}{\hat{A}^2}$$

$$\beta_2 = \alpha \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \frac{\sin \hat{\Delta} \hat{A}}{\hat{A}} \frac{\sin \hat{\Delta}(1 - \hat{A})}{1 - \hat{A}},$$

$$A(\text{eV}^2) = 0.76 \times 10^{-4} \rho \text{ (g/cc)} E(\text{GeV}) \quad \hat{\Delta} = \Delta_{31} L / 4E, \quad \hat{A} = A / \Delta_{31}$$

Cervera etal, hep-ph/0002108; Freund etal, hep-ph/0105071

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We demand that:  $P_{\mu e}(\text{LO}, \delta_{CP}^{\text{LO}}) = P_{\mu e}(\text{HO}, \delta_{CP}^{\text{HO}})$

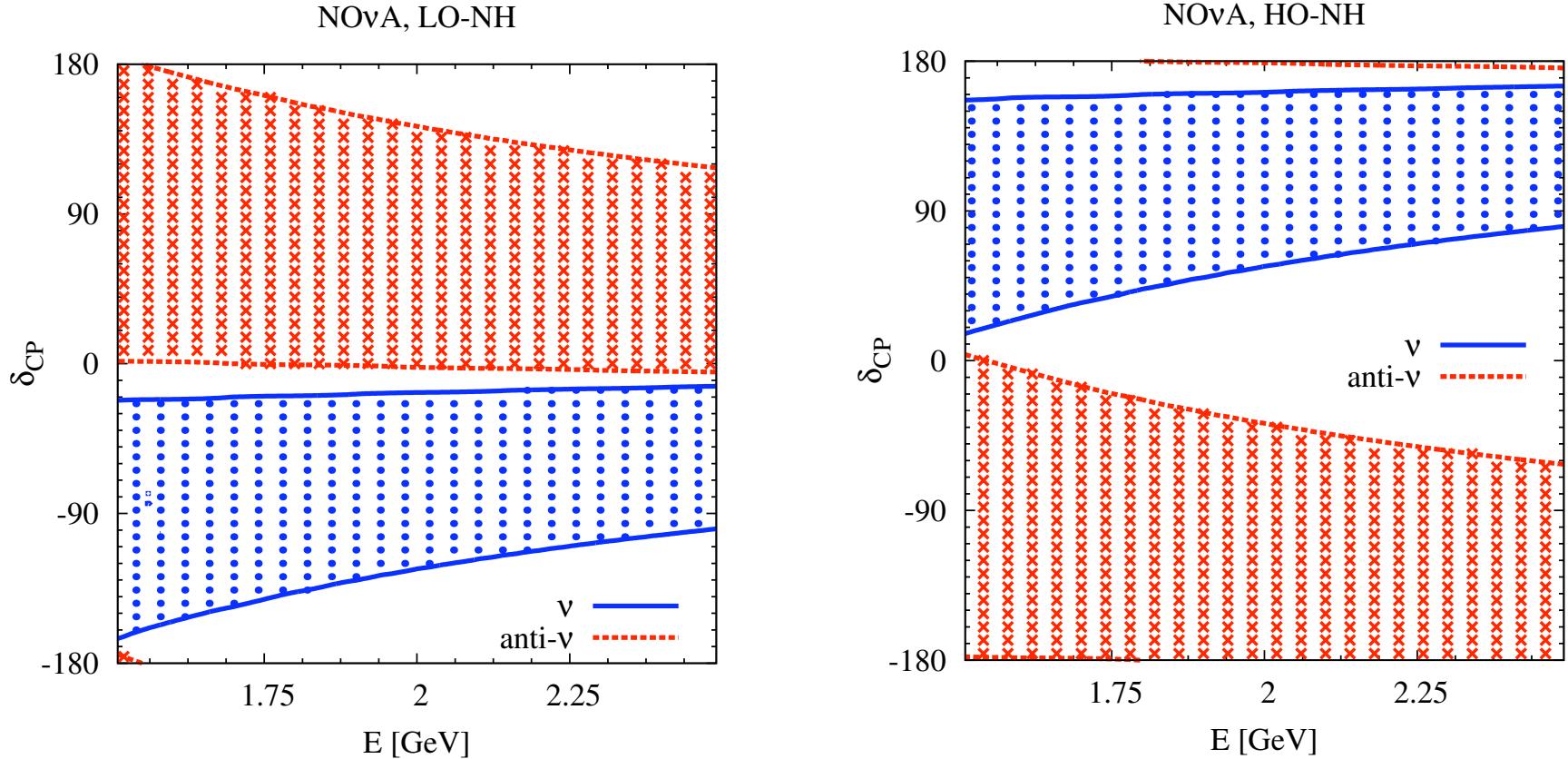
Above condition gives us:  $\cos(\hat{\Delta} + \delta_{CP}^{\text{LO}}) - \cos(\hat{\Delta} + \delta_{CP}^{\text{HO}}) = \frac{\beta_1 - \beta_3}{\beta_2} (\sin^2 \theta_{23}^{\text{HO}} - \sin^2 \theta_{23}^{\text{LO}})$

For L=810 km & E=2 GeV, we get for NH and neutrino:  $\cos(\hat{\Delta} + \delta_{CP}^{\text{LO}}) - \cos(\hat{\Delta} + \delta_{CP}^{\text{HO}}) = 1.7$

$P_{\mu e}(\text{LO}, -116^\circ \leq \delta_{CP} \leq -26^\circ)$  is degenerate with  $P_{\mu e}(\text{HO}, 64^\circ \leq \delta_{CP} \leq 161^\circ)$

Agarwalla, Prakash, Uma Sankar, arXiv:1301.2574

# Octant – $\delta_{CP}$ degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel



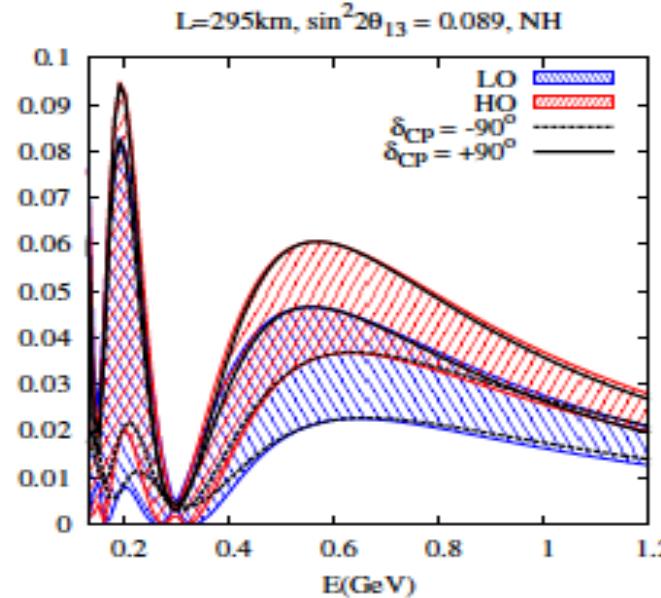
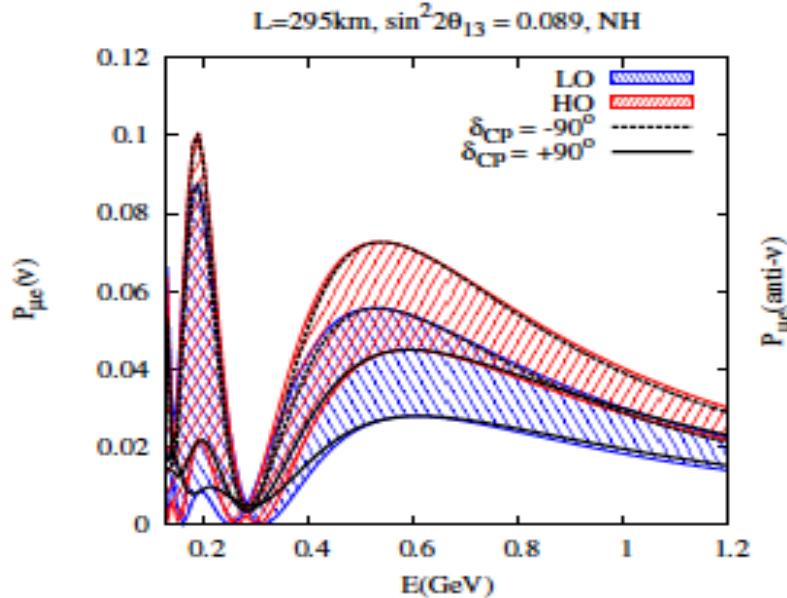
Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

Octant –  $\delta_{CP}$  degeneracy in  $P_{\mu e}$  as a function of neutrino energy

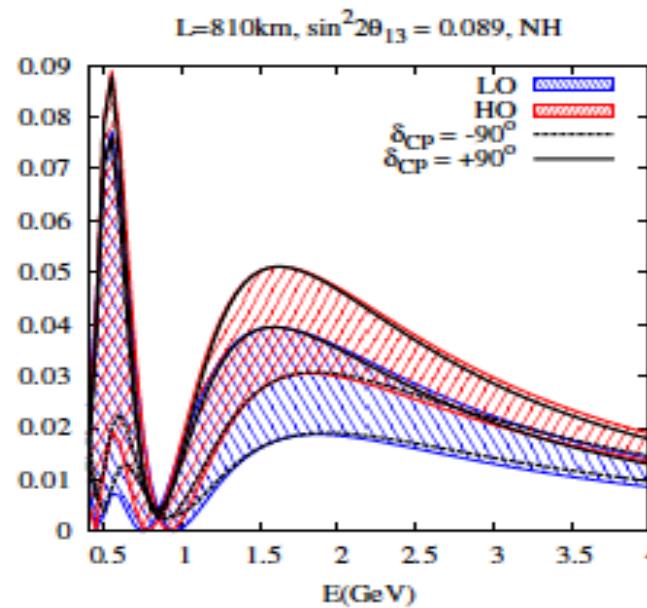
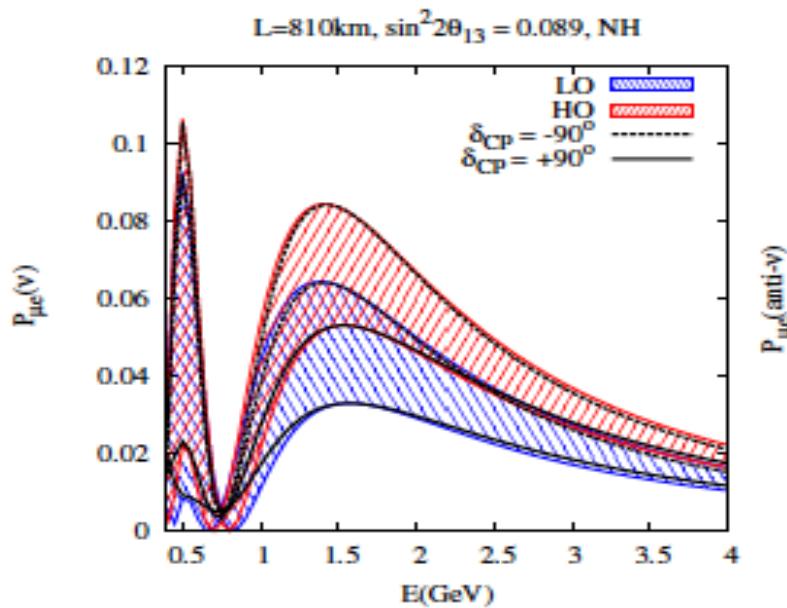
At 2 GeV,  $P_{\mu e}(\text{LO}, -116^\circ \leq \delta_{CP} \leq -26^\circ)$  is degenerate with  $P_{\mu e}(\text{HO}, 64^\circ \leq \delta_{CP} \leq 161^\circ)$

As an example,  $P_{\mu e}(\text{LO}, \delta_{CP} = -90^\circ)$  is degenerate with  $P_{\mu e}(\text{HO}, \delta_{CP} \approx 66^\circ)$

# Octant – $\delta_{CP}$ degeneracy in T2K and NOvA



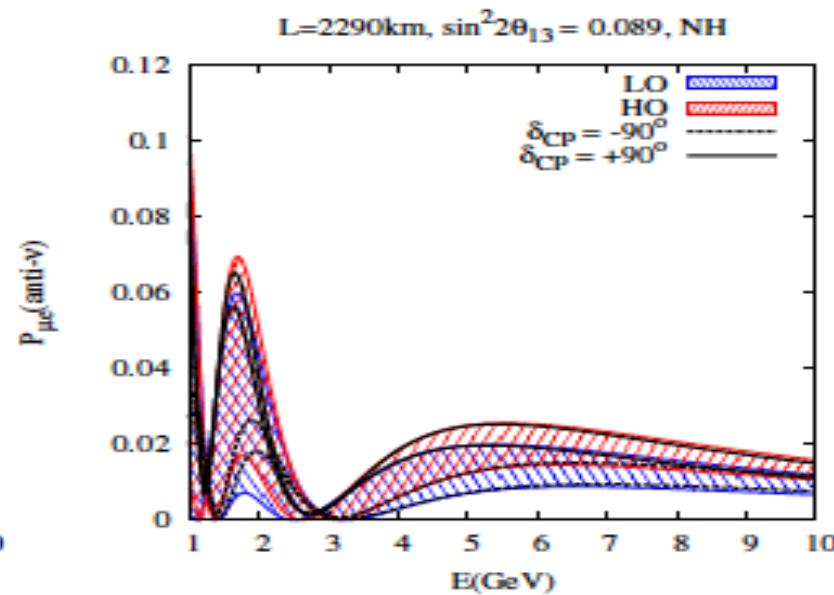
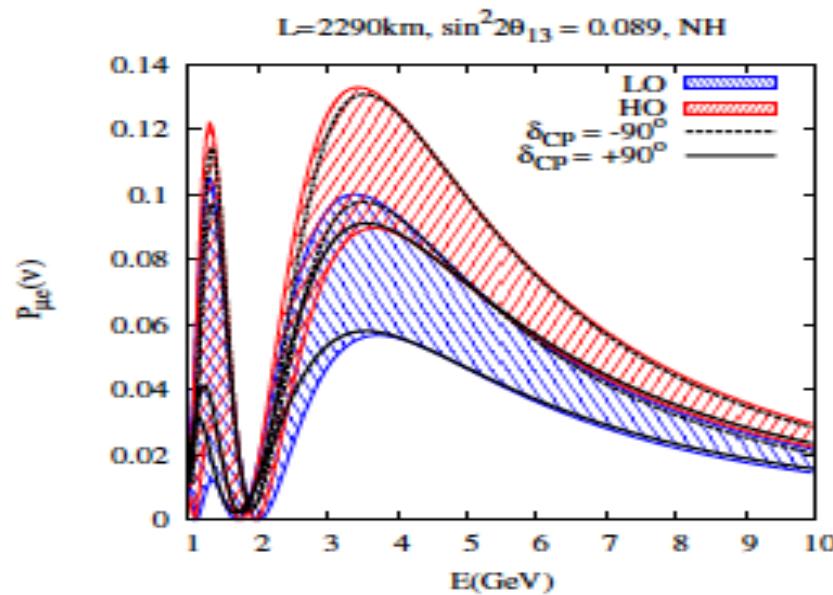
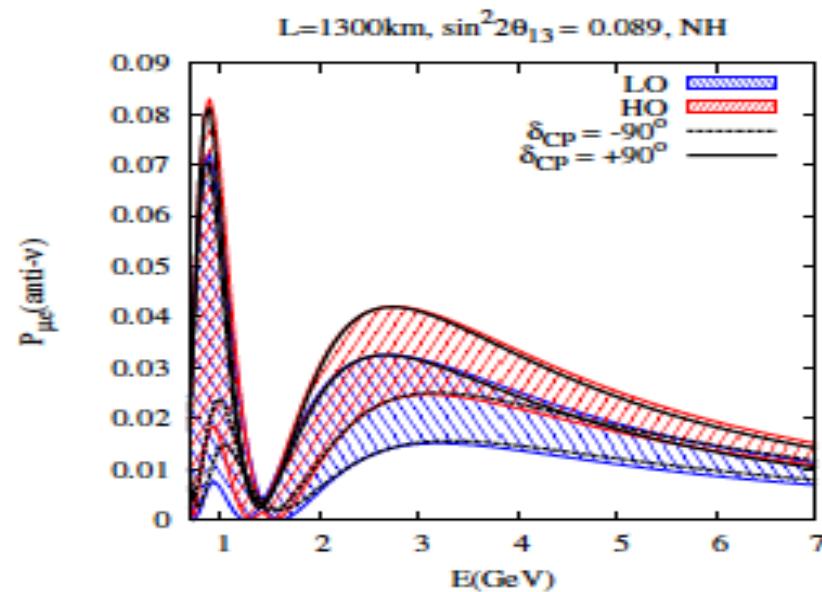
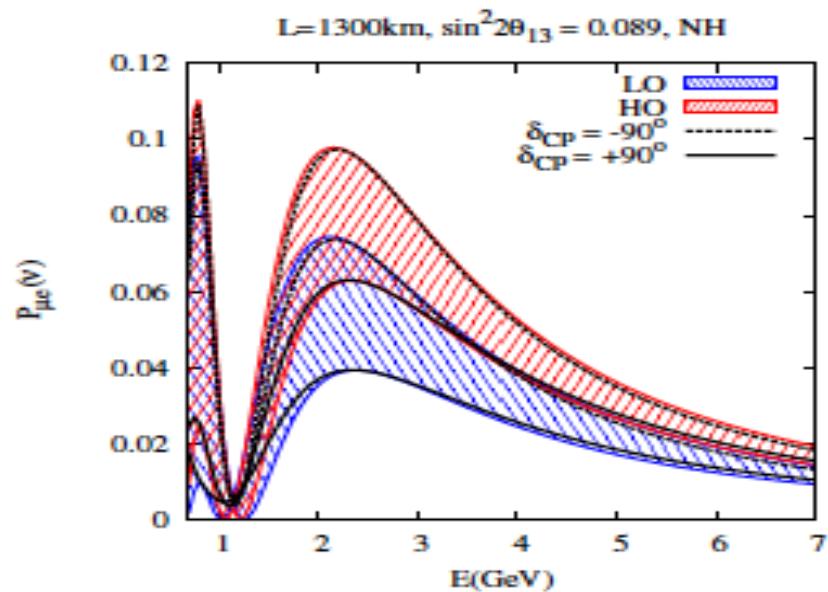
For neutrino:  
favorable  
combinations:  
Max: HO,  $-90^\circ$   
Min: LO,  $90^\circ$



For anti-neutrino:  
favorable  
combinations:  
Max: HO,  $90^\circ$   
Min: LO,  $-90^\circ$

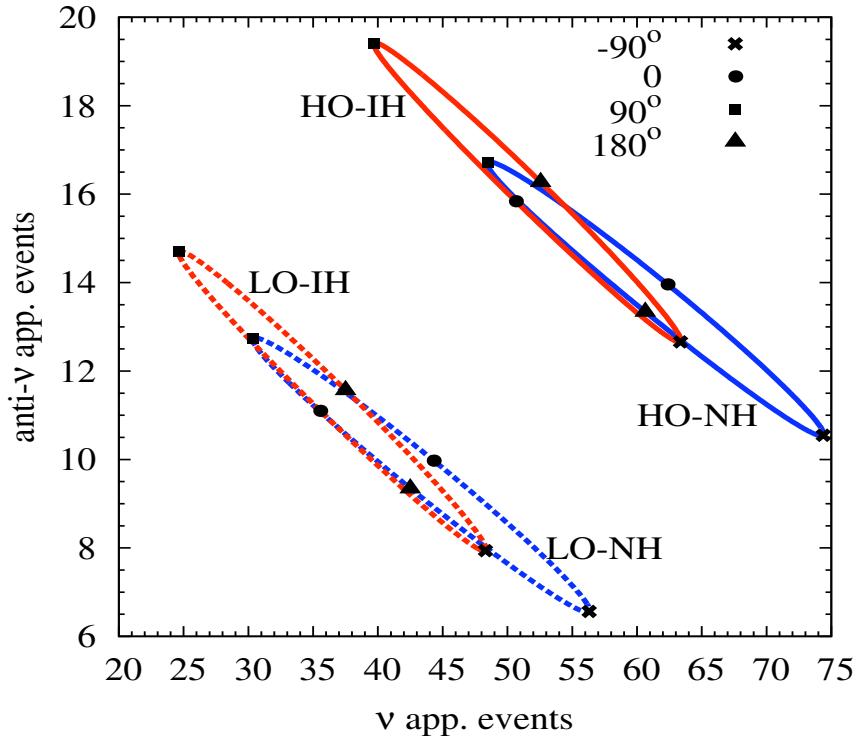
Unfavorable CP  
values for neutrino  
are favorable for  
anti-neutrino and  
vice-versa!

# Octant – $\delta_{CP}$ degeneracy in LBNE and LBNO

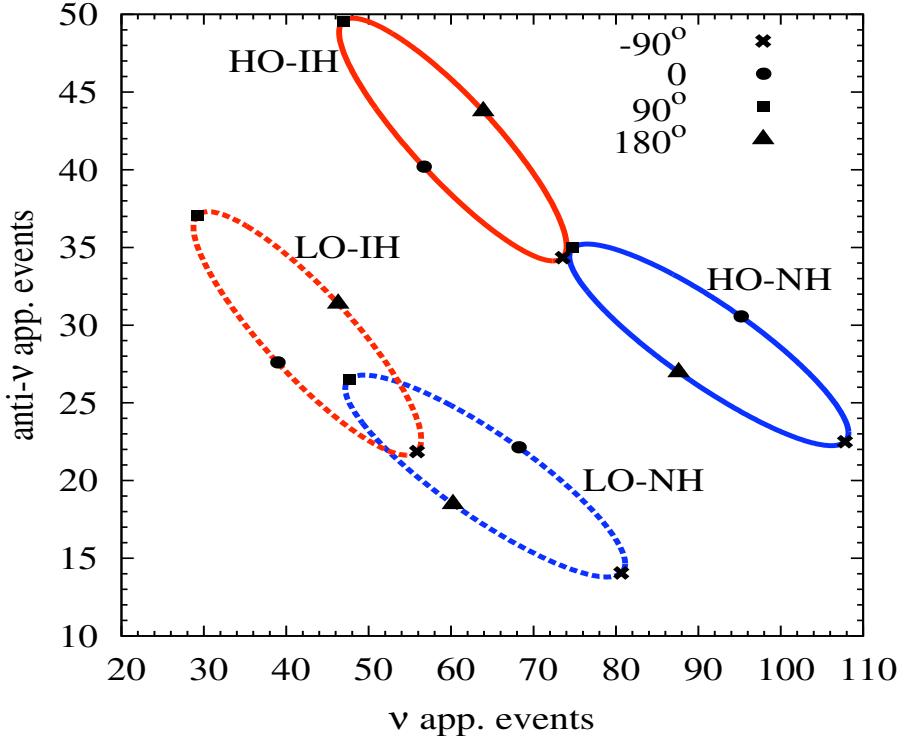


# Bi-Event Plots for T2K and NOvA

T2K[2.5+2.5]



NOvA[3+3]



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]; see also the talk by T. Nakadaira in this workshop

neutrino vs. anti-neutrino events for various octant-hierarchy combinations, ellipses due to varying  $\delta_{CP}$ !

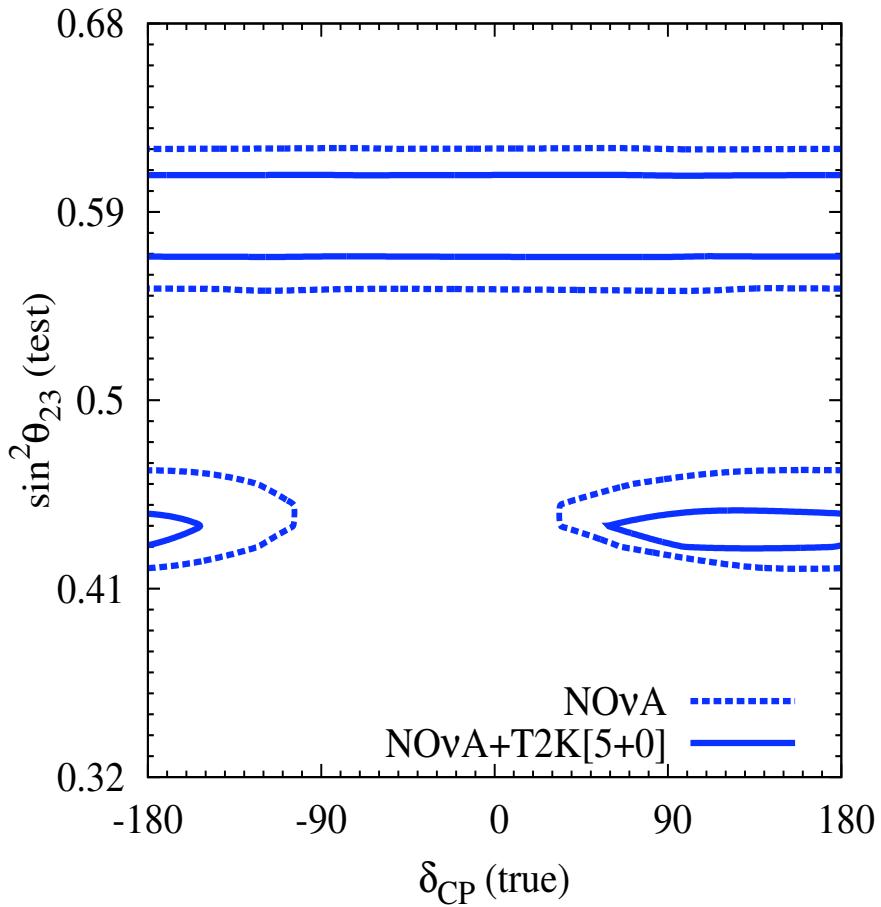
If  $\delta_{CP} = -90^\circ$  ( $90^\circ$ ), the asymmetry between  $\nu$  and anti- $\nu$  events is largest for NH (IH)

For NOvA & T2K, the ellipses for the two hierarchies overlap whereas the ellipses of LO are well separated from those of HO, the same is true for T2K as well!

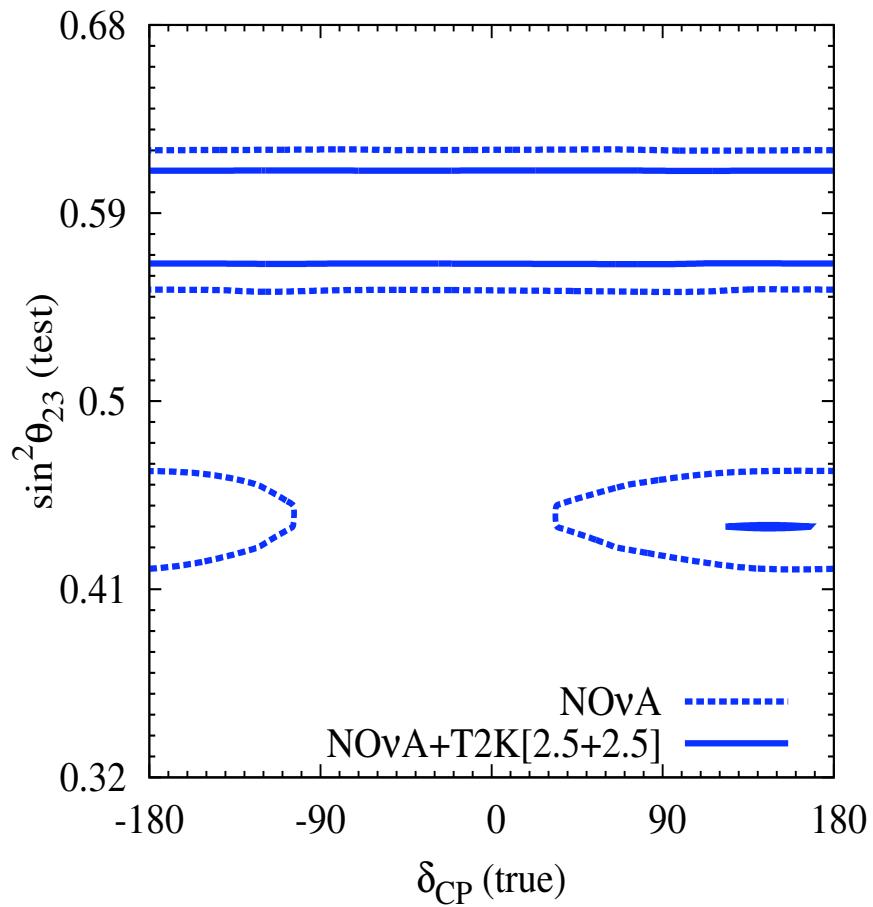
Octant discovery: balanced neutrino & anti-neutrino runs needed in each experiment!

# *Allowed regions in test $\sin^2\theta_{23}$ - true $\delta_{CP}$ plane*

HO-NH true, NH test,  $2\sigma$



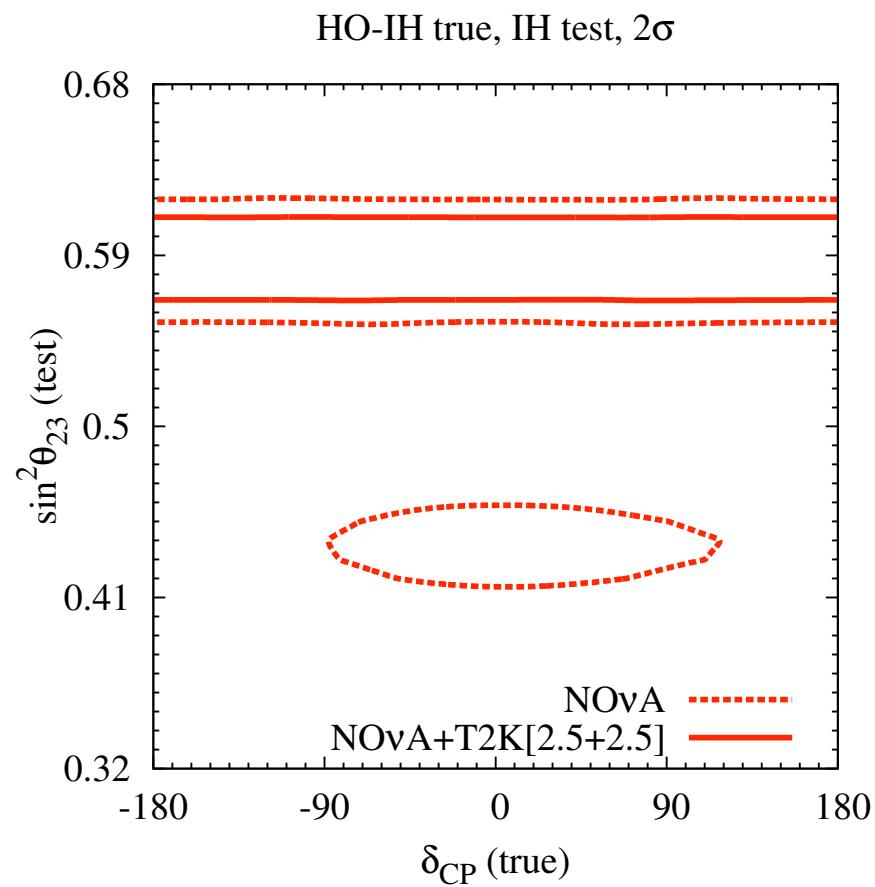
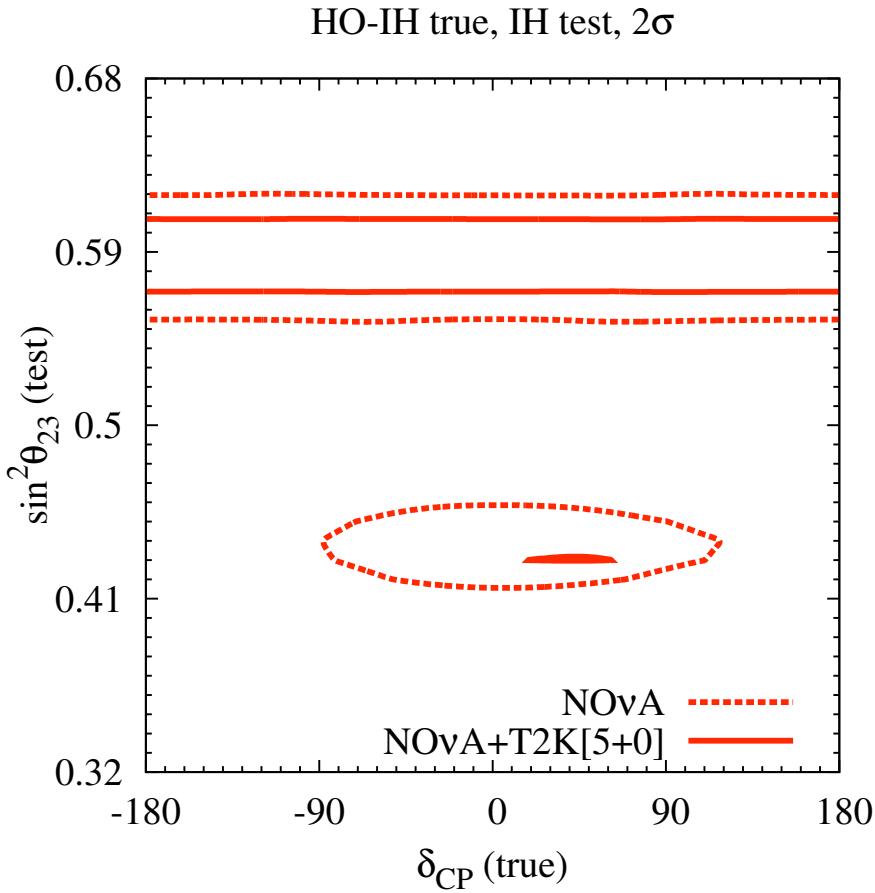
HO-NH true, NH test,  $2\sigma$



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

Balanced neutrino & anti-neutrino runs from T2K are mandatory  
if HO turns out to be the right octant!

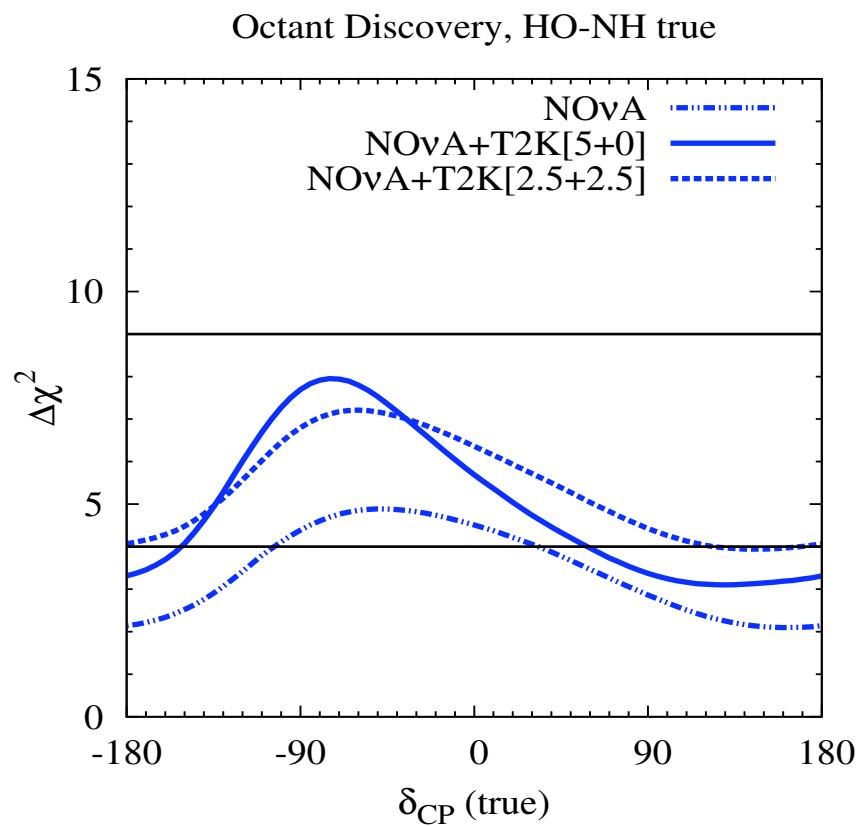
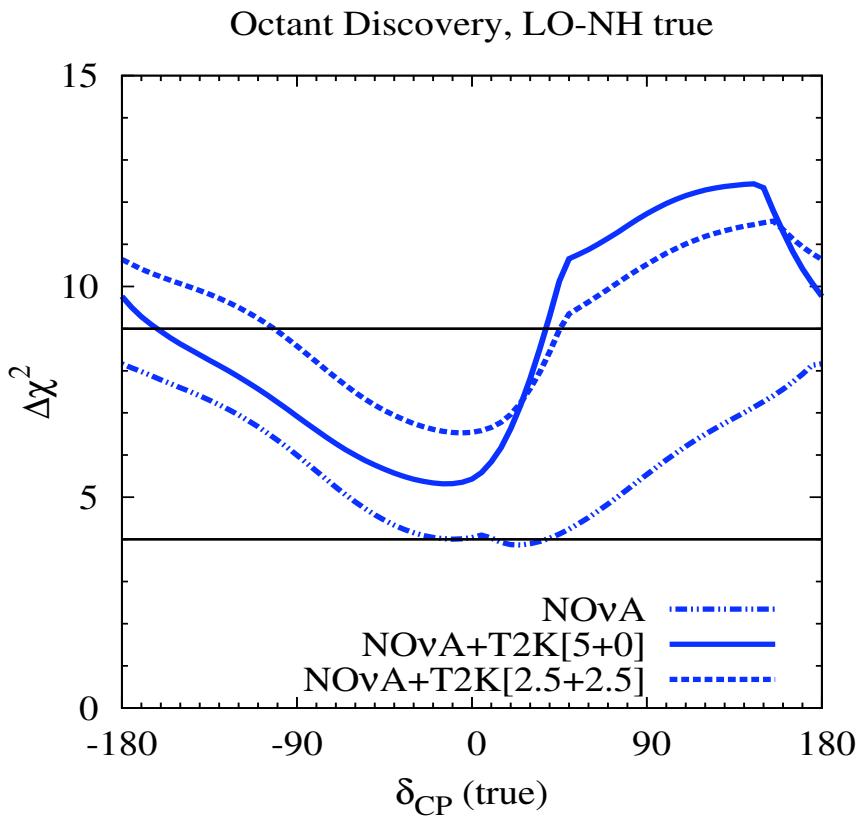
# *Allowed regions in test $\sin^2\theta_{23}$ - true $\delta_{CP}$ plane*



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

**Balanced neutrino & anti-neutrino runs from T2K are mandatory  
if HO turns out to be the right octant!**

# *Resolving Octant of $\theta_{23}$ with T2K and NOvA*

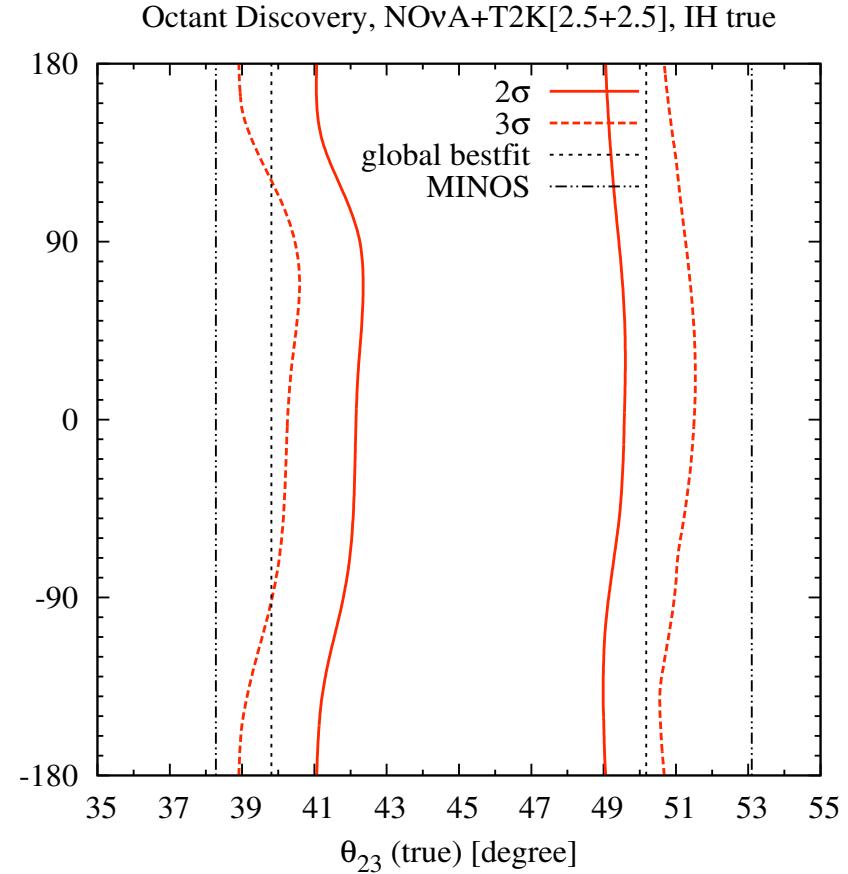
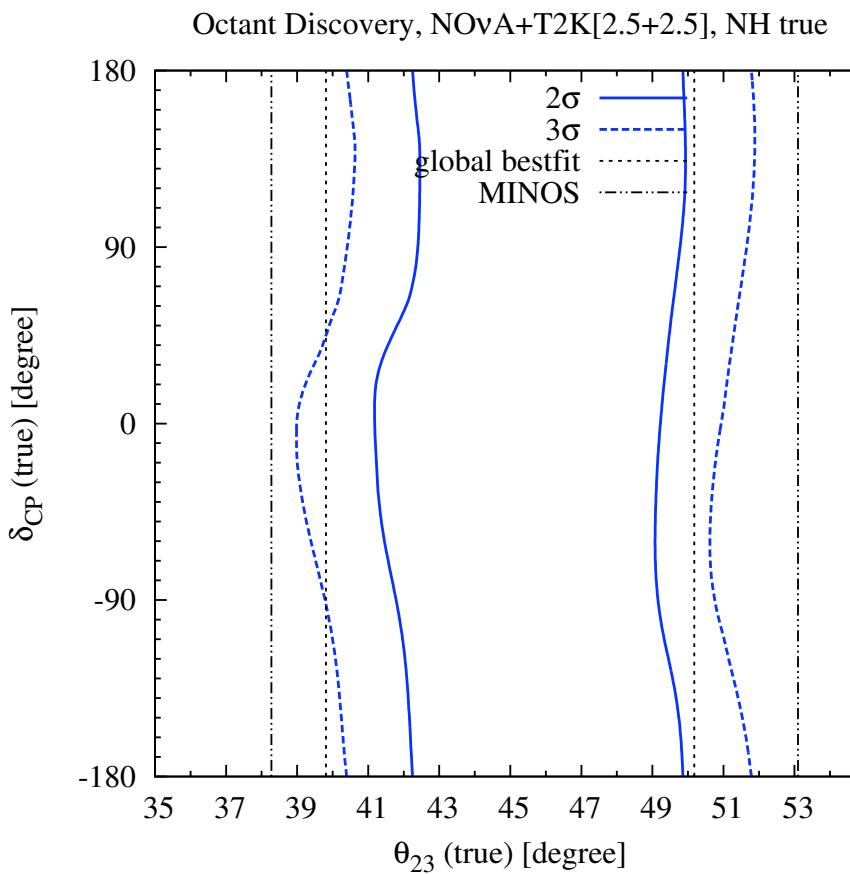


Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

A  $2\sigma$  resolution of the octant, for all combinations of neutrino parameters, becomes possible if we add the balanced neutrino and anti-neutrino runs from T2K (2.5 years  $\nu$  + 2.5 years anti- $\nu$ ) and NOvA (3 years  $\nu$  + 3 years of anti- $\nu$ )

**Important message: T2K must run in anti-neutrino mode in future!**

# Octant discovery in $\theta_{23}$ (true) – $\delta_{CP}$ (true) plane with T2K & NOvA



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

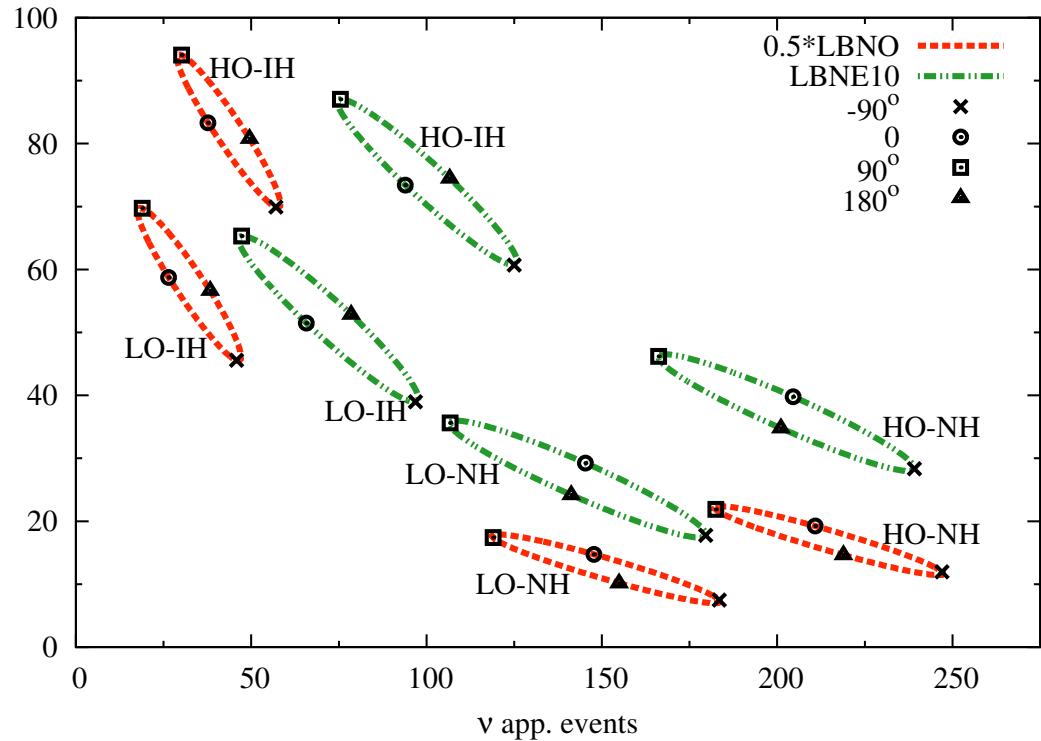
With Normal Hierarchy

If  $\theta_{23} < 41^\circ$  or  $\theta_{23} > 50^\circ$ , we can resolve the octant issue at  $2\sigma$  irrespective  $\delta_{CP}$

If  $\theta_{23} < 39^\circ$  or  $\theta_{23} > 52^\circ$ , we can resolve the octant issue at  $3\sigma$  irrespective  $\delta_{CP}$

# Future Superbeam Expts with LAr Detector: LBNE & LBNO

Electron appearance events for 0.5\*LBNO and LBNE10



LBNO: CERN-Pyhasalmi (2290 km)  
750 kW beam power, 20 kt LArTPC

0.5\*LBNO: reduce detector size to 10 kt

For octant, balanced  $\nu$  & anti- $\nu$  data must!

LBNE10: FNAL-Homestake (1300 km)  
708 kW beam power, 10 kt LArTPC

For LBNE10, in case of LO, hierarchy  
discovery is very limited!

Octant determination in LBNE10 is  
similar to 0.5\*LBNO!

Agarwalla, Prakash, Sankar, arXiv:1304.3251 [hep-ph]

Wide Band Beam → Higher statistics → cover several L/E values → kill clone solutions

LAr Detector → Excellent Detection efficiency at 1<sup>st</sup> & 2<sup>nd</sup> Osc. maxima, good background rejection!

High L → High E → High cross-section → Less uncertainties in cross-section at high E

## *Few Remarks*

Recent measurement of a moderately large value of  $\theta_{13}$  signifies an important breakthrough in establishing the standard three flavor oscillation picture of neutrinos!

It has opened up exciting possibilities for current & future oscillation experiments!

T2K and NOvA are now poised to probe the impact of full 3 flavor effects to discover octant of  $\theta_{23}$  (a first step towards CP violation discovery)!

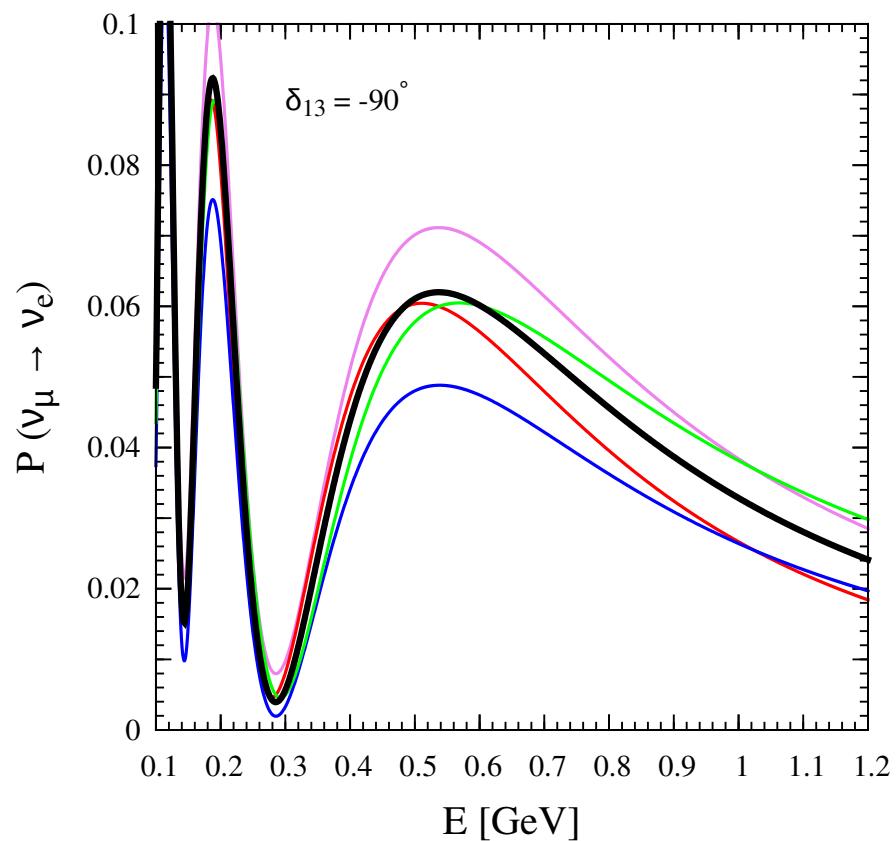
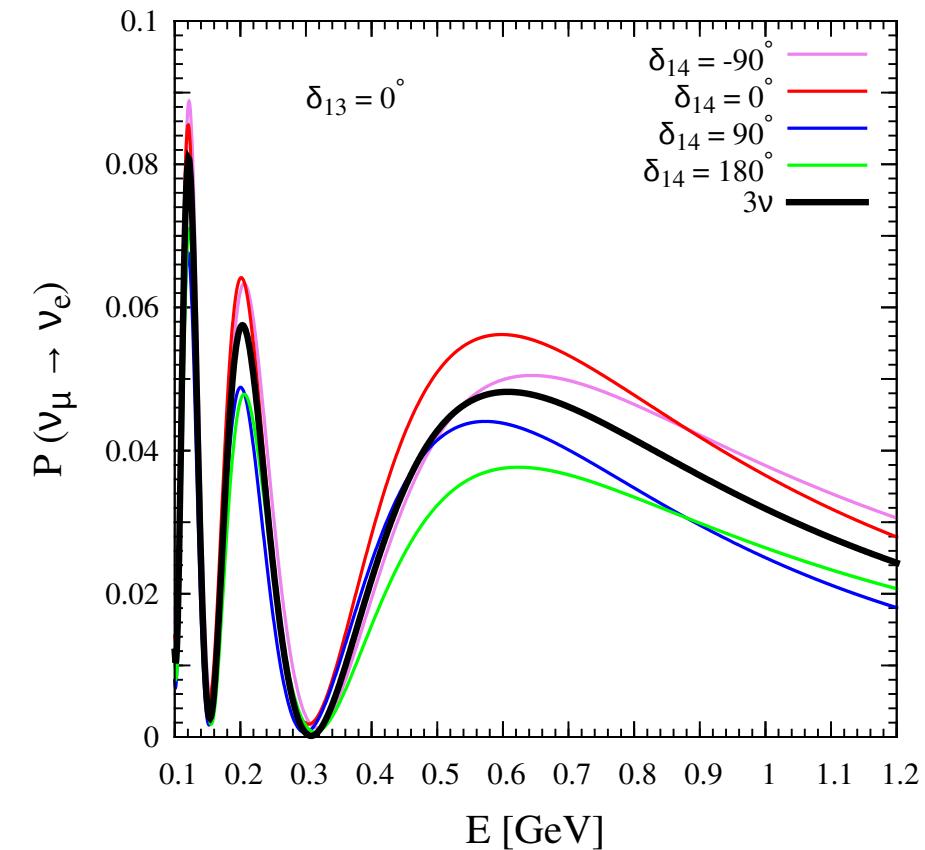
Balanced  $\nu$  and anti- $\nu$  runs from T2K & NOvA can establish the correct octant at  $2\sigma$  for any combination of hierarchy and CP phase if  $\sin^2\theta_{23} \leq 0.43$  or  $\geq 0.58$

In its first phase, LBNE10 can resolve the octant ambiguity of  $\theta_{23}$  around  $3\sigma$  C.L.

In its first phase, LBNO can decide the correct octant of  $\theta_{23}$  around  $4\sigma$  C.L.

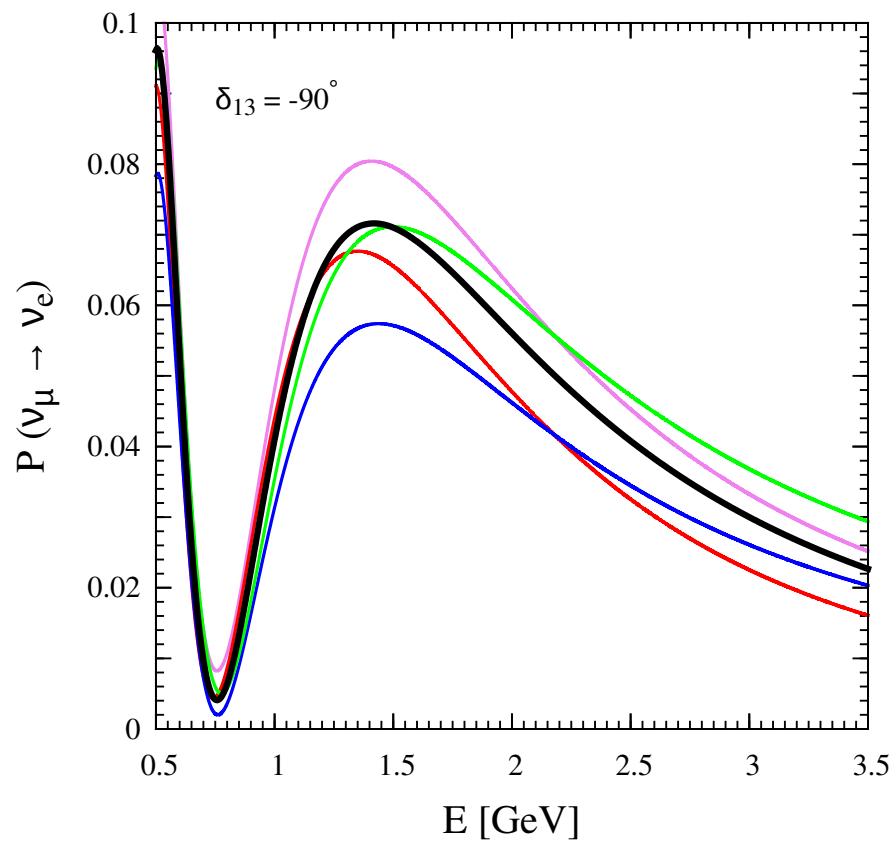
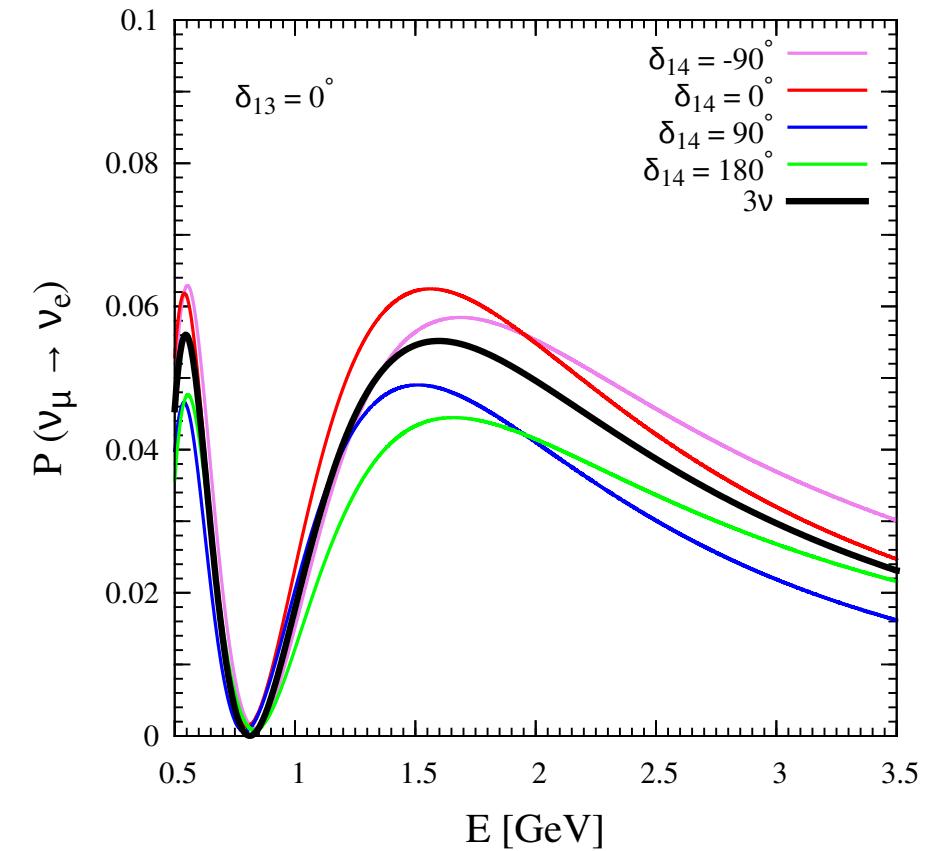
Large value of  $\theta_{13}$  allows us to explore Octant with atmospheric neutrinos! ICAL@INO experiment, IceCube Deepcore, PINGU will play a vital role!

# Oscillation Probability in T2K



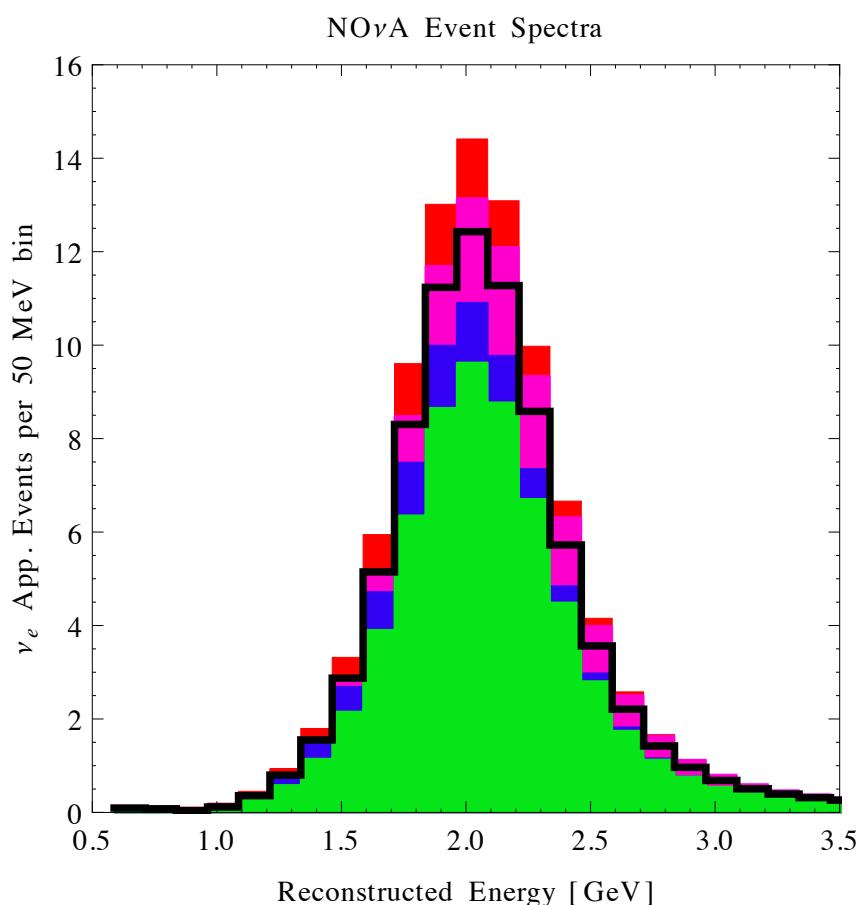
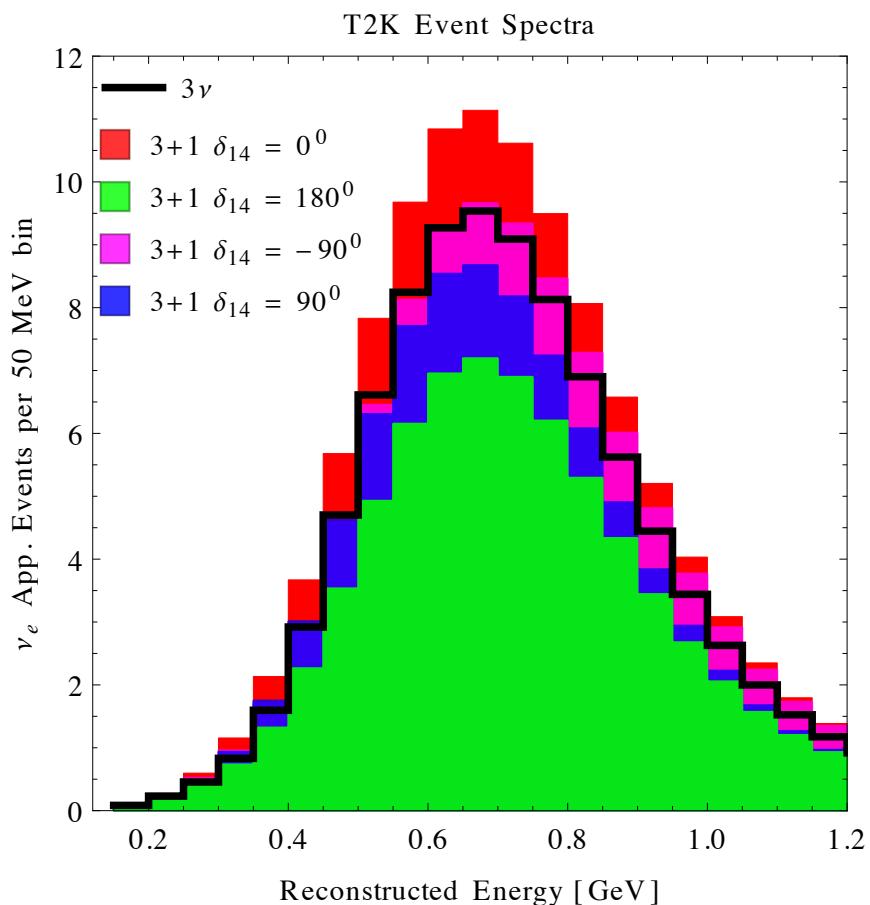
Agarwalla, Chatterjee, Dasgupta, Palazzo (arXiv:1601.05995)

# Oscillation Probability in NOvA



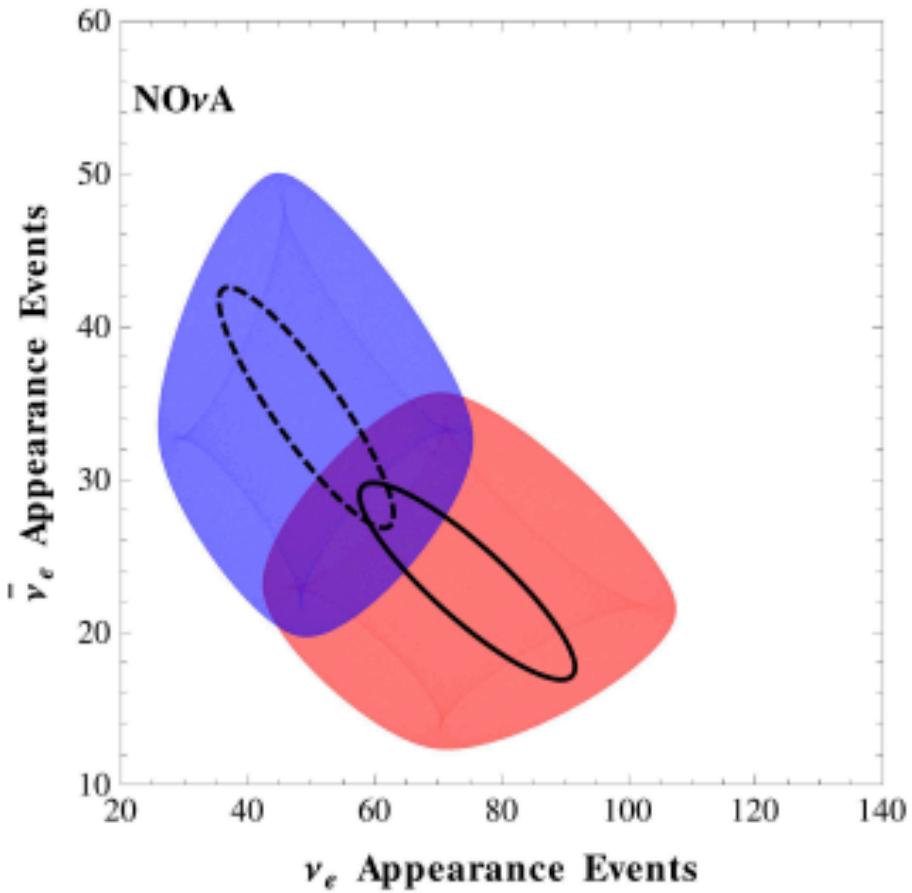
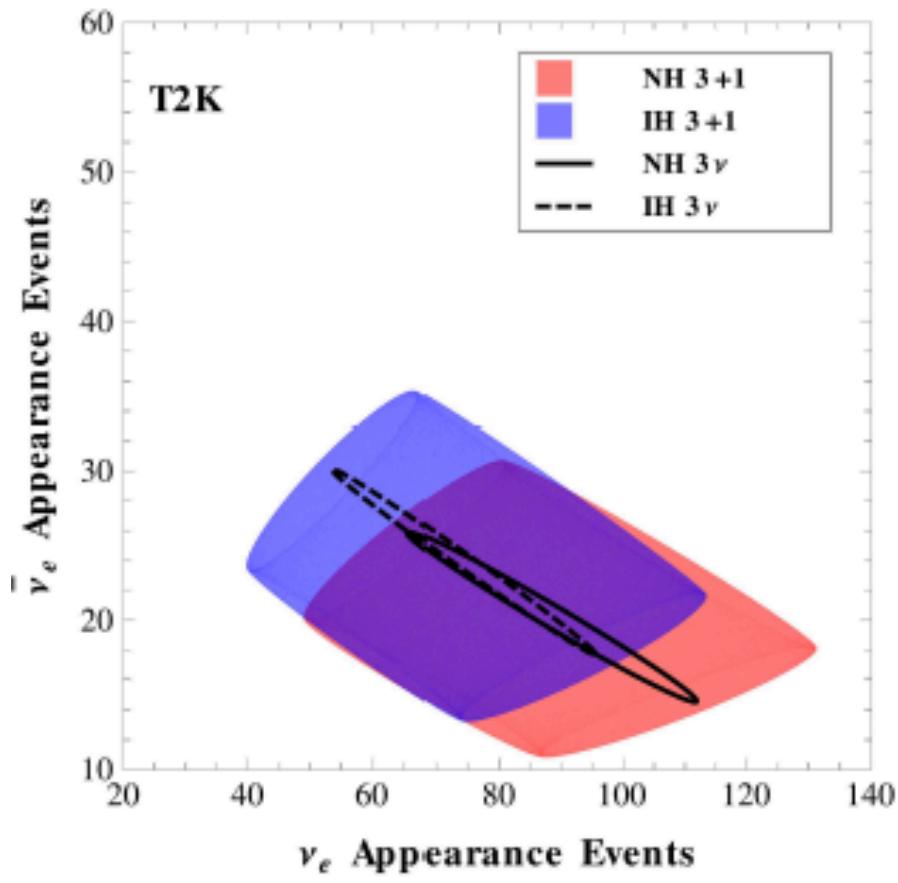
Agarwalla, Chatterjee, Dasgupta, Palazzo (arXiv:1601.05995)

# *T2K and NOvA Event Spectra*



**Agarwalla, Chatterjee, Dasgupta, Palazzo (arXiv:1601.05995)**

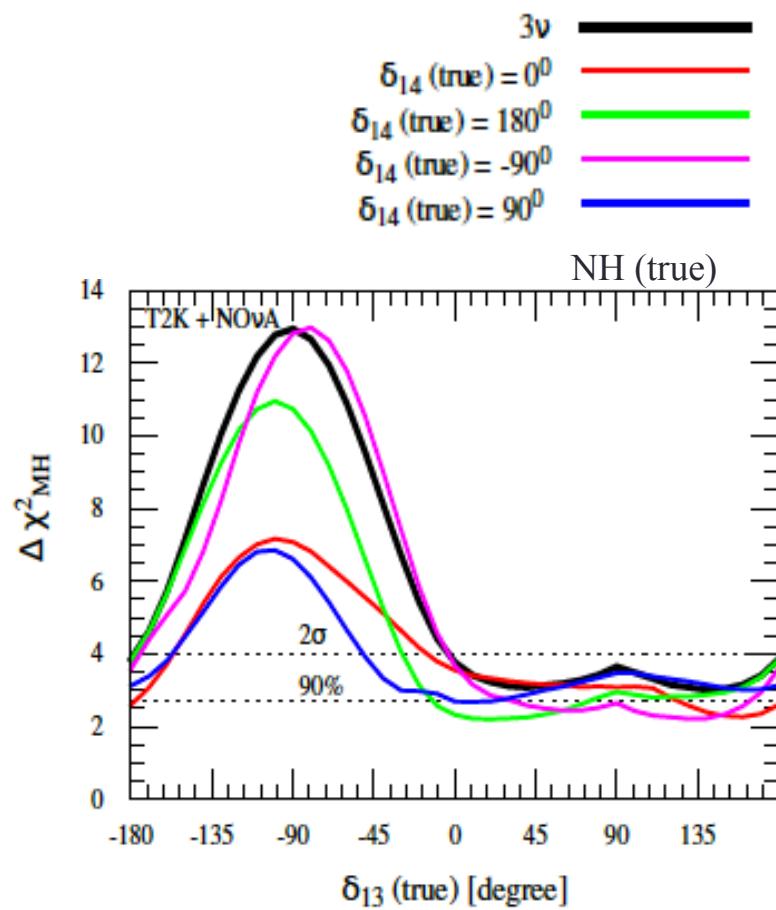
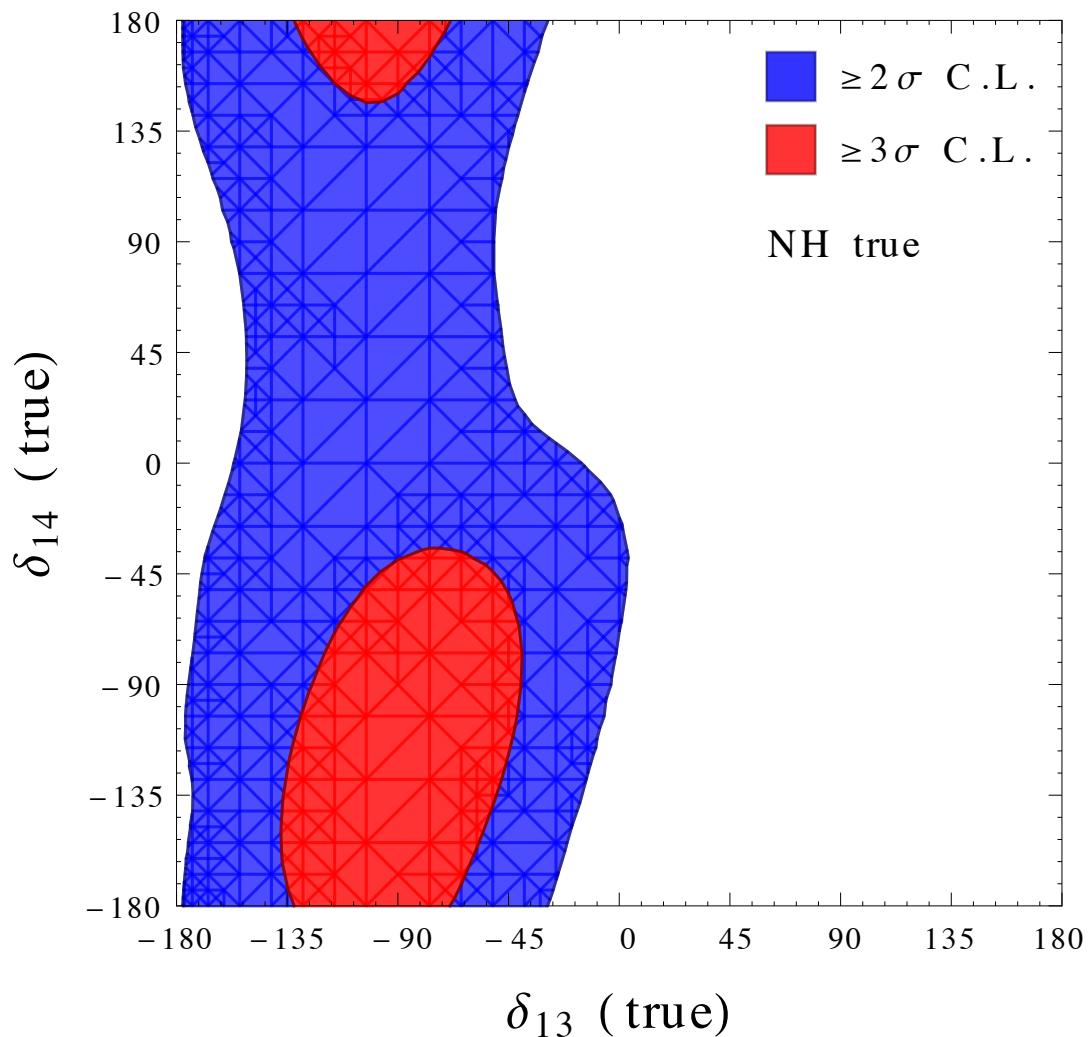
# *T2K and NOvA Bi-events Plot*



Agarwalla, Chatterjee, Dasgupta, Palazzo (arXiv:1601.05995)

# MH Discovery (T2K+NOvA)

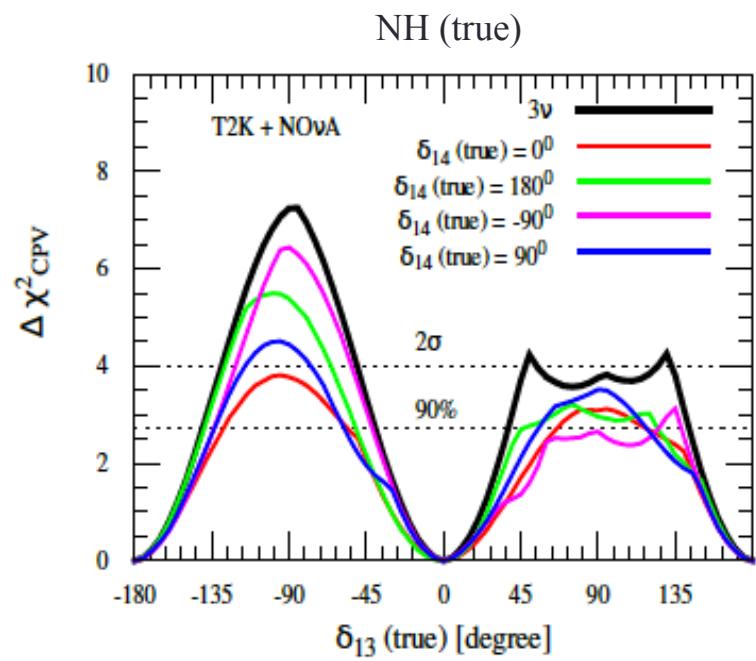
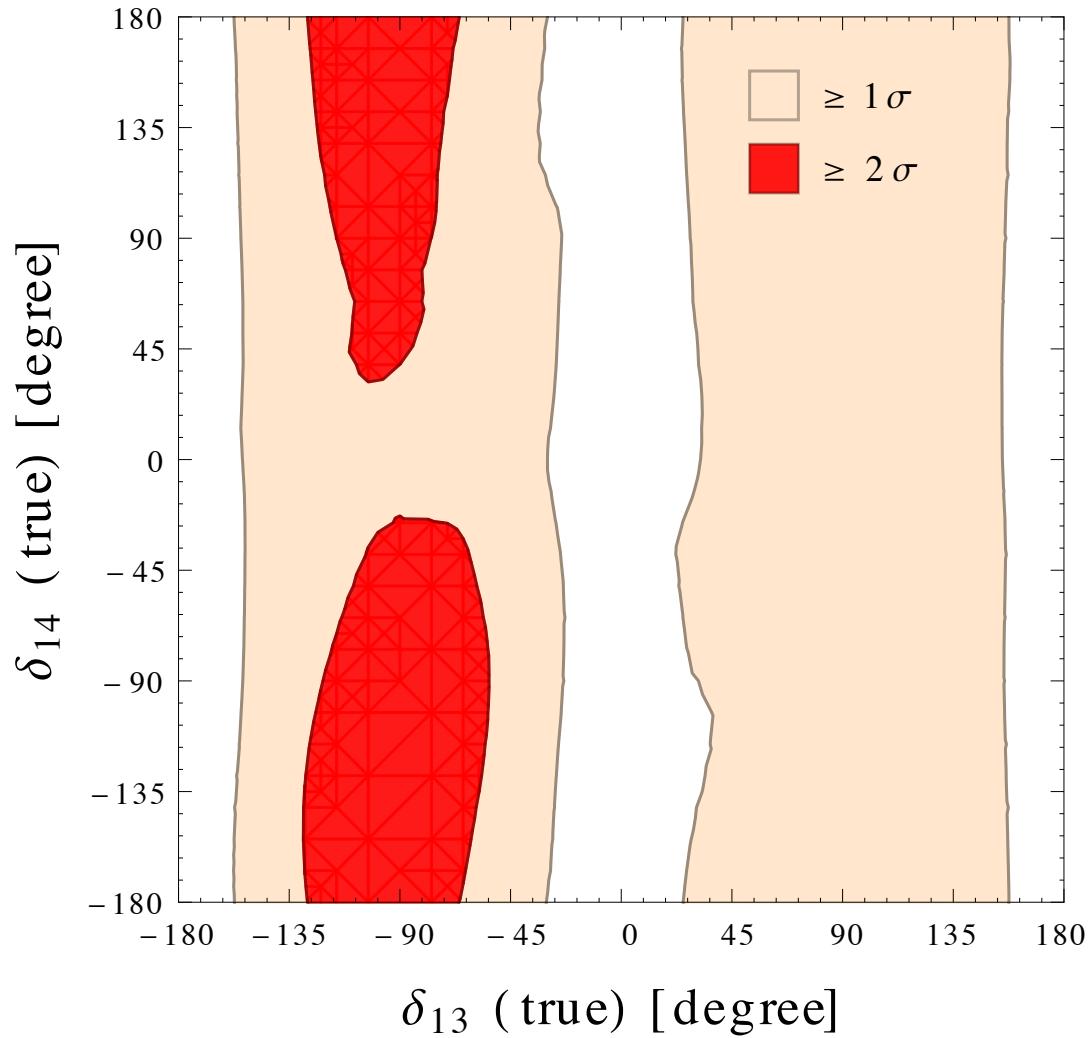
MH Discovery ( T2K + NOvA )



Agarwala, Chatterjee, Dasgupta, Palazzo (arXiv:1601.05995)

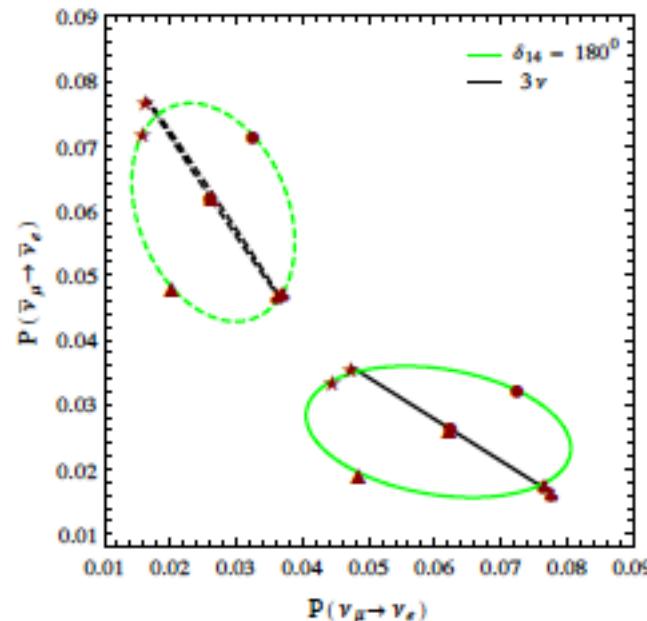
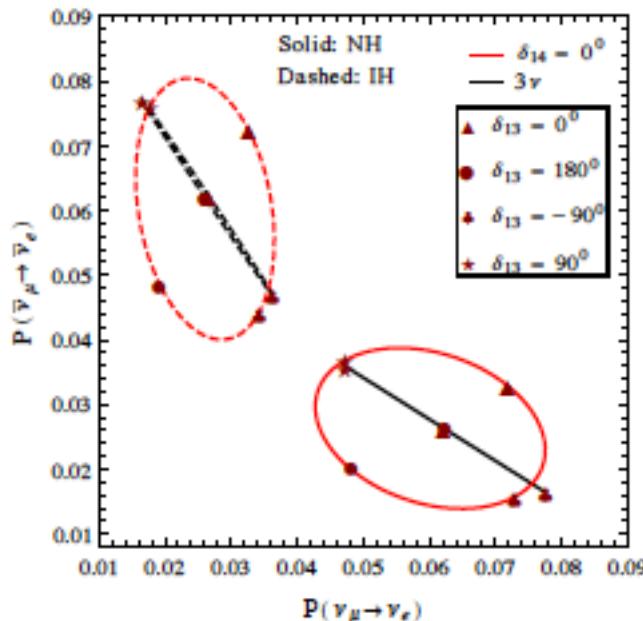
# CPV Discovery (T2K+NO $\nu$ A)

CPV Discovery of T2K + NO $\nu$ A ( NH true)



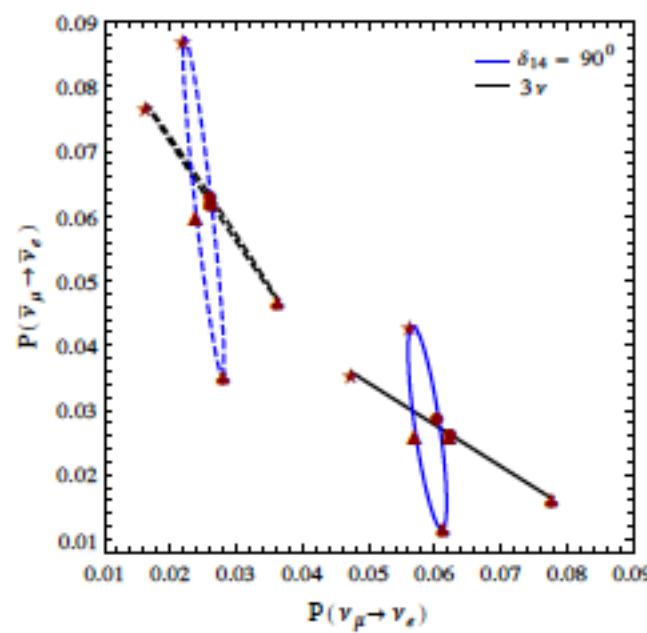
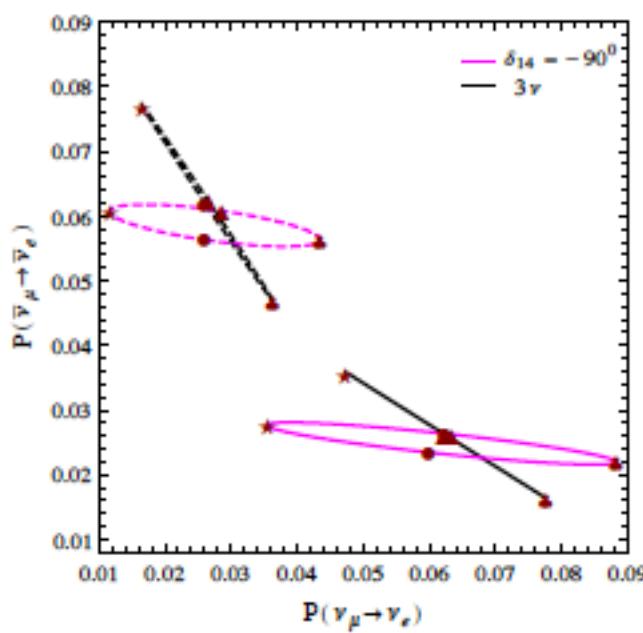
Agarwalla, Chatterjee, Dasgupta, Palazzo (arXiv:1601.05995)

# Bi-Probability in DUNE



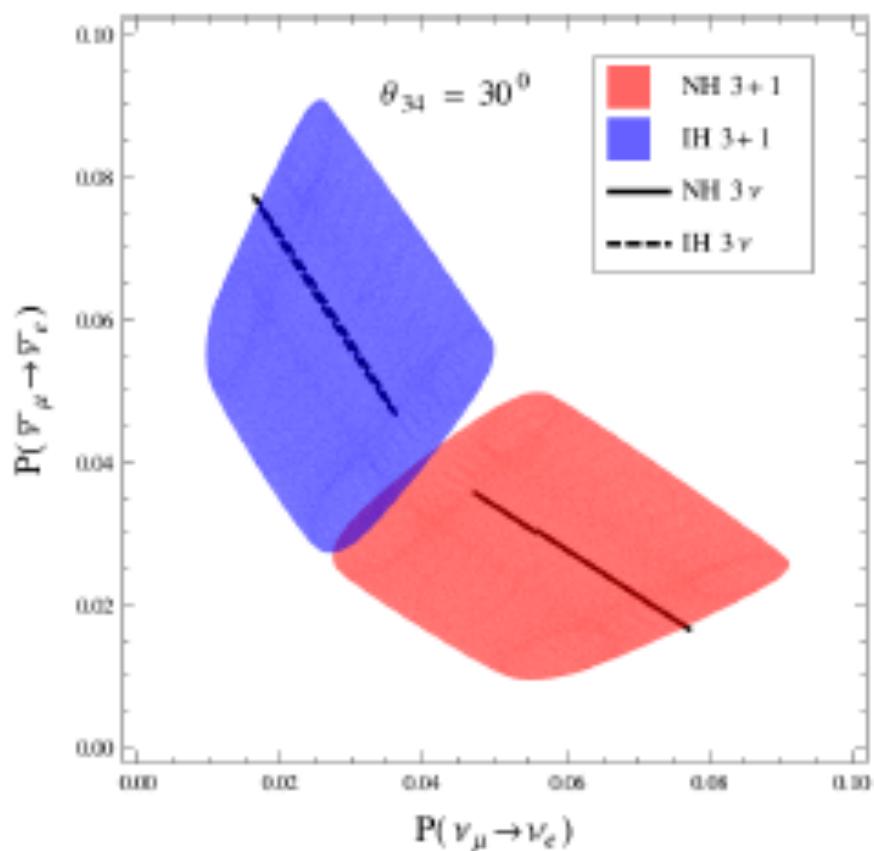
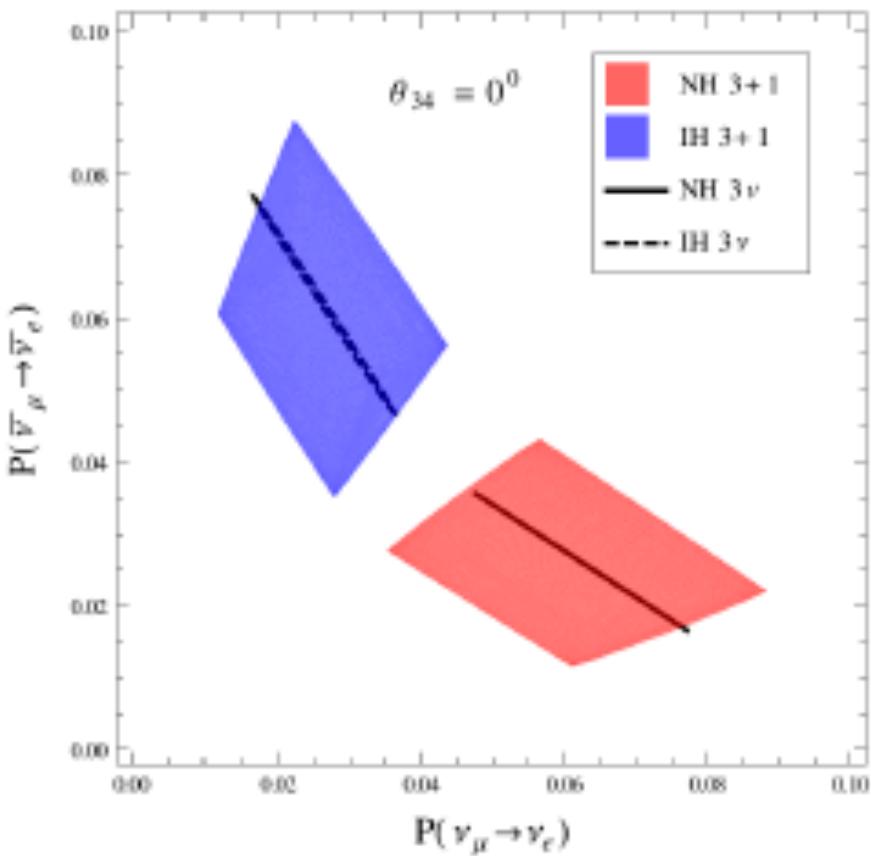
$E = 2.54 \text{ GeV}$

$\theta_{34} = 0$



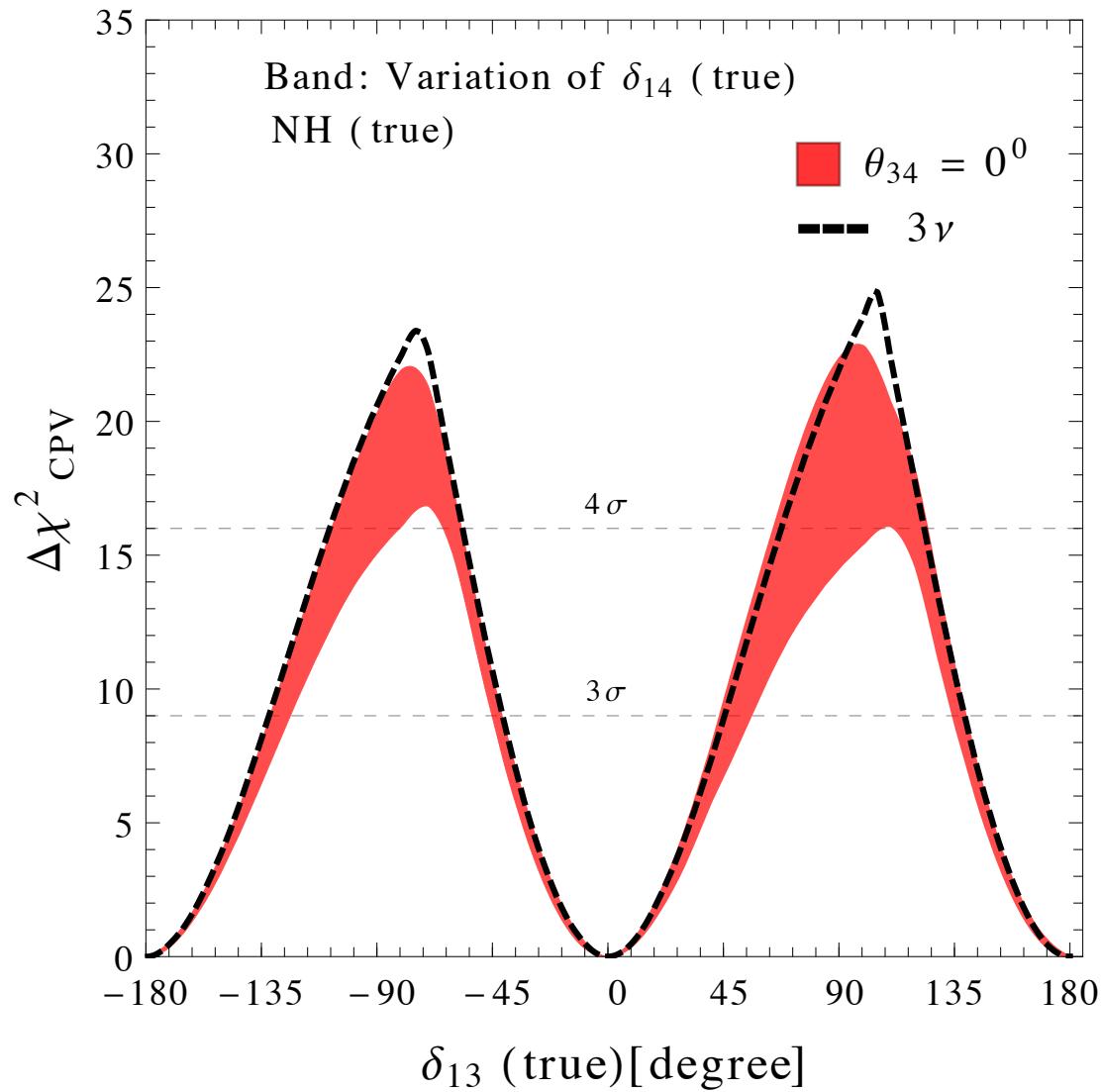
Agarwalla, Chatterjee, Palazzo  
(arXiv:1603.03759)

# Bi-Probability in DUNE



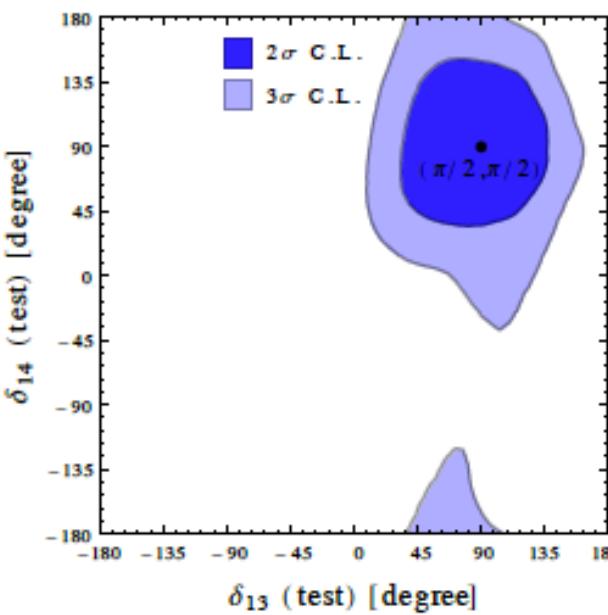
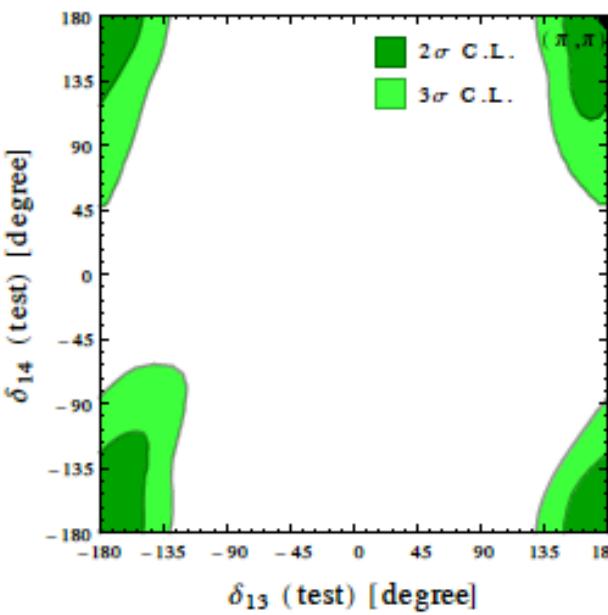
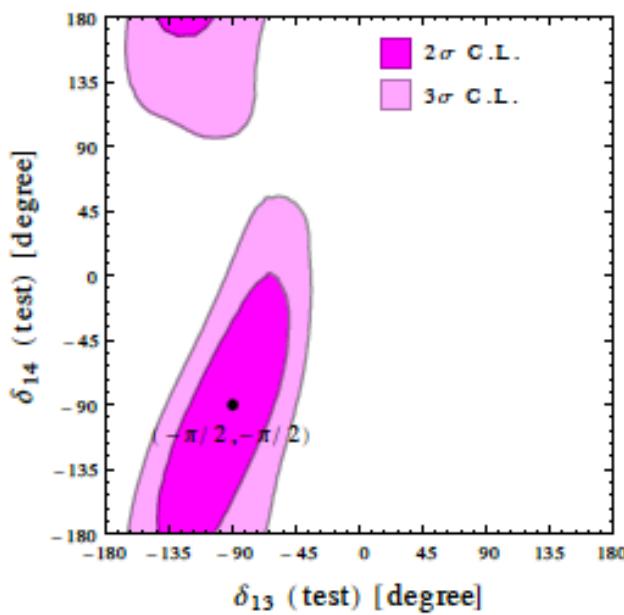
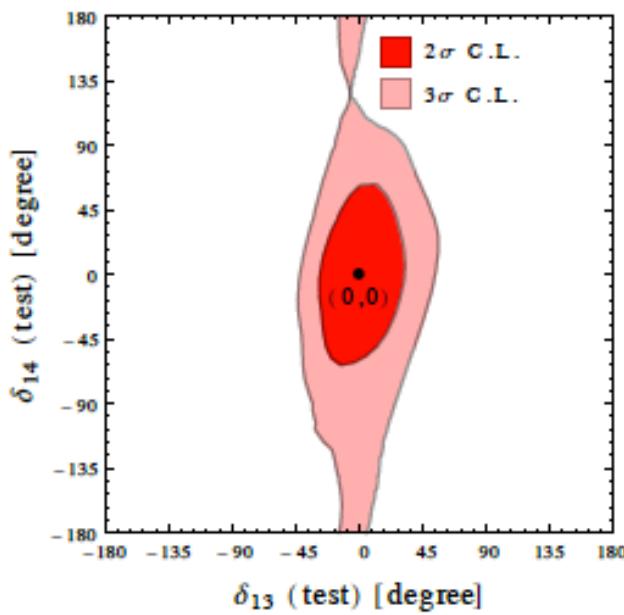
Agarwalla, Chatterjee, Palazzo  
(arXiv:1603.03759)

# *CP-violation Searches at DUNE*



Agarwalla, Chatterjee, Palazzo (arXiv:1603.03759)

# Reconstruction of CP Phase at DUNE



The error  
on  $\delta_{14}$  is large  
if  $\theta_{34}$  is 30 degree

Agarwalla, Chatterjee, Palazzo  
(arXiv:1603.03759)